

USER MANUAL

FEAT – FRONT END BI SECURITY DATABASE FE129 – FRONT END Art.129 C.B.L. REPORTING

This manual is updated to 19 December 2023

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1. Introduction

This manual contains the operating instructions for the two INFOSTAT applications:

- FEAT: Front End BI security database
- FE129: Front End 129.

Although both have similar interfaces they allow registered users to perform different activities.

FEAT is used to request for the assignment of an ISIN, to register an ISIN into BI security database or to communicate updates on securities issued by resident entities or deposited with the Italian CSD in accordance with ISO 6166. All these activities are part of the Financial Instruments ISIN Code Service provided by the Bank of Italy as National Numbering Agency (NNA). For further information please refer to the '<u>Terms of Use of the Code Service</u>' available on the Bank of Italy's website

FE129 is used to send reports in compliance with Article 129 of the Consolidated Banking Law (henceforth, '129 Report') concerning only the types of financial instrument listed in the 'Provisions concerning the reporting of issues and offerings of financial instruments' (henceforth, '129 Provisions'). For such instruments, FE129 can also be used for the same purposes as FEAT. In this way a Partner can use the same application both for ISIN request/registration and for Art.129 reporting.

As for the terms on how to access the surveys, users must refer to the '<u>Instructions for accessing the ISIN</u> code service and Art.129 reporting'.

Both applications use the INFOSTAT platform, accessible from the section 'Statistics> ISIN numbering service, BI security database and reports per Art. 129 TUB' of BI website or, directly, at this link: <u>https://infostat.bancaditalia.it/</u>.

In order to use INFOSTAT users will need access to the internet, a browser and an Adobe Flash Player plugin, which can be downloaded free of charge from the Adobe website (<u>www.adobe.it</u>). To fill in the registration form and browse the pdf files generated by the application you will need Adobe Reader 9.3.0 or later versions.

In view of the confidential nature of the information, the platform may only be accessed by users authorized for the specific reports.

The images in the manual show examples of the functions available and may differ from those viewed by users.

2. Accessing the FE129 and FEAT applications

After authentication, authorized users can access the INFOSTAT Home Page where are the links to the applications, including the Financial Instrument Numbering Service and the Reporting per Art. 129 of the Consolidated Banking Law:

- FEAT FRONT END BI SECURITY DATABASE;
- FE129 FRONT END 129.

inter PIN Request delegation Authorization main	anagement	
SURVEY	2 Refresh	
MATA COLLECTION AND SERVICES		
SIN CODE SERVICE AND ART. 129 REPORTING	DATA COLLECTION AND SERVICES	INFOSTAT Authorizations and accessing
FE129 - FRONT END 129	INFOSTAT is a system supporting the preparation and	instructions
FEAT - FRONT END SECURITIES REGISTER	transmission of statistical data to the Bank of Italy.	
► 🛶 RILEVAZIONI CIPA		
► 🛶 RILEVAZIONI STATISTICHE E DI VIGILANZA	NOTE:	
► → QUESTIONARI	For access credentials click "ENTER PIN"	
	LINK:	
	Access instructions	
	Email	
		TECHNICAL SUPPORT
		FAQ
		For technical questions contact help desk of Surveys an Processing statistics Service:

By selecting either FEAT or FE129, the right section will show notes containing specific information on the survey and two options:

- SERVICES ACCESS: to enter the selected application;
- **MESSAGES**: to browse messages sent or received by the Partners for which the user is authorized (§3.6).

EUROSISTEMA	All and Shire and Shire			
Enter PIN Request delegation Authorization ma	anagement	<u>E</u>		
SURVEY	2 Refresh			
SURVET	Reiresii	B BANCA D'ITALIA		
San Services San Service AND ART. 129 REPORTING	FE129 - FRONT END 129	INFOSTAT Authorizations and accessing instructions		
FE129 - FRONT END 129 FE129 - FRONT END SECURITIES RECOVERED	Reporting of information on the issue or offer of financial instruments per Art. 129 of the Consolidated			
FEAT - FRONT END SECURITIES REGISTER PUE ENATIONI CIDA	Banking Law (Italian TUB)			
▶ 🔿 RILEVAZIONI CIPA ▶ 🔿 RILEVAZIONI STATISTICHE E DI VIGILANZA	NOTE:			
► 🔿 QUESTIONARI	For administrative questions call free phone number from Monday to Friday between 9.00 a.m. and 01.00 p.m. and between 2.00 p.m. and 06.00 p.m., or e-mail (Administrative questions) in CONTACT. For technical questions please contact e-mail (Technical questions)			
	CONTACT:	TECHNICAL SUPPORT		
	+39 06.4792.6667	FAQ FAQ		
	Administrative questions	For technical questions contact help desk of Surveys and Processing statistics Service:		
	C Technical questionsi	Telephone: +39 0647926459		

If the two options cannot be displayed, then it is necessary to set the browser zoom to 100%, open a new browser table and launch INFOSTAT again.

Once clicked on **ACCESS SERVICES** a new window with the Home Page of FEAT/FE129 application will open.

The Home Page of **FEAT** looks like this:

FEAT - Internet Explorer						
BANCA D'ITALIA Eurosistema	FEAT - Front End Anagrafe	Fitoli			English +	
Home List of Activities List	of Securities List of Securitizations Documents B	rowse messages	Administrator	Welcome SISC.334169	and a strength	Exit
	17-17-18/18-17/1/OS					
		Ender j				
	Partner UTENTE TEST 13	· · · · · · · · · · · · · · · · · · ·				
	NUE	HEREIN				
A SUM						

The Home Page of FE129 looks like this:

E129 - I	Internet Explorer								 			
В	ANCA D'ITAL	JA	FE129	- Front End	Anagraf	e Titoli Artic	olo 129				English Italiano	•
ome	List of Activities	List o	f Securities	List of Securitizations	Documents	Browse messages		Administrator	Welcome	SISC.334169	English	Exit
					1777 S							
					1. 4							
				Partner	TENTE TEST 1							
				Role								
			te data	an airthe a								
	E Sur											

The language button is always dispalyed at the top right corner of the screen and can be used at any time to switch between the Italian and English versions.

From the home page, select **Partner** and **Role** to enable the messages menu (see §. 4).

Every message contains all the domain elements for each attribute of the report; these can be selected from the drop-down menu or searched by string or code, depending on the compulsory attributes of each section.¹

For all messages, the data entered are subject to consistency checks and compliance checks with compulsory requirements; all errors are highlighted.

Errors – if any – are highlighted anytime data are saved; reports must be corrected before they can be sent to the Bank.

Both Administrator and Operator can view and amend any of the reports uploaded by all users authorized by the same Partner.

More specifically, the INFOSTAT portal allows users to view all the messages sent to the Bank, as well as notices, acceptances and/or rejections sent by the Bank; these carry an official protocol number assigned to the activity, which must be quoted in all following communications.

The reporting process is as follows:

- Enter the report with all related components
- Submit the report for checking
- Send the report
- Check receipt of the return-message bearing the protocol number assigned to the report
- Check receipt of the return-message mentioning that the report has been correctly received by the Bank.

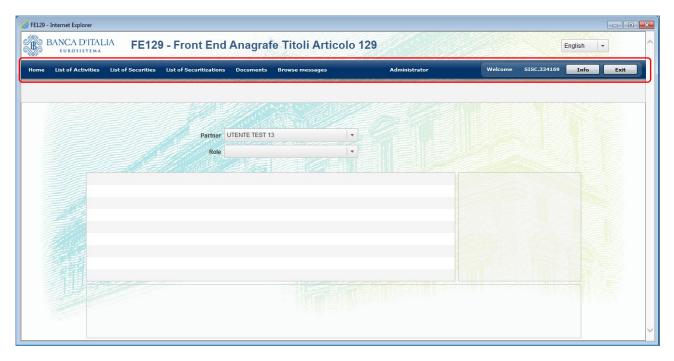
Only after the reception of the latter return-message may the reporting process be considered as completed.

¹ For the definitions of 'domain compulsory attributes' and 'compatibility requirements' see '<u>Instructions for compiling</u> <u>FEAT and FE129 messages</u>'.

3. General functions

Before describing the procedure to compile and send a message, below is an overview of the screen, toolbar and instructions for navigating through the application pages.

The toolbar contains the functions Home, List of Activities, List of Securities, List of Securitizations, Documents, Browse Messages and User ID. Two additional buttons, Info and Exit, are located on the right.



3.1. Home, Info and Exit

The function **Home** may be activated from every application page and allows to return to the initial menu for the Partner and Role selected.

The Info button shows the registered information related to the user connected to the application.

ue List of Activities List of Securities List o	Securitizations Documents Brow	ese messages Administrator	Welcome 5	IISC.334109 Info Exit
	-			
	User information	user	×	
	First name	Loredana		
	Family name	Malavenda		
	User ID	SISC.334169		
	Type of authentication	int		
	E-mail address	loredana.malavenda@bancaditalia.it		
	Last log-in	2016-12-16 10:45:48		
	Last failed log-in attempt	2016-12-15 18:05:38		

Users should always exit the application by clicking **Exit** as this will run the program to clear obsolete or unused data accumulated during the working session.

3.2. List of activities

This function is used to search all ongoing or sent activities for the selected **Partner** (mandatory field).

BANCA D'ITALIA FE129 - F	Front End Anag	grafe Titoli Artico	olo 129			English 👻
List of Activities List of Securities List of	of Securitizations Docume	ents Browse messages		Administrator W	/elcome SISC.33416	59 Info
List of Activities						
Partner	UTENTE TEST 13	·	User	-		
Role		·	ISIN Code	-		
Financial instrument			Issuer	-		
Type of Activity			Status			
	Remi	Q Search		Saved with errors		
				Valid data - Errors in attachments	Numt	ber of Activities: 0
Number of Activities	Description	Status	Role	To be processed To be delivered	Last modified	Issuer
				Delivered Delivered - Rejected		
				Delivered - Notified		

The search can be filtered by Role and by activity Status, User, ISIN Code or Issuer.

The **Status** of an activity *under compilation* may be:

- Saved with Errors;
- Valid data attachment with error;
- To be processed (only for Communications of FEAT Updates);
- To be sent.

When errors are removed from a "Saved with errors" activity or attachments are included to a "Valid data – attachment with errors" one, then the status turns to "To be sent".

The Status of an activity *already sent* may be:

- Sent;
- Sent Notified;
- Sent Rejected.

The activity status is set to "Sent" until a 'notified' or 'rejected' return-message is received from the Bank, then it becomes either "Sent – Notified" or "Sent – Rejected".

After selecting the filters and clicking on the **Search** button, the activities will appear with the following information:

t of Activities	List of Securities List	of Securitizations Docume	nts Browse messa	ges	Admini	strator	Welcome	SISC.334169	Info
List of	Activities			- S:40				181	
	Partner	UTENTE TEST 13			User		•		
	Role	ISSUER			ISIN Code		•		
	Financial instrument	-11 -24 777 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2	•		Issuer		•		
	Type of Activity	and a subscript	T,		Status		•		
				Search					
				Search				Number of Act	
Number of Ac	ivities	Description	Status	Role	ISIN Code	User	Last modified	Issuer	ivities: 70
FE129-2916	SEGNALAZIO	DNE TRIMESTRALE - one informazioni quantitative CW, ETC e ETN (Sez.4)	To be delivered	ISSUER		SISC.334169	16-12-2016 12:20	00348170101	
FE129-2886		BITO 129 - Richiesta ISIN	Saved with errors	ISSUER		SISC.334169	13-12-2016 17:18	00348170101	
FE129-2855		ES, ETC, ETN 129 - ne massiva info anagrafiche :)	Saved with errors	ISSUER	AT0707070707	SISC.334169	09-12-2016 10:40	00348170101	
FE129-2803	quantitative of	' REPORT - Communication of lata for Certificates, CW's, TN's (Section 4)	Delivered - Notified	ISSUER		SISC.334169	28-11-2016 18:26	00348170101	
FE129-2769	Comunicazio	DNE TRIMESTRALE - one informazioni quantitative CW, ETC e ETN (Sez.4)	Saved with errors	ISSUER		SISC.334169	16-12-2016 12:11	00348170101	
		ONE TRIMESTRALE -	Saved with errors	ISSUER	XS0101010105	SISC.334169	16-12-2016 12:10	00348170101	1923

After the search, select Financial Instrument and/or Type of activity to filter the results.

	D'ITALIA SISTEMA	FE129-1	Front End Anag	rate littoli A	rticolo	129			Engl	ish 🛛 🔻
ist of /	Activities List of S	ecurities List	of Securitizations Docume	nts Browse messag	jes	Admini	strator	Welcome	SISC.334169	Info
1	List of Activ	ities								
		Partner	UTENTE TEST 13	•		User		•		
		Role	ISSUER			ISIN Code				
			1000ER	and the second						
		cial instrument		• 5699	70	Issuer				
		Type of Activity	DEBT SECURITIES 129			Status		•		
Ð			CERTIFICATES, ETC's, ETN's	129	earch					
			COVERED WARRANTS AND L 129	EVERAGE CERTIFICAT	TES				Number of Activ	itios: 75
	25.1.V.24	1.0 1.349	QUARTERLY REPORT					and a start of the second start	Call Callers Sec.	nues. ro
	Number of Activities		LISTING INFORMATION		Role	ISIN Code	User	Last modified	Issuer	
'	E129-2916	Comunicazio	GENERAL COMMUNICATION one informazioni quantitative CW, ETC e ETN (Sez.4)		SUER		SISC.334169	16-12-2016 12:20	00348170101	Î
F	E129-2886	TITOLI DI DE	BITO 129 - Richiesta ISIN	Saved with errors	ISSUER		SISC.334169	13-12-2016 17:18	00348170101	
F	E129-2855		ES, ETC, ETN 129 - one massiva info anagrafiche :)	Saved with errors	ISSUER	AT0707070707	SISC.334169	09-12-2016 10:40	00348170101	
Filler F	E129-2803	quantitative d	REPORT - Communication of lata for Certificates, CW's, TN's (Section 4)	Delivered - Notified	ISSUER		SISC.334169	28-11-2016 18:26	00348170101	
1	E129-2769	Comunicazio	DNE TRIMESTRALE - one informazioni quantitative CW, ETC e ETN (Sez.4)	Saved with errors	ISSUER		SISC.334169	16-12-2016 12:11	00348170101	
F	E129-2629	Comunicazio	DNE TRIMESTRALE - one informazioni quantitative CW, ETC e ETN (Sez.4)	Saved with errors	ISSUER	XS0101010105	SISC.334169	16-12-2016 12:10	00348170101	

ROSISTEMA		Front End Anag		articolo	20				glish ·
of Activities List of S	ecurities List	t of Securitizations Docume	nts Browse messag	jes	Adminis	strator	Welcome	SISC.334169	Info
List of Activ	ities	S M. A							
	Partner	UTENTE TEST 13			User		-		
	Role	ISSUER			SIN Code				
		10	THE REAL PROPERTY OF						
Finan	cial instrument	DEBT SECURITIES 129			Issuer		•		
	Type of Activity		•		Status		•		
		Request for ISIN Request for ISIN with final data	and 120 reference att	ributes (Section					
		Registration of ISIN with 129 re						Number of Ac	livities: 27
Number of Activities		Communication of final data a	nd 129 reference attrib	utes (Section 1)	ISIN Code	User	Last modified	Issuer	
FE129-2886		Communication of 129 referen				SISC.334169	13-12-2016 17:18	00348170101	A 5
FE129-2549		Communication of 129 referent Communication of coupons	ce attributes (Section 2	2-3)	T0004606445	SISC.334169	28-10-2016 19:11	00348170101	
FE129-2384		BITO 129 - Richiesta ISIN con	Delivered - Notified	ISSUER		SISC.334169	08-09-2016 17:12	00348170101	
	dati definitivi (sezione 1)	e informazioni anagrafiche 129							
FE129-2375		BITO 129 - Comunicazione anagrafiche 129 (sezione 1)	Delivered - Notified	ISSUER	XS0322918565	SISC.334169	26-09-2016 8:10	00348170101	
FE129-2373		BITO 129 - Censimento ISIN e anagrafiche 129 (sezione 1)	Saved with errors	ISSUER	XS0618847775	SISC.334169	09-12-2016 10:18	00348170101	
FE129-2339		BITO 129 - Censimento ISIN e anagrafiche 129 (sezione 1)	Saved with errors	ISSUER		SISC.334169	22-08-2016 14:03	00348170101	
FE129-2338		BITO 129 - Censimento ISIN e anagrafiche 129 (sezione 1)	Saved with errors	ISSUER		SISC.334169	22-08-2016 13:44	00348170101	

By selecting an activity <u>under compilation</u> (Status: Saved with errors, Valid data – attachment with error, To be Sent or To be processed) you can:

- Open it by clicking **Browse** or double clicking on the activity;
- Delete it by clicking **Delete**;
- Send it by clicking **Deliver**, but only if the activity's status is "To be Sent".

	D'ITALIA SISTEMA	=E129 - I	Front End Anag	rafe Titoli A	rticolo	129			E	nglish 🛛 🔻	
st of A	Activities List of Se	ecurities List	of Securitizations Docume	nts Browse messag	es	Admi	nistrator	Welcome	SISC.334169	Info	
	List of Activi	ities									
		Partner	UTENTE TEST 13			User		•			
		Role	ISSUER	-		ISIN Code		•			
Financial instrument			- 11 -247 11-2 - 1 33-03	-		Issuer		•			
		Type of Activity	and the second	•		Status		•			
				Search							
									Number of Activities: 75		
١	Number of Activities		Description	Status	Role	ISIN Code	User	Last modified	Issuer		
F	FE129-2474	Comunicazio	NE TRIMESTRALE - ne informazioni quantitative CW, ETC e ETN (Sez.4)	Delivered	ISSUER		SISC.334169	20-10-2016 19:19	00348170101		
F	FE129-2466	Comunicazio	NE TRIMESTRALE - ne informazioni quantitative CW, ETC e ETN (Sez.4)	To be delivered	ISSUER		SISC.334169	11-10-2016 18:04	00348170101		
F	FE129-2457	COVERED W	ARRANT E LEVERAGE ES 129 - Comunicazione anagrafiche 129 (sez. 2-3)	Delivered - Notified	ISSUER		SISC.334169	05-10-2016 12:28	00348170101		
F	FE129-2450	CERTIFICAT	ES, ETC, ETN 129 - ISIN massivo e info	Valid data - Errors in attachments	ISSUER		SISC.334169	06-12-2016 13:45	00348170101		
F	FE129-2399	CERTIFICATI	ES, ETC, ETN 129 - ne massiva dati definitivi e anagrafiche 129 (sezione 1)	Saved with errors	ISSUER		SISC.334169	09-12-2016 10:38	00348170101		
			BITO 129 - Richiesta ISIN con	Delivered - Notified	ISSUER		SISC.334169	08-09-2016 17:12	00348170101	*	

When an activity is opened, the related information are displayed and one or more functional buttons, depending on the status, are enabled in the bottom left side of the window (**Restore**, **Save**, **Deliver**, **Export**, **Create Activity** and **Process**). For the details on the use of the buttons, please refer to §5 and following.

🥔 FEAT - Internet Explorer							×
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli			English	•	^
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator	Welcome	SISC.334169 Info	Exit	
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITOLI DI DI Status: Saved with (EBITO - Comunicazione aggiornam errors	ento	Last modified: 23-05-20	13 12:01	
▼ 🗁 FEAT-998							
Financial Instrument Information	ISIN						
Yield Information Redemption Information	Type of Update	2 - CHANGE	Variation effe	ctive date	02/05/2013		
Required attachments: 1	ISIN Code	IT0003855779		CFI code			
List of Attachments Type pal.bt 5D	Financial Instrument Name		Financial Instrument Sh	ort Name			
	Financial Instrument						
Remove 🔗 Attach	Resident Issuer		Non-reside	ent Issuer			
Restore 🗞 Save	Resident Lead Manager	Q 🗴	Non-resident Lead	Manager		Q, 🔀	
Deliver Export	Type of Financial Instrument	*	Security Clas	sification			
Create Activity Process							* ~

By selecting an activity <u>already sent</u> (status: Sent, Sent - Notified, Sent - Rejected) you can:

- Open it by clicking **Browse** or double clicking on the activity
- Delete it by clicking **Delete**; this function is only enabled when an outcome has been notified, i.e. *Sent Notified* and *Sent Rejected*.

The system automatically deletes Sent - Notified activities from the list a few days after notification.

3.3. Securities List

This function allows access to the Bank's BI security database to consult data on securities according to their specific features.

The selection of **Partner** and **Role** is mandatory. Roles other than Issuer require to specify the securities issuer, For further details see § 3.3.1.

Furthermore the following search criteria are available: **Instrument** (type of security), **ISIN Code**, **Dissemination** (Yes, No) and **ISIN code**.

st of Activities List of Securities List		Front End Anagrafe Titoli					the second second				
List of Activities Li	st of Securities List	of Securitizations	Documents	Browse mess	ages		Administrator	Welcome	SISC.334169 Infe		
			1. T. J. J. M. M.								
List of	Securities										
	Partner	UTENTE TEST 13	•			ISIN Code					
	Role	ISSUER	-	•	Diss	semination					
	Financial instrument		·				Yes				
					Search		No				
									Number of Securitie	s: 0	
ISIN Cod	Financial inst	trument				Descr	iption		Dissemination		
2											

For the Reporting Agent role, the field **Dissemination** is automatically set to Y as only disseminated securities can be searched.

The **Financial Instrument** menu lists all the types of security for which the **Partner** is enabled to send reports, according to the **Role** he has been selected.

Once the Partner and Role have been set, FEAT displays more types of Financial Instruments than FE129:

List of Activities	List of Securities List	t of Securitizations Documents Browse message	es Administrator	Welcome S	SISC.334169 Info	
LIST OF ACTIVICES	List of Securities - List	or second attains of our message				
List o	of Securities					
	Partner	UTENTE TEST 13	ISIN Code			
	Role	ISSUER +	Dissemination	· · ·		
	Financial instrument	1				
		DEBT SECURITIES	a ch			
		EQUITIES			Number of Securities: 0	D
ISIN Cod		RIGHTS WARRANTS	Description		Dissemination	
		CERTIFICATES, ETC'S, ETN'S				
		COVERED WARRANTS AND LEVERAGE CERTIFICATE				
1						

E129 - Internet Explorer								
BANCA D'ITALI	A FE129 - I	Front End	Anagrafe T	itoli Articolo 1	29			English 🛛 🔻
ne List of Activities	List of Securities List	of Securitizations	Documents Bro	wse messages	Administrator	We	lcome SISC.334169	Info Exit
List o	of Securities	<u>s 10</u>						
	Partner	UTENTE TEST 13	•	15	N Code			
	Role	ISSUER	•	Dissemir	ation	•		
	Financial instrument		•					
	129 Status	DEBT SECURITIE	S 129					
		CERTIFICATES, E	TC's, ETN's 129 ANTS AND LEVERAGE	CERTIFICATES 129				
							Number of	Securities: 0
ISIN Cod	Financial instrume	ent		Description		Dissemination	129 Status	
				Frowse	Browse lis	sting data 🛛 🖌	Browse quarterly data	

After having set the search criteria, click on **Search** to display the list of securities matching the selected criteria.

By selecting a security on the list you can:

- Display data retrieved from the BI security database by clicking on **Display** or double clicking on the security;
- Display listing data retrieved from the BI security database by clicking on Display Listing Data;
- Display quarterly data on Certificates/CW retrieved from the BI Security Database, by clicking on **Browse quarterly data**.

	D'ITAL	A FE129 -	Front E	Ind Anagra	ife Titoli Articolo	0 129		l l	English 🛛 🔻
ist of A	ctivities	List of Securities Lis	t of Securitiza	ations Documents	; Browse messages	Administrator	Ľ	Velcome SISC.334169	Info
	List o	of Securities		400-24					
			- Ka	US I THE			<u>un a a</u>		
		Partner	UTENTE TI	EST 13		ISIN Code			
		Role	ISSUER		Diss	emination	+		
		Financial instrument							
		129 Status							
				1. 203	O Search				
		1 201						Number of Sec	urities: 2882
IS	IN Cod	Financial instrum	ent		Description		Dissemination	129 Status	
		COVERED WARRANTS			ALL AMERICANO CW CALL SU F	ANIERI DI AZIONI PIPPO	Y	Not to be Reported	
	21	LEVERAGE CERTIFICAT COVERED WARRANTS		PANIERE 100 26-0	7-2014 ALL AMERICANO CW CALL SU F		Y	Not to be Reported	
	25	LEVERAGE CERTIFICAT		PANIERE 100 26-0			1	Notio be Reported	
	S2345678 11	COVERED WARRANTS		UNICREDIT SPA C 05-2015 4556.89 C		TRI) PAPA INDICI 16-05-2012 16-	Y	Sections Completed: Sec	tion 2-3
	S1199228 31	CERTIFICATES, ETC's, I	ETN's 129	UNICREDIT SPA 04 ENERGIA ELETTRI	4-06-2016 04-06-2018 VARIABILE CA	CERTIFICATES AIRBAG	Y	To be started	
	S0934390 23	DEBT SECURITIES		UNICREDIT SPA 2 563	1-05-2013 21-05-2014 VARIABILE	OBBLIG. ORDINARIE SERIE	Y	Not to be Reported	
	S0412683 71	DEBT SECURITIES		UNICREDIT SPA 10 TRANCHE 1	0-02-2009 10-02-2012 3.50 OBBI	IG. ORDINARIE SERIE 374	Y	Not to be Reported	
	S0408165 08	DEBT SECURITIES		UNICREDIT SPA 14 TRANCHE 1	4-01-2009 14-01-2014 5.25 OBBI	IG. ORDINARIE SERIE 373	Y	Not to be Reported	
		DEBT SECURITIES				UPON OBBLIG. ORDINARIE	Y	Not to be Reported	

If the search criterion is the full ISIN code (12 characters including check digit) a check is run to ensure if such a code is present in the BI security database, regardless of the other search parameters (explicit or implicit). The resulting list shows the column **Search Criteria** at the rightmost end, indicating whether the set of search parameters are valid.

The Search Criteria parameter can assume one of the following values:

• **Blank**: the security exists and matches the search parameters. In this case the security (or its listing data) can be displayed.

		a superior		A Provent				
List o	f Activities Li	st of Securities List	of Securitizations Docu	ments Browse messa	ges Administr	ator	Welcome	515C.334169 Info
	List of	Securities						
		Partner	UTENTE TEST 13		ISIN Code IT000500	12677		
		Role	ISSUER	•	Dissemination	Alectropy	1-	
		Financial instrument		•				
		129 Status						
				Commit O	Search			
								Number of Securities: 1
	ISIN Code	Financial instrumer		Description		Dissemination	129 Status	Parameter Search
	IT0005002677	DEBT SECURITIES	UNICREDIT SPA 26-06- SERIE ORD 5 TF 4,85%		ZATO OBB STRUTTURATE SERIE 5	N	To be started	

• **INVALID ISSUER**: the security exists but the selected issuer is not correct. In this case only the ISIN code is displayed and it is not possible to browse the security details (or its listing data). When selecting the security for display, the following error notification pops up.

- Internet Explorer					-
BANCA D'ITALIA FE129 - F	Front End Ar	agrafe Titoli Artico	olo 129		English +
List of Activities List of Securities List	of Securitizations De	cuments Browse messages	Administ	vator Welcom	e 515C.334169 Info I
List of Securities					
Partner	UTENTE TEST 13	· · · · · · · · · · · · · · · · · · ·	ISIN Code IT00050	04814	
Role	ISSUER		Dissemination		
Financial instrument		·			
129 Status					
		Warning			
		Security exists in BI Securit Issuer is different from sele			
		ОК			Rumber of Securities: 1
ISIN Code Financial Ins IT0005004814	trument		lion	129 Status	Parameter Search INVALID ISSUER
		0.2	rowse 🖌 Brow	vse listing data 🛛 🖌 B	rowse guarterly data

• **INVALID PARAMETER**: the security exists, the issuer selected is correct, but it has different features from those set as search parameters (**Financial Instrument**, **Dissemination**, **129 Status**). The security (or its listing data) can be displayed, but when it is selected a pop-up appears asking whether to continue or cancel the process.

EUROSISTEMA	_	ront End Anag						-
List of Activities List of	of Securities List of	Securitizations Docume	nts Browse me	ssages Ad	ministrator	We	kome 515C.334169 1	fo Exi
List of Se	curities							
	Partner	UTENTE TEST 13		ISIN Code	0005004319			
	Role	ISSUER		Dissemination		· ·		
Đ	nancial instrument	DEBT SECURITIES 129						
	129 Status							
				Search				
			Warning				Number of Securi	ties: 1
ISIN Code	Financial Instru	ument		ase the security is registered as by the selected issuer, but it has	bon	129 Status	Parameter Search	
1T0005004319	EQUITIES	UNICREDIT SI		es from the ones that have been		Not to be Reported	INVALID PARAMETER	
			Continue?					
				OK Cancel				

• **TRIM**: the Certificate/Covered Warrant is registered and has been issued by the selected issuer. It is possible to browse its details and its quarterly reported data as well.

ist of Activ	ities List of S	Securities List	of Securitizatio	ons Documents	Browse messages		Administrator	Welcome	515C.334169 In	fo 🛛
	List of Secu	ırities	1.1							
		Partner	UTENTE TES	г 13	-	ISIN Cod	xS0231717322			
		Role	ISSUER		-	Dissemination		•		
	Final	ncial instrument	CERTIFICATE	S, ETC's, ETN's	-					
		129 Status	12.15		-					
					O Se	arch				
									Number of Securiti	08:1
	SIN Code	Financial ins	trument		Description		Dissemination	129 Status	Parameter Search	
	31717322			UNICREDITO ITALI	ANO SPA 15-05-2012 1	5-06-2016 3.375		Sections Completed Section 1	TRIM	
2 -		125		CERTIFICATES DEI				Section		_)
2										
理										
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• **NO TRIM**: the Certificate/Covered Warrant is registered and has been issued by the selected issuer. No quarterly reporting has been performed yet. Information details and listing data can be browsed but when clicking on **Browse Quarterly Data** the following pop-up appears.

_	OSISTEMA				li Articolo 129	1			
List of	Activities Lis	t of Securities List of Se	curitizations	Documents Browse n	essages	Administrator	Welcome	515C.334169 Info	
	_								
	List of S	ecurities							
		Partner UTE	NTE TEST 13		ISIN Code	XS1199228831			
		Role ISS	JER		Dissemination				
		Financial instrument		· ·					
		129 Status							
				Warning		_			
				No Quarterly Reports were sent for the sele security.		ted		Number of Securities	1
	ISIN Code	Financial instrument		a tasa	OK	Dissemination	129 Status	Parameter Search	ר
	XS1199228831	CERTIFICATES, ETC's, ET 129		DIT SPA 04-05-2015 04-05 ENERGIA ELETTRICA	2018 VARIABILE CERTIFICATES	5 Y	To be started	NOTRIM	

PLACEMENT AGENT ROLE

For FEAT Placement Agent Role the list of securities produced by the search also shows the column **Partner Placement Agent-Offeror**, which may have the following values:

- Y: where the Partner actually places the security. Only in this case can the security be selected and displayed
- N: where the Partner does not place the security. If the security is still not disseminated (Dissemination = N) the Description is not displayed.

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List of	Activities Lis	t of Securities List of Securit	izations Documents Browse messages Admin	istrator	Welcome SISC.334	169 Info
	_					
	List of S	Securities				
		Partner UTENTE	TEST 13 TEST 13 ISIN Code			
		Role PLACEM	ENTAGENT T Dissemination			
		Financial instrument	v Issuer 1646.	4662	Q 🙀	
			C Search			
					Nur	mber of Securities: 9
	ISIN Code	Financial instrument	Description	Dissemination	Partner placement agent	t - offeror
	XS9988776654	CERTIFICATES, ETC's, ETN's	WEST TRADE CENTER SA 12-05-2016 12-05-2018 CERTIFICATES MERC	I Y	Y	A
	//00000110004					
	XS3311664455	DEBT SECURITIES	WEST TRADE CENTER SA 01-11-2016 01-11-2018 5 OBBLIG. ORDINARIE	: Y	Y	
		DEBT SECURITIES CERTIFICATES, ETC's, ETN's	WEST TRADE CENTER SA 01-11-2016 01-11-2018 5 OBBLIG, ORDINARIE WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON ALTRO		Y Y	
	XS3311664455		WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON	US Y	Y Y Y	
	XS3311664455 XS0101010105	CERTIFICATES, ETC's, ETN's	WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON ALTRO WEST TRADE CENTER SA 12-05-2016 12-05-2018 ZERO COUPON OBBL	IG. N	Y Y Y	
	XS3311664455 XS0101010105 XS0077664430	CERTIFICATES, ETC's, ETN's DEBT SECURITIES	WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON ALTRO WEST TRADE CENTER SA 12-05-2018 12-05-2018 ZERO COUPON OBBL ORDINARIE WEST TRADE CENTER SA 09-11-2016 09-11-2018 3 OBBLIG. ORDINARIE	IG. N I Y	Y Y Y Y Y	
	XS3311664455 XS0101010105 XS0077664430 XS0000222231	CERTIFICATES, ETC'S, ETN'S DEBT SECURITIES DEBT SECURITIES	WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON ALTRO WEST TRADE CENTER SA 12-05-2016 12-05-2018 ZERO COUPON OBBL ORDINARIE WEST TRADE CENTER SA 09-11-2016 09-11-2018 3 OBBLIG. ORDINARIE OBBL ETICA	IG. N IG. Y I Y	А А А А А А А А А А А А А А А А А А А	
	XS3311664455 XS0101010105 XS0077664430 XS0000222231 XS0000111129 IT0006694266	CERTIFICATES, ETC'S, ETWS DEBT SECURITIES DEBT SECURITIES DEBT SECURITIES	WEST TRADE CENTER SA 10-11-2016 10-11-2018 3 CERTIFICATES BON ALTRO WEST TRADE CENTER SA 12-05-2016 12-05-2018 ZERO COUPON OBBL ORDINARIE WEST TRADE CENTER SA 09-11-2016 09-11-2018 3 OBBLIG. ORDINARIE OBBL ETICA WEST TRADE CENTER SA 09-11-2016 09-11-2018 3 OBBLIG. ORDINARIE WEST TRADE CENTER SA 24-08-2013 24-08-2017 ZERO COUPON OBBL	из Y IG. N II Y II Y IG. Y	Y Y Y Y Y Y	

Moreover, if the Partner is not the Placement Agent, the security details cannot be displayed: after selecting the security for display, the message below appears

101	A D'ITALIA	FEAT - Front E	nd Anagra	fe Titoli					E	nglish 🔤 +
ist o	f Activities Lis	t of Securities List of Securitiz	ations Documents	s Browse messages	Admin	histrator		Wekome	\$15C.334169	Info
	The state	ecurities								
	List of s									
		Partner UTENTE T	EST 14		ISIN Code					
		Role PLACEME	NTAGENT	* Disserr	nination					
		Financial instrument		1.	Issuer 1646	4662	0	K A		
			I THE	1000000000						
				Warning						
				The security cannot be treated be Partner is not included among the					Number of 1	Securities; 9
	ISIN Code	Financial instrument		Agents	eriacement		Dissemination	Partner	placement agent - of	feror
	XS9988776654	CERTIFICATES, ETC's, ETN's	WEST TRADE CE	ОК		RCI	Y	N		
	XS3311664455	DEBT SECURITIES	WEST TRADE CE	ALEN ON AL-1 - 20 10 A - 1 - 20 10 -	ODDUG ONUN	RIE	Y	N		
	XS0101010105	CERTIFICATES, ETC's, ETN's	WEST TRADE CE	NTER 8A 10-11-2016 10-11-2018 3	CERTIFICATES E	IONUS	Y	11		
	XS0077664430	DEBT SECURITIES					N	N		
	XS0000222231	DEBT SECURITIES	WEST TRADE CE OBBL ETICA	NTER SA 09-11-2016 09-11-2018 3	OBBLIG. ORDINA	RE	Y	Ν		
	XS0000111129	DEBT SECURITIES	WEST TRADE CE	NTER SA 09-11-2016 09-11-2018 3	OBBLIG. ORDINA	RIE	Y	N		
	1T0006694266	DEBT SECURITIES	WEST TRADE CE ORDINARIE SERI	NTER SA 24-08-2013 24-08-2017 ZI E 1 OBBL ETICA	ERO COUPON O	BBLIG.	۲	N		
	GB0808080807	DEBT SECURITIES	WEST TRADE CE	NTER SA 01-12-2016 01-12-2019 4	OBBLIG. ORDIN	RIE	Y	'N		
	000000111120	CERTIFICATES, ETC's, ETN's	WEST TRADE CE	NTER SA 10-11-2016 18-11-2018 2	CERTIFICATES /	IRBAG	Y	N		

FE129 ROLES – ADDITIONAL FIELDS

In FE129 the Securities List allows an additional search criterion, i.e. **129 Status**, which correspond to the state of progress of 129 reporting

List of Activities List of	Securities List	of Securitizations Docume	its Browse messages	Administrator	Welcome S	15C.334169 Info E
List of Sec	urities	S MA				
		UTENTE TEST 13		ISIN Code		
	Role	ISSUER	▼ Dis	semination	▼]	
Fin	ancial instrument		•			
	129 Status					
		Not to be Reported	🔍 Search			
		To be started Sections Completed: Section				Number of Securities: 0
ISIN Code	Fina	Sections Completed: Section :	2-3	Description	Dissemination	129 Status
		Sections Completed: Section - Sections Completed: Section -				
		Unknown				
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10						

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List o	f Activities	List of Securities List	of Securitizati	ons Documents	5 Browse	e messages		Administrator	V	Velcome	SISC.334169 Inf	• • •
	List o	of Securities										
		Partner	UTENTE TES	т 13	I.		ISIN Co	de				
		Role	ISSUER		•		Dissemination					
		Financial instrument			•							
		129 Status		·····	•							
						🔍 Seard	h all					
											Number of Securities: 2	2882
	ISIN Cod	Financial instrume	nt		<u> </u>	Description			Dissemination	C	129 Status	
	XS5678432 921	COVERED WARRANTS A LEVERAGE CERTIFICATE		UNICREDIT SPA C PANIERE 100 26-0		CANO CW CALL	SU PANIERI DI	AZIONI PIPPO	Y	Not to t	e Reported	4
	XS5678430 925	COVERED WARRANTS A LEVERAGE CERTIFICATE		UNICREDIT SPA C. PANIERE 100 26-0		CANO CW CALL	SU PANIERI DI	AZIONI PIPPO	Y	Not to t	be Reported	
	XS2345678 911	COVERED WARRANTS A LEVERAGE CERTIFICATE		UNICREDIT SPA C 05-2015 4556.89 C		INDICI (BORSA	E ALTRI) PAPA I	NDICI 16-05-2012 16	- Y	Section	is Completed: Section 2-3	
	XS1199228 831	CERTIFICATES, ETC's, E		UNICREDIT SPA 04 ENERGIA ELETTRI		4-06-2018 VARI	ABILE CERTIFIC	ATES AIRBAG	Y	To be s	tarted	
	XS0934390 823	DEBT SECURITIES		UNICREDIT SPA 2 563	1-05-2013 2	1-05-2014 VARI	ABILE OBBLIG. (ORDINARIE SERIE	Y	Not to t	e Reported	ļ

129 Status is displayed in the list of securities panel:

In FE129, where **Instrument** is not set as a search criterion, the list of securities produced by the search will contain all the securities issued by the **Partner**, including those out of scope for the reporting.

For FE129 Placement Agent, the resulting securities list shows further attributes :

- > Placement Terms of the security
- Placement Agent Role within the placement

	A D'ITALIA osistema	FE129	- Front End Anagi	are	HIUII A				Engl	ish 🛛 🔻
List of	f Activities Lis	t of Securities	List of Securitizations Documer	its E	rowse message	25	Administrator	Welcome	SISC.334169	Info
		Securities								
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		Part	NET UTENTE TEST 13	▼	建百岁		IN Code			
		R	OIE PLACEMENT AGENT	-		Dissemin	ation	•		
		Financial instrum	ent	•			Issuer 16464662	S 🔀		
		129 Sta	tus							
				涯	Q	Search				
									Number of Sec	urities: 9
	ISIN Code	Financial inst	Description	Dis	129 Status	Partner place	Placement Terms	Placement Agent Role	Placement Agent Repo	
		CERTIFICATES,	WEST TRADE CENTER SA 12-05-		Sections	Y	Syndicated, more than	PLACEMENT AGENT	YES	
		ETC's, ETN's 129	2016 12-05-2018 CERTIFICATES MERCI		Completed: Section 4 ONLY		one placement agent in Italy, no pot system, with Resp. for the settlement	(Reporting only for Section 4)		
	XS3311664455	DEBT	WEST TRADE CENTER SA 01-11-	Y	Sections	Y	Syndicated, more than	RESP. FOR SETTLEMENT	YES	
		SECURITIES	2016 01-11-2018 5 OBBLIG. ORDINARIE		Completed: Section 1		one placement agent in Italy, no pot system, with Resp. for the settlement	WITH THE ISSUER		
	XS0101010105	CERTIFICATES,	WEST TRADE CENTER SA 10-11-	Y	Sections	Y	Syndicated, more than	PLACEMENT AGENT	YES	
		ETC's, ETN's 129	2016 10-11-2018 3 CERTIFICATES BONUS ALTRO		Completed: Section 4 ONLY		one placement agent in Italy, no pot system, with Resp. for the settlement	(Reporting only for Section 4)		

3.4. Securitizations List

This function is only available for Italian FVCs (Financial vehicle corporations) and allows browsing data on Securitizations engaged by the Partner.

The only available search criterion is Securitization ID.

By clicking on **Search** the list of securitizations associated with the Partner is displayed.

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MA	- Front End /	Anagrafe	ə Titoli			English	•
ies List of Securities	List of Securitizations	Documents	Browse messages	Administrator	Welcome	SISC.334169 Info	Exit
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			. 1536	Securitization ID 999			
			P and				
						Number of Securitizations: 1	
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Securitizations can be selected from the list to display all the relevant information in the BI security database by clicking on **Browse** or double clicking the securitization.

ENCLOSITION FEAT - Front End Anagrafe Titoli More Last of Activities List of Securitization Decements Administrator Welcome Iffer 2019 Infer End Partner: Description: Last modified: Status: Antit Status: Antit Iffer 2019 Infer End Securitization Securitization ID Number 999 Securitization ID Number 999 Securitization ID Number Securitization ID Number Securitization ID Number Securitization ID Number 999 Securitization ID Number Securitization ID Number	G FEAT - Internet Explorer						. • 💌
Partner: Description: Last modified: Rel: Status: Antit Securitization ID Number 999 Securitization data Resident Issuer Resident Issuer 12867280153 - SPV VENEZIA SPA Securitization Name VENEZIA DOGE Securitization data Securitization type 604 - TRADITIONAL Type of Securitized Assets 801 - BONDS ISSUED OR GUARANTEED BY GOVERNMENTS Securitized credit collectibility 551000 - BAD LOANS revolving 2 - NO master trust 1 - YES Warehouse 1 - YES Deliver Export Export Export Export	BANCA D'ITALIA FEA	T - Front End Anagrafe	Titoli			English 🔻	^
Role: Status: Anth Image: Status: Anth Status: Anth Image: Securitization ID Number 999 Search Securitization ID Number 999 Search Securitization ID Number 999 Securitization data Securitization data Resident Issuer 12807280153 - SPV VENEZIASPA Securitization Name VENEZIADOCE Securitization type 604 - TRADITIONAL Type of Securitized Assets 801-BONDS ISSUED OR GUARANTEED BY GOVERNMENTS Securitized credit collectibility 551000 - BAD LOANS revolving 2 - NO master trust 1 - YES Warehouse 1 - YES Elevent Export Eleven optimizer 2 - NO	Home List of Activities List of Securitie	es List of Securitizations Documents	Browse messages	Administrator	Welcome	SISC.334169 Info	Exit
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Securitization Search Securitization ID Number Search Securitization ID Number 999 Securitization data Securitization data Resident Issuer 12867280153 - SPV VENEZIA SPA Securitization Name VENEZIA DOGE Securitization type 604 - TRADITIONAL Type of Securitized Assets 801 - BONDS ISSUED OR GUARANTEED BY GOVERNMENTS Securitized credit collectibility 551000 - BAD LOANS revolving 2 - NO master trust 1 - YES Warehouse 1 - YES Export Export Export Export Export	v 🗁 999						
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Resident Issuer 12867280153 - SPV VENEZIA SPA Securitization Name VENEZIA DOGE Securitization type 604 - TRADITIONAL Type of Securitized Assets 801 - BONDS ISSUED OR GUARANTEED BY GOVERNMENTS Securitized credit collectibility 551000 - BAD LOANS revolving 2 - NO Restore Save master trust 1 - YES Warehouse 1 - YES Deliver Export Eing reparkaging 0. NO EERCKACINC Eing no priningter 2 - NOWINAL OPICINATOR		Search Securitization ID Number	999				
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Securitized credit collectibility 551000-BAD LOANS revolving 2-NO Restore Save master trust 1-YES 1-YES		Resident Issuer	12867280153 - SPV VENEZ	IA SPA	Securitization Name	VENEZIADOGE	
Restore Save Image: Deliver Image: Export		Securitization type	604 - TRADITIONAL	1	Type of Securitized Assets		
Deliver Export Elag reparkaging 0. NO DEPACKACING		Securitized credit collectibility	551000 - BAD LOANS		revolving	2 - NO	
Flag repackaging 0. NO REPACKACING Flag to originator 2. NOMINAL OPICINATOR		master trust	1 - YES		Warehouse	1-YES	
		Flag repackaging	0 - NO REPACKAGING		Flag no originator	2 - NOMINAL ORIGINATOR	•

3.5. Documentation

BANCA D'ITALIA EUROSISTEMA	FE129	- Front End	Anagraf	e Titoli Articolo	129		English	•
ome List of Activities L	ist of Securities.	List of Securitizations	Documents User manu	Browse messages	Administrator	Welcome	SISC.334169 Info	Exit
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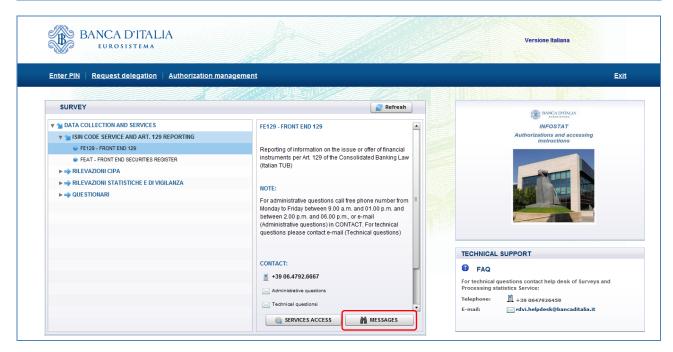
The drop-down menu displays the general documentation for the application:

- Operating manual (this document)
- Instructions for compiling FEAT and FE129 messages
- FAQ.

3.6. Browse Messages

Messages between a Partner and the Bank have a progressive number (protocol) set by the application and may include one or more attachments (e.g. reporting flows, documents, notifications, rejections). To display the messages received or sent by the Partner (e.g. relating to the Send process) select from the toolbar the item **Browse Messages** or use the button **Messages** in the INFOSTAT homepage to the right of the button **ACCESS SERVICES**.

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Home List of Activities List of Securities List of Securitization	s Documents	Browse messages	Administrator	Welcome	SISC.334169	Info	Exit	
	们出现							
Partner	UTENTE TEST 1	3						
Role						1. F.M. N.		~



The view function has two separate sections for **Message Sent** (e.g. reporting) and **Message Received** (e.g. notices, rejections).

Both ways of access open the following panel in which some search options are available (**Partner**, **Type of message**, **Most recent message**)². The search by **Survey** is automatically set on the basis of the survey previously selected and it cannot be varied.

FE129 - Internet Explorer							- • •
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Message received	ssage Sent						
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Most recent message:				Communication Discard			
				Protocol notification	v	Search	🔎 Search reset
Survey	Production date 1 V	Protocol N.	Protocol date	Ref. date Send type	Message type	Original messa	ge
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lessage attached:							
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Documenti	doc1IT2016	600000000172559_FE129_XS0	000222231_IT.pdf) (states
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Survey	Production date 1 V	Protocol N.	Protocol date	Ref. date	Send type	Message	Operator	Source of	Reply message
FE129 - FRON END 129	IT								
END 129	2016-12-15 15:36:38	0.1700.4000.000.4705.44	2016-12-15 15:39	2016-12-15	invio ufficiale	in in	0100 00 4460	Data Cata	0.0000000000000000000000000000000000000
	2010-12-15 15.30.38	IT20160000000172544	2010-12-15 15.39	2010-12-15	invio uniciale	invio	SISC.334169	Data Entry	₽ IT20160000000172559
110-1-1	R THE PLAN		1990 (1990 1 1 - 11 - 11 - 11 - 11 - 11 - 11 -						
ssage attached:									
Download	Fragment type	F	ile						
		/ID2016000000000000000909.01	CSV						

² In the Section Message Sent additional search criteria are available such as **Send Type** and **Source of Message**. These criteria are set to constant values 'Invio Ufficiale (Official sending)' and 'Data entry' for the applications FEAT/FE129.

The **Messages** function allows to browse the main reference data of messages and their relevant attachments; furthermore it gives the option to browse through a complete list of messages, the most recent message or only the ones that have been received within a predetermined period of time.

It is also possible to browse the details of a single message by selecting its **Protocol N.**; its attachments will be shown in the general window or in the detail pop-up and may be selected through the download icon.

FE129 - Internet Explorer		
EUROSISTEMA	nt End Anagrafe Titoli Articolo 129	English 🕞
Home List of Activities List of Securit		SISC.334169 Info Exit
	Survey: FE129 - FRONT END 129 Production date: 2016-12-15 15:36:38	
Messages	Protocol N.: IT20160000000172544	
Message received Message Sent	Protocol date: 2016-12-15 15:39	
Partner: UTENTE TEST 1	Ref. date: 2016-12-15	
Survey: FE129 - FRONT	Send type: invio ufficiale Message category: invio	
From	Operator: SISC.334169	
Most recent message:	Source of message: Data Entry	Search Search
	Message state: Messaggio Protocollato	
Survey Production date 1	Notes: COLLOCATORE; loredana.malavenda@bancaditalia.it; FE129-2841; FEAT_214	Original message
END 129		
2016-12-16 11:17:55 Message atta	ached:	<u>'2544</u>
F F	Download Fragment type File	
	Jocumenti MID20160000000000099.01.csv	
Message attached:		
Download Fragment type		
Documenti		
Documenti docinizo roboti	100000112303_1E123_700000222201_11.but	

Messages sent/received are often related one to the other. Messages received, especially, may contain a reference to the 'original' message that led to them (e.g. a notification of data acquisition will contain a reference to the message concerning the sent data flow); messages sent may contain a reference to the 'reply' message.

me List of Activities	List	of Securities	List of Se	ecuritizations	Documents	Browse messages		Administrato	r Welcon	ne SISC.334169	Info Exit
Messages	Message	e Sent	Å								n.
	-	<u>UNE</u>	1912	105 (J-523/07							
Partner:	-	ITE TEST 13 - NU	1. 1. 21	CCOLTA		Message type	Protocol no	tification	•		
Partner: Survey: From	-	ITE TEST 13 - NU 9 - FRONT END 1	29		▼ ▼ Reset	Message type	: Protocol no	tification	I		
Survey: From	-	9 - FRONT END 1	29		D	Message type	: Protocol no	tification		Search	n Search re

ome List of Activ	ities List of Securitie	s List of Securitizations	Documents	Browse messages	Administrator	Welco	me SISC.334	169 Info Exit
Messages	Message Sent							
Partr		- NUOVA RACCOLTA	•	Send type:	Any			
Surv	ey: FE129 - FRONT E	ND 129		Message category:	Any			
Fr	om 🔲	То	Reset	Source of message:	Any			
Aost recent messa	ge: 🗹						S	earch
Most recent messa Survey	ge: ☑ Production date 1 ▼	Protocol N.	Protocol d	ate Ref. date	Send type Message	Operator	Source of	earch 🖉 Search re: Reply message
		Protocol N.	Protocol d	ate Ref. date	Send type Message	Operator		

3.6.1. FEAT/FE129 message flows

Below is a description of the flow of messages exchanged between Partner and Bank during the acquisition and processing steps of a FEAT/FE129 report, as they are shown in the sections **Message received** and **Message sent** of the **Message** view function.

1. When despatch of an activity is completed, the sent messages list will include the message forwarded to the Bank with the protocol number it has been assigned.

	of Activities	List of Securities	List of Securitizations	Documents	Browse messages		Administrator	Welco	me SISC.3341	69 Info Ex
Messag	jes									
ssage rec	ceived 📑 N	essage Sent								
	Partner:	UTENTE TEST 13	NUOVA RACCOLTA	•	Send type:	Any	-			
	Survey:	FE129 - FRONT EN	ID 129	•	Message category:	Any	-			
	From		То	Reset	Source of message:	Any	-			
ist recent	message:								D Sea	arch 🧕 Search r
	Pro	duction date 1 🔻	Protocol N.	Protocol	date Ref. date	Send type	Message	Operator	Source of	Reply message
Survey										
Survey FE129 - F END 129	RONT									

2. Afterwards, the Partner receives a first message notifying assignment of a protocol number (Message Type: Notification of Protocol): the system assigns the protocol number identifying the message sent and communicates it to the Partner. The field **Original message** bears the protocol number of the sent message.

ome List of Activitie	s List of Securities	List of Securitizations	Documents Bro	wse messages	Administrator	Welcome SISC.334169	Info Exit
Messages	Message Sent						
Partner: Survey: From	UTENTE TEST 13 - N FE129 - FRONT END	129	v v Reset	Message type: Pro	tocol notification	P Search	Search re
Most recent message:							
	roduction date 1 V	Protocol N.	Protocol date	Ref. date S	Send type Message type	Original mess	

- 3. The activity is transferred and processed in the Back-End of BI Securities Database.
- 4. The outcome of the acquisition of the activity sent is notified to the Partner by:
 - a) message with Message Type: Notification ('notifica') for positive outcomes:

	of Activities	List of Securities	List of Securitizations	Documents	Browse messages	,	Administrator	Welcome	SISC.334169 Inf	Ex
Messag										
essage rec	Partner:	UTENTE TEST 13 -	NUOVA RACCOLTA		Message type:	Communication	-			
	Survey:	FE129 - FRONT EN	All the share was the second							
	From		To 🔲	Reset						
ost recent	From t message:		المستعلق وراسي محدر محافظ مراسي المروحين	Reset					<mark>,⊘</mark> Search	Search I
	t message:		المستعلق وراسي محدر محافظ مراسي المروحين	Reset Protocol da	ate Ref. date	Send type	Message type		© Search Original message	🔎 Search I
Survey FE129 - F END 129	t message: Pro RONT		το		te Ref. date	Send type	Message type			<u>∕</u> Search r

b) message with Message Type: Discard ('scarto') for negative outcomes:

ome List of Activiti	es List of Securities	List of Securitizations	Documents Br	owse messages	Administrator	Welcome	SISC.334169 Info Exi
Messages	Message Sent				en		
Partner	UTENTE TEST 13 - N	UOVA RACCOLTA	•	Message type: D	iscard 🔻		
Survey	FE129 - FRONT END	129	•				
From	I III III III III III III III III III	Го 📄 🗎	Reset				
	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1						
Most recent message							Search 🖉 🔎 Search re
Most recent message Survey	Production date 1	Protocol N.	Protocol date	Ref. date	Send type Message typ	e	Search Search re

In both cases the field **Original Message** will bear the message protocol number as referred to the one sent by the Partner

Every Notification and Discard message has one or more documents attached containing information on the acquisition (e.g. ISIN code assigned, reason for discard, etc.), as well as the protocol number of the message sent by the Partner to which the Notification/Discard message refers and the identification of the activity (FEAT-) to which the message sent relates.

Home List of	Activities	List of Securities	List of Sec	curitizations Document	s Browse messag	es	Administ	rator Welcor	ne SISC.334169 Info	Exit
Message Message	202	essage Sent							18	<u>.</u>
Most recent m	Partner: Survey: From lessage:	UTENTE TEST 13 - NU FE129 - FRONT END 1	29	COLTA	Message	type: Commu	inication		P Search	R Search reset
Survey		Production date	1 .	Protocol N.	Protocol date	Ref. date	Send type	Message type	Original messa	ge
		20								
🗁 FE129 - FRC	ONT END 1	2016-12-16 11:17:	55 🔎 🖸	T201600000000172559	2016-12-16 11:17	2016-12-15	invio ufficiale	notifica	₽ IT2016000000017254	4

5. At the end of the process, in the section **Message sent** the Partner original message will be linked to the notification/discard message issued by the Bank. Therefore in the field **Reply message** will be shown the protocol of the acquisition outcome as determined by the Bank.

	t of Activities	List of Securi	ies List of Secu	ritizations Do	cuments	Browse messages		Administrator	Welco	me SISC.334	169 Info Exit
Messa lessage re		lessage Sent									
	Partner:	UTENTE TEST	13 - NUOVA RACCO	LTA	-	Send type:	Any	-			
	Survey:	FE129 - FRONT	END 129		-	Message category:	Any	-			
	From		То	Res	et	Source of message:	Any	-			
lost recei	nt message:										
										₽ s	earch 🦳 🔎 Search re:
Survey	Pro	oduction date 1	 Protocol 	IN.	Protocol o	date Ref. date	Send type	Message	Operator	Source of	Reply message
	FRONT										
FE129 - END 12	9										

3.7. *Facilities for the management of entities*

The following facilities are useful for the management of entities different from the reporting Partner.

The functioning of the facilities varies depending on the type of *role* of the entity involved:

- <u>Issuer</u>: the application provides the users with browsing, registration and update facilities (see § 3.7.1). The browsing facility allows users to search for a requested issuer within the BI Securities Database according to a specific perimeter search. If the search doesn't return any result, it will be possible to proceed to the issuer's registration only for resident issuers within FEAT application by means of a specific function (see § 3.7.2).
- <u>Other entities who are somehow involved in the securities' issues</u> (Parent Company, Lead Manager, Guarantor, Guarantor's Parent Company): the application provides the users with browsing, registration and update facilities (see § 3.7.3). The browsing facility allows users to search for the requested entity within the BI Securities Database. If the search doesn't return any result, it will be possible to proceed to the issuer's registration by means of a specific function (see § 3.7.4).

3.7.1. Issuer selection

The **Issuer** selection field is enabled for all FEAT and FE129 roles except for the Issuer role. In Request for/Registration of ISIN messages and when searching securities to browse (see § 3.3) or update (see § 4.3) registered information, reporting Partners have to select a third entity as issuer³.

Below is a description about the functioning and the search perimeter of the "Issuer Selection" facility for the different operating roles.

PARENT COMPANY ROLE (FE129)

The search covers all non-resident entities belonging to the banking group whose parent company is the reporting Partner.

By clicking on List of Securities:

G FE129 - Internet Explorer							
BANCA D'ITALIA FE129 - I	Front End Anagra	ife Titoli Arti	colo 129		Eng	plish 🛛 🔻	^
Home List of Activities List of Securities List	t of Securitizations Documents	Browse messages	Administrator	Welcome	SISC.334169	Info	Exit
List of Securities	1115						
Partner	UTENTE TEST 13		ISIN Code				
Role	PARENT COMPANY		Dissemination	•			
Financial instrument		•	Issuer	9 ×			
129 Status				and the second s			
		C Se	arch				

³ As a matter of fact, by selecting the Issuer Role – therefore acting as issuer – the Partner is automatically set

[•] as issuer in Request for/Registration of ISIN messages.

as search criterion in the Securities List

[•] as search criterion in Search for ISIN to update (see § 4) for the creation of update communications

				×
ISIN Code				
Issuer *				
	Q Search			
				Number of Securities: (
ISIN Code		Description	Issuer	129 Status
😧 Close				Frowse

By clicking on Search ISIN to update after selecting a Registration of ISIN or an Update message:

By clicking on **Compile** after selecting a Request for ISIN message:

New Activity		Issuer	Q					
Continue Previous Activity								
List of Activities Number of Activities: 0								
Number of Activities	Description	Status	ISIN Code	Last modified	Issuer			

In all the cases described, the issuer search is activated by clicking on the magnifier icon located on the right of the field. In the following window, after clicking the **Search** button, a list of entities matching the criteria is displayed, from which the requested entity may be selected by means of the **Select** button.

ssuer				
	Entity code		Entity name	
	LEI Code			
		C Search		
Total records: 86429				Visible records: 2000
	Entity code	Entity name	LEI Code	
32077206		DA AFGHANISTAN BANK	LEI00ABC123	A
14692373		SAUDI ARABIAN MONETARY AGENCY		
1269633		BANCO CENTRAL DE LA REPUBLICA ARGENTINA		
32149561		BUENOS AIRES PROVINCIA DE		
32450308		BUENOS AIRES-CITTA DI		
		MENDOZA-PROVINCIA DI		

Whenever the search with the entered parameters does not return any results (entity not found or list empty) a pop-up will advise the user to contact the Bank's competent Supervision Division.

Warning
According to the Groups Supervisory Register, the requested entity is not included in the Partner banking group as the group holding company. For more information please contact the Division in charge within the Supervision Department.
ОК

The entity (belonging to the banking group of which the Partner is parent company) may not be registered within the BI Securities database. If so, when searching the securities issued by the entity a pop-up will appear asking to register the entity by sending a request to <u>titoli.estero@bancaditalia.it</u>.

ERRO	DR
×	The selected entity is not registered in the BI Entity Database. To proceed to the registration please send a mail to the mail box codifica.estero@bancaditalia.it.
	ОК

PLACEMENT AGENT ROLE (FEAT-FE129)

To start a search click on the magnifier icon located on the right of the field **Issuer**. In FEAT the issuer's place of residence is to be previously set, whereas in FE129 this step is not requested as placement agents are to report only securities issued by non-resident issuers.



By clicking on List of Securities:

S FEAT - Internet Explorer					
BANCA D'ITALIA EUROSISTEMA FEAT - Front End A	nagrafe Titoli			English 🔹	^
Home List of Activities List of Securities List of Securitizations	Documents Browse messages	Administrator	Welcome SISC.33	4169 Info E	xit
List of Securities					
Partner UTENTE TEST 13		ISIN Code			
Role PLACEMENT AGENT	•	Dissemination	•		
Financial instrument	•	Issuer	Italian		
	🔍 Search		Foreign		

				×
ISIN Code				
Issuer *	Q, 🔀			
		Q	Search	
				Number of Securities: 0
ISI	N Code		Description	Partner place
🔞 Close				🚩 Browse

By clicking on Search ISIN to update after selecting an Update message⁴:

By clicking on **Compile** after selecting a Request for ISIN message:

New Activity		Issuer	Q ≥		
Continue Previous Activity					
ist of Activities					Number of Activ
Activity Number	Description	Status	ISIN Code	Last modified	Issuer

The prior selection of resident issuers ("**Italian**") starts the following window in which the **Tax Code** is to be filled in the complete extension whereas **LEI code** and **Entity Full Name** may be inserted also in a partial form.

		×
Search Issuer		
Tax Code	Entity Full Name	
LEI Code		
	C Search	
		Visible records: 0
👟 Cancel		✓ Select

⁴ The "Registration of ISIN" message doesn't request to previously set the issuer's place of residence because the placement agent is allowed to register only the securities issued by resident issuers.

The prior selection of non-resident issuers ("**Foreign**") starts the following window in which the **Entity Code** is to be filled in the complete extension whereas **LEI code** and **Entity Full Name** may be inserted also in a partial form.

		×
Search Issuer		
Entity Code	Entity Full Name	
LEI Code		
	C Search	
		Visible records: 0
Cancel		✓ Select

For **FE129** a further three search criteria are automatically set:

- Country of Residence other than Italy;
- Type of entity other than Sovereign State;
- Entity not belonging to a banking group with resident parent company.

After clicking the **Search** button, a list of entities matching the criteria is displayed, from which the requested entity may be selected.

If the search doesn't return the requested result (no issuer found) it is possible to proceed to the issuer's registration by forwarding a "General Communication" bearing the entity's reference attributes.

Only through FEAT function **Compile** is it possible to activate a resident issuer registration within the very Request for ISIN message (see § 3.7.2).

REPORTING ENTITY ROLE (FEAT)

The issuer may be searched only for <u>Italian securities</u> with dissemination status = Y, except for the "Request for ISIN with final data" message for debt securities. The Issuer search is performed by clicking on the magnifier icon located on the right of the field.

The search windows are the same as those described for the Placement Agent Role.

By clicking on List of Securities:



ISIN Code Issuer * Q R Recorded Control of Control of

By clicking on Search ISIN to update after selecting an Update message:

By clicking on **Compile** after selecting a Request for ISIN message:

New Activity		Issuer	Q		
Continue Previous Activit	y .				
List of Activities					Number of Activi
Activity Number	Description	Status	ISIN Code	Last modified	Issuer

In case of no result when searching for a non-resident issuer, it is necessary to request the registration by sending a "General Communication" bearing the entity's reference attributes.

Only by clicking on **Compile** within the Request for ISIN message enables the resident issuer registration (see § 3.7.2).

3.7.2. Issuer registration (FEAT)

<u>The facility is available only within FEAT application and only for resident issuers</u>. If the security issuer has not been found in the BI Securities Database, it can be registered via the "Start Registration" button available in the issuer search window within the compilation (**Compile** button) of a request for ISIN message.

Search Issuer				
Tax Code	12345678903		Entity Full Name	
LEI Code				
	Q 5	Search		
Total records: 0				Visible records: 0
Tax Code	Entity F	ull Name		LEI Code
Cancel Start Registration				✓ Select

The "Start Registration" button is enabled only after having performed at least one search with any criteria.

To start the registration it is required to indicate the tax code of the issuer to be registered.

Regis	tration data
	The tax code is required to start the registration

To start the registration it is required to enter a valid tax code.

Registration data
🕦 Invalid code
ОК

The registration is started only if, by clicking on the "Start Registration" button, the tax code indicated has not been found in the BI Securities Database.

Registration data
The registration has been started. The registration data section could be pre-filled
ОК

The Request for ISIN message is automatically created with the additional section "**Registration Data for Issuer**", in which it is mandatory to enter all data required for the registration. The registration data section could be pre-filled if the tax code has been found in the BI Entities Database. The pre-filled data are editable.

🔻 늘 FEAT-8790		
🗋 Financial Instrument Information	Registration Data for Resident Issuer	
Placement Information		
Yield Information	Tax Code * 12345678903	Country 086 - ITALIA
Redemption Information		
Other Options	Entity Full Name *	Head Office city *
🔻 🗁 Registration data		
Registration Data for Issuer	Subgroup of Economic Activity *	▼ Legal Form *
E		
	LEI Code	
5		

3.7.3. Selection of Entity other than Issuer

The selection of entities somehow involved in the security issue (Parent Company, Lead Manager, Guarantor Parent Company) is enabled in the body of all messages that may enter or update information in the section **Financial Instrument Information**.

Resident Parent Company of the Issuer		wn municazione dati definitivi e info anagrafiche 129 (sez. 1) Non-resident Issuer	elcome SISC.331100 Info Exit
Role: ISSUER al Instrument Resident Issuer Resident Parent Company of the Issuer	Status: Saved with errors 00348170101 - UNICREDIT, SOCIETA' PER AZIONI		Last modified: 22-12-2016 16:28
Resident Issuer Resident Parent Company of the Issuer	AZIONI	Non-resident (ssuer	
Resident Issuer Resident Parent Company of the Issuer	AZIONI	Non-resident Issuer	
Resident Issuer Resident Parent Company of the Issuer	AZIONI	Non-resident Issuer	
Resident Parent Company of the Issuer	AZIONI	Non-resident Issuer	
	0		
	○	Non-resident Parent Company of the Issuer	۹
Issuer Subgroup of Economic Activity	245 - BANKS AND OTHER CREDIT INSTITUTIONS	Issuer's Parent Company Subgroup of Economic Activity	245 - BANKS AND OTHER CREDIT INSTITUTIONS
Resident Lead Manager	Q ¥	Non-resident Lead Manager	Q, 🗴
Type of Financial Instrument *		Security Classification	
Security Form *	•	Existence of Derivative Component *	· ·
Cum Warrant *		Convertible *	
Credit linked *	•	Subordinated *	·
Type of Bond *		Trade Name	
Type of Documentation	2 - FINAL DOCUMENTATION		
itees			
Turn of Communication &		Currenter Code DCC Fund	
Type of Guarantee			
Resident Guarantor	Q 🗴	Non-resident Guarantor	Q 🛛
Resident Parent Company of Guarantor	٩, 🗴	Non-resident Parent Company of Guarantor	Q, 🗴
	Type of Financial Instrument * Security Form * Cum Warrant * Credit linked * Type of Bond * Type of Documentation tees Type of Guarantee * Resident Guarantor	Type of Financial Instrument * Security Form * Cum Warrant * Cum Warrant * Cum Warrant * Credit linked * V Credit linked * V Type of Bond * V Type of Bond * V Type of Guarantee * V Resident Guarantor Q 2 Resident Parent Company of Guarantor Q 2 2	Type of Financial Instrument Security Classification Security Form Existence of Derivative Component Cum Warrant Convertible Convertible Convertible Convertible Convertible Convertible Convertible Subordinated Type of Bond V Trade Name Type of Guarantee Guarantee Code BCC Fund Resident Guarantor Resident Guarantor Non-resident Guarantor

The search is performed clicking on the magnifier icon located on the right of the field. The selection facility allows the search of the entity in the BI Securities Database. If the search returns no result it will be possible to proceed to the entity registration using the specific function (see § 3.7.4).

For resident entities the search parameters are the Taxpayer code, the Entity name, the Subgroup of economic activity and the LEI code.

Subgroup of Economic Activity		LEI Code	
Subgroup of Economic Activity		LEICOUE	
	🔍 Search		
			Visible records

For non-resident entities the search parameters are the BI entity code, the Entity name, the Subgroup of economic activity, the Country of residence and the LEI code.

	Entity Full Name		Entity Code
Q	Country		Subgroup of Economic Activity
			LEI Code
		🔍 Search	
Visible records: 0			

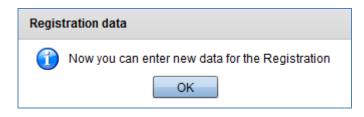
3.7.4. Registration of entity other than Issuer

Again, with regard to all messages that may enter or update information in the section **Financial Instrument Information**, in the window that opens when the entities search is enabled (see previous paragraph) the registration procedure is available. More specifically, if the search doesn't return any result it will be possible to activate the registration of the entity (Parent Company, Lead Manager, Guarantor Parent Company) by clicking on the button **Start Registration**.

Tax Code 1234	5678903	Entity Full Name	
Subgroup of Economic Activity	•	LEI Code	
	🔍 Sea	arch	
Total records: 0			Visible records: 0
Tax Code	Entity Full Name	Subgroup of Economic Activity	LEI Code

For resident entities the "**Start Registration**" button is enabled only after having performed at least one search with any criteria. The search windows and the checks related to the registration procedure are the same as those described for the Issuer Registration (§3.7.2).

For non-resident entities the registration procedure is enabled since the opening of the search window. By clicking on the "Start Registration" button it will displayed the following window:



Consequently, both for resident and non-resident entities, the section bearing the fields related to the entity reference attributes will be added to the selected message form. Depending on the type of entity, the following templates are available:

- Registration data for Issuer Parent Company
- Registration data for Lead Manager
- Registration data for Guarantor
- Registration data for Guarantor Parent Company

FE129 - Internet Explorer				
BANCA DITALIA FE129 - Front End Anagrafe Titoli Articolo 129				
Home List of Activities List of Securities List of Securitizati	ons Documents Browse messages	Administrator	Welcome SISC.334169	Info Exit
Partner: U Role: 15		TOLI DI DEBITO 129 - Comunicazione dati definitivi e in ved with errors	fo anagrafiche 129 (sez. 1) Last modified:	22-12-2016 16:28
Firancial Instrument Information Placement Information	Registration Data for Parent Company			
Redemption Information Yield Information	Entity Full Name *		Country *	۹ 🗴
☐ Other Options	Subgroup of Economic Activity *	•	Legal Form *	-
Registration Data for Parent Company of the Issuer Registration Data for Lead Manager	LEI Code			
Registration Data for the Guarantor	Resident entities			
Required attachments: 1	Tax Code		Head Office city	۹, 🗴
List of Attachments Type	Non-resident entities			
	Foreign Location			
Attach				
Restore Save				
Deliver Activity				

The registration data sections for resident entities could be pre-filled if the tax code has been found in the BI Entities Database. The pre-filled data are editable.

4. Access to messages

There are two main types of messages forwarded to the Bank:

- Reporting of a new security in the BI Securities Database (e.g. Request for/Registration of ISIN);
- <u>Update of securities already registered</u> in the BI Securities Database (e.g. Communication of final data, Communication of 129 reference attributes Section 1, Communication of redemptions)

Compiling a message may be performed either by <u>starting a new activity</u> or <u>by continuing a previously saved</u> <u>one</u>. In the latter case the activity status may be not yet completed or completed but not yet forwarded to the Bank.

Messages – whatever their type and whatever their completion status – are accessed from the home page. To browse available messages it is necessary

Step 1: enter the field Partner and Role.

The list of Partners for which the user is authorized appears in the drop-down menu of the field Partner.

🗿 FE129 - Internet Explorer				
BANCA D'ITALIA FE129 - Front End Anagrafe Titoli Articolo 129				English 🔻
Home List of Activities List of Securities List of Secu	iritizations Documents Browse messages	Administrator	Welcome SISC.334	169 Info Exit
	Partner UTENTE TEST 20 Partner UTENTE TEST 13 UTENTE TEST 14 UTENTE TEST 15 UTENTE TEST 15 UTENTE TEST 20 UTENTE TEST 3 UTENTE TEST 3 UTENTE TEST 30 UTENTE TEST S0 UTENTE TEST S0 UTENTE TEST ESTERO			

Each Partner can be authorized for one or more Roles:

• For FEAT: Issuer, Placement Agent, Reporting Entity;

FEAT - Internet Explorer BANCA D'ITALIA FEAT - Front End	Anagrafe Titoli			English 🔻
Home List of Activities List of Securities List of Securitization	is Documents Browse messages	Administrator	Welcome SISC.334169	Info Exit
Partner	UTENTE TEST 13			
Role	· ·			
	ISSUER REPORTING AGENT PLACEMENT AGENT			

• For FE129: Issuer, Placement Agent, Parent Company.

FEL29 - Internet Explorer	l Anagrafe Titoli Art	icolo 129			E	inglish	
CUROSISTEMA			Administrator	Welcome	SISC.334169	Info	Exit
Partner	UTENTE TEST 13						
Role							
	SSUER PLACEMENT AGENT						

After selecting the Partner, select the **Role** under which the report is made.

After the selection, the messages available for the **Partner** are displayed grouped by type of financial instrument (e.g. Debt Security, Equity, Warrant, etc.). The range of the available messages depends on the selected **Role**

ICA D'ITALIA FEAT - F	Front End Anagrafe					inglish 🛛 🔻
t of Activities List of Securities Lis	t of Securitizations Documents	Browse messages	Administrator	Welcome	SISC.334169	Info
	A. M. Martin					
	Partner UTENTE TEST 13	• //				
	Role ISSUER					
	and the second second					
V CD DEBT SECURITIES	HUN S. SOORTH SE UU					
Request for ISIN						
Request for ISIN with final	l data					
Registration of ISIN						
Communication of final d	ata					
Communication of coupo	ns					
Communication of update	Ð					
► Ca RIGHTS						
WARRANTS						
CERTIFICATES, ETC'S, ETN	s					
COVERED WARRANTS AND	LEVERAGE CERTIFICATES					
► Callisting Information						
GENERAL COMMUNICATION	N					

Step 2: select a specific message.

Selecting a message opens a window on the right of the screen and one section below.

BANCA D'ITALIA	EAT - Front End An	agrafe Titoli		English	 -
List of Activities List of Sec	urities List of Securitizations De	cuments Browse message	s Admini	istrator Welcome SISC.334169 Info	Exi
	A A A A A A A A A A A A A A A A A A A				
	Partner UTENT	E TEST 13			
	Role ISSUEI				
	KUE ISSUE				
DEBT SECURI	TIES			DEBT SECURITIES	
Request for				DEBT SECORITIES	
	r ISIN with final data			Request for ISIN	
Registration	n of ISIN			To be used to request the ISIN code for	
	ation of final data			Debt Securities "upon issuance", by the working day preceding the Accrual Start	
	ation of coupons			Date. By "upon issuance" it is meant a financial instrument for which the ISIN	
	ation of update			request date is prior to the Accrual Start Date. The documents to be attached are	
				considered provisional. It is possible to	
► 🗀 RIGHTS				request the ISIN code for:	
NARRANTS				 all Debt Securities whose depot is made with the Italian CSD (Monte Titoli Spa); 	
	S, ETC's, ETN's			Debt Securities issued by Italian entities without depot with any CSD.	
COVERED WA	RRANTS AND LEVERAGE CERTIFICAT	ES		USEFUL LINKS:	
LISTING INFOR	RMATION			Compiling istructions	
GENERAL CO	MMUNICATION			XSD scheme flow 221	
The second	uite			mob outcine now 221	
		Request for	ISIN		
	DATA ENTRY		SEARCH FOR ISIN TO UPDAT	E LIST OF ACTIVITIES	

The window contains:

- A brief description of the selected message;
- A link to the "Instructions for compiling FEAT and FE129 messages";
- A link to download the xsd file for the message selected (where available).

The section at the bottom includes the functional buttons to manage the messages that will be subsequently forwarded to the Bank:

- On the left (DATA ENTRY) are displayed the functions to fill in the messages (Compile and Import)
- on the right is located a function to search existing securities in the BI securities database in order to create update messages (Search ISIN for updating) and another one to browse the ongoing activities (List of Activities §3.2) for Partner, Role and Type of message.

The buttons are enabled according to the type of selected message. As previously mentioned, the range of available message types depends on the selected role.

The messages' compiling process may be enabled only by clicking on one of the four buttons below, each of them is associated to a specific function.

	DATA ENTRY	SEARCH FOR ISIN TO UPDATE	LIST OF ACTIVITIES
Compile	Import	Browse	Browse

Once Partner, Role and Type of message the four button are displayed and are associated to the following functions.

The button **<u>Compile</u>** allows:

- to create a new activity to request for new securities with IT ISIN code prefix or to produce a general communication.
- to continue the editing of a previously saved message.

The button Import allows:

- to import a csv. or xml. file in order to upload the information related to non-massive Registration of/Request for ISIN messages and Communication of coupons/amount placed/early redemptions.
- To import massive files in FEAT for Requests for ISIN and Communications of final data related to Covered Warrant and Leverage Certificates. For all the remaining massive messages the button is enabled within the single messages but not the Home page.

The button Search for ISIN to update allows:

- to select a security as registered in the BI Securities Database in order to create an update activity.
- to create a registration activity for all securities whose ISIN code prefix is different from IT, after having checked their registration in the BI Securities Database. The button is enabled for all nonmassive update messages.

The button List of activities allows:

- To search, browse and edit whatever previously saved activity which has not been forwarded to the Bank yet.
- To forward to the Bank activities with "To be delivered" status.

Hereunder is the description of the buttons' working.

4.1. Compile

The button Compile allows:

- to create a new activity for new IT ISIN codes or for General Communications.
- to continue whatever type of previously saved message.

	DATA ENTRY	SEARCH FOR ISIN TO UPDATE	LIST OF ACTIVITIES
Compile	🔔 Import	Browse	📰 Browse

The button is enabled only after a specific message type has been selected.

NCA DITALIA FE129 - Front End Anagrafe Titoli Articolo 129	English 🔻
ist of Activities List of Securities List of Securitizations Documents Browse messages	Administrator Welcome SISC.334169 Info
Partner UTENTE TEST 13	
Role ISSUER -	
V DEBT SECURITIES 129	DEBT SECURITIES 129
C Request for ISIN	
Request for ISIN with final data and 129 reference attributes (Section 1)	Request for ISIN
Registration of ISIN with 129 reference attributes (Section 1)	To be used to request the ISIN code for securities "upon issuance", by the
Communication of final data and 129 reference attributes (Section 1)	working day preceding the Accrual Start Date. It is meant by "upon issuance" the
Communication of 129 reference attributes (Section 1)	financial instrument for which the ISIN request date is prior to the Accrual Start
Communication of 129 reference attributes (Section 2-3)	Date. It is possible to request the ISIN
Communication of coupons	code for:
	all securities with depot to the Italian CSD (Monte Titoli Spa);
COVERED WARRANTS AND LEVERAGE CERTIFICATES 129	securities issued by Italian entities without depot to any CSD.
	The communication requires documentation for the ISIN code
	assignment to the security. The
	documentation to be attached is considered provisional. The final
	documentation must be attached to the final data or to the communication of
	update. The documentation is required solely for
	the purpose of ISIN code service and not
	for reporting per Art.129 purposes.
	USEFUL LINKS:
	Compiling istructions
	XSD scheme flow 201
Request for ISIN	
DATA ENTRY SEARCH FOR ISIN TO	D UPDATE LIST OF ACTIVITIES
Compile Import 📰 Browse	Browse

As mentioned before, the editing of previously saved activities may be performed irrespective of the type of survey (FEAT or FE129) and of the Partner role (Issuer, Placement agent, Reporting agent, Parent company). On the contrary, the option to create a new activity is enabled only for Requests for ISIN and General Communications irrespective of type of survey and role.

Clicking on **Compile** it is possible to access to the page in which to select **New (A)** or "**Continue previous** activity" (B).

(A) By clicking on "New activity", the button "New" becomes active and allows the creation of a new empty activity.

New Activity					
Continue Previous A	ctivity				
List of Activities					Number of Activitie
Number of Activities	Description	Status	ISIN Code	Last modified	Issuer
FE129-2954	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		20-12-2016 13:08	00348170101
FE129-2886	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		13-12-2016 17:18	00348170101
FE129-2296	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		25-11-2016 10:03	00348170101
FE129-2218	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		14-12-2016 11:59	00348170101
FE129-2143	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		08-07-2016 12:50	00348170101
FE129-2045	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		20-07-2016 15:54	00348170101
FE129-1847	TITOLI DI DEBITO 129 - Richiesta	Saved with errors		21-04-2016 13:15	00348170101

In FEAT, for Requests for ISIN relating to Equities and Warrants by Issuer Role, clicking on **Create** issues the warning to verify the existence of any ISIN codes already assigned to the instruments.

(B) Selecting "Continue Previous Activity" the list of the ongoing activities is shown from which it is possible to select a single activity by clicking Edit or double clicking on the activity.

New Activity						
Continue Previous A	ctivity					
List of Activities					Number of Activiti	ies
Number of Activities	Description	Status	ISIN Code	Last modified	Issuer	
FE129-2954	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		20-12-2016 13:08	00348170101	-
FE129-2886	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		13-12-2016 17:18	00348170101	
FE129-2296	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		25-11-2016 10:03	00348170101	
FE129-2218	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		14-12-2016 11:59	00348170101	
FE129-2143	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		08-07-2016 12:50	00348170101	
FE129-2045	TITOLI DI DEBITO 129 - Richiesta ISIN	Saved with errors		20-07-2016 15:54	00348170101	
FE129-1847	TITOLI DI DEBITO 129 - Richiesta	Saved with errors		21-04-2016 13:15	00348170101	ľ

For all messages except **Request for ISIN** and **General Communication** (i.e registration of securities whose ISIN code prefix is different from IT and update messages) the button **Compile** only allows the progression of a previously saved activity but not the creation of a new one.

Therefore, when clicking on **Compile**, a pop-up warns to select the security using the function **Search for ISIN to update** (§ 4.3) in order to start a new update activity or a to create an ISIN registration/request one if the security is not yet registered in the BI Securities Database.

Warning
To start a new activity of 'Communication of coupons ' select the security using the function 'Search ISIN to update'. If the security is not registered, request the insertion in the BI Database by using 'ISIN request' or 'ISIN Registration' functions.
ОК

Clicking OK, the list of previously saved activities is enabled. A specific activity can be opened by selecting it and clicking **Edit** button or double-clicking on the activity.

					×
List of Activities					Number of Activities:
Number of Activities	Description	Status	ISIN Code	Last modified	Issuer
FE129-2986	TITOLI DI DEBITO 129 - Comunicazione cedole	Saved with errors	IT0001430377	27-12-2016 12:44	00348170101
FE129-2958	TITOLI DI DEBITO 129 - Comunicazione cedole	Saved with errors	IT0005004921	20-12-2016 18:28	00348170101
🔇 Close					Edit

4.2. Import

The function Import allows :

- to import a csv or xml file in order to upload the information related to all non-massive ISIN Registration/Request messages and Coupon/ amount placed /redemption communications.
- to import massive files of Requests for ISIN and Final data communications as regards Covered Warrants and Leverage Certificates (in FEAT). For all the other massive messages, the button is disabled in the Home page but not within each message page.

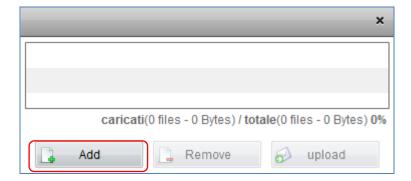
	DATA ENTRY	SEARCH FOR ISIN TO UPDATE	LIST OF ACTIVITIES
Compile	Import	Browse	Browse

The button is enabled only after selecting a type of message for which the import function is available. The function **Import** may be used together with the **Export** one which is located within the messages (see § 5.1.7) in order to download, edit and upload data of securities.

For some messages, on the right side of the page is available the record layout (csv or xsd scheme for xml files) useful to the automatic upload of the information.

🥭 FE129 - Internet Explorer	
BANCA D'ITALIA FE129 - Front End Anagrafe Titoli Articolo 129	English
Home List of Activities List of Securities List of Securitizations Documents Browse messages Administrator	Welcome SISC.334169 Info Exit
Partner UTENTE TEST 13 Partner UTENTE TEST 13 Role ISSUER Role ISSUER Request for ISIN Request for ISIN with final data and 129 reference attributes (Section 1) Registration of ISIN with 129 reference attributes (Section 1)	Welcome 515C.334169 Info Exit DEBT SECURTIES 129 Request for ISIN To be used to request the ISIN code for securities two issuance", by the
Communication of final data and 129 reference attributes (Section 1) Communication of 129 reference attributes (Section 1) Communication of 129 reference attributes (Section 2-3) Communication of coupons	securities 'upon issuance', by the working day preceding the Accrual Start Date. It is meant by 'upon issuance' the financial instrument for which the ISIN request date is prior to the Accrual Start Date. It is possible to request the ISIN code for:
 ▶ CERTIFICATES, ETC'S, ETN'S 129 ▶ COVERED WARRANTS AND LEVERAGE CERTIFICATES 129 ▶ QUARTERLY REPORT ▶ LISTING INFORMATION ▶ GENERAL COMMUNICATION 	 all securities with depot to the Italian CSD (Monte Titol Spa); securities issued by Italian entities without depot to any CSD. The communication requires documentation for the ISIN code assignment to the security. The documentation to be attached is considered provisional. The final documentation must be attached to the final data or to the communication of update. The documentation is required solely for the purpose of ISIN code service and not for reporting per Art.129 purposes. USEPUL LINKS: Compiling istructions XSD scheme flow 201
DATA ENTRY DATA ENTRY Compile Compile Browse Browse	LIST OF ACTIVITIES

By clicking on the button Import, the following pop-up opens:



By clicking on the button **Add** a window opens in which, once the file has been selected, it may be uploaded by means of the button '**Apri**' (Open):

<i> Seleziona i file</i>	da caricare con s	vilinfostat.bancaditalia.it							×
Cerca in:	FEAT-FE129		•	G	ø	Þ	•••		
Risorse recenti		t_FEAT_503136_FEAT-2 on valori separati da vir e							
Desktop									
Raccolte									
Computer									
Rete									
	Nome file:	Export_FEAT_503136_FE	EAT-2386.	csv			•	Apr	
	Tipo file:	CSV					-	Annu	la

Click on the button **Upload** to feed the application with the file data. If no errors are detected a new activity is created.

	×
Export_FEAT_503136_FEAT-1373.csv	246 Bytes
caricati(0 files - 0 Bytes) / totale(1 files	s - 246 Bytes) 0%
🔒 Add 📑 Remove	upload

🥭 FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English 💌
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
E E	Partner: UTENTE TEST 13 Role: ISSUER	Description: D Status: N	EBT SECURITIES - Request for ISIN	Last modified: 05-01-2017 10:25
▼ C→ FEAT-3066				A
Financial Instrument Information	Financial Instrument			
Placement Information Yield Information Redemption Information	Resident Issuer	00348170101 - UNICREDIT, SOCIET PER AZIONI	Confirm Resident Issuer	
Other Options	Non-resident Issuer		Confirm Non-resident Issuer	
	Resident LM - Resp. Settl.	Q	Non-resident LM - Resp. Settl.	Q 🖹
	Type of Financial Instrument *		▼ Security Classification	
Required attachments: 1	Security Form *		▼ Cum Warrant	*
List of Attachments Type	Convertible *		▼ Credit linked	•
	Subordinated *		▼ Type of Bond	
Remove S Attach	Trade Name		Type of Documentation	1 - TEMPORARY DOCUMENTATION
🔦 Restore 🔷 Save	Guarantees			
Deliver Export	Type of Guarantee *		▼ Resident Guarantor	Q ≥
Create Activity				V

If the file includes one security only, then the message will show the information uploaded.

In FEAT, when uploading a massive file for a Request for ISIN and a Final data communication referred to Covered Warrants e Leverage Certificates, a compounded activity is created. More specifically, such activity is made up of a "root" node and as many "leaves" as the occurrences existing in the imported file.

G FEAT - Internet Explorer							- • •
BANCA D'ITALIA FEA	T - Front End An	agrafe Titoli				English 🚽	^
Home List of Activities List of Securities	s List of Securitizations Do	cuments Browse messag	es	Administrator	Welcome SISC.33	34169 Info	Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: CO\ Status: New		RAGE CERTIFICATES - Rich	iesta ISIN massiva	Last modified: 05-01-2	017 10:29
Rem Add Copy	Summary Covered Warrants	;					
► ☐ FEAT-436 ► ☐ FEAT-437	Code	129 Status	Underlying Asset	Type of Option	Exercise Style	ISIN Code of	
	FEAT-436	04 - Da consegnare	INDICI	C - CALL	A - AMERICANO	IT0004476732	50
	• FEAT-437	04 - Da consegnare	AZIONI	C - CALL	A - AMERICANO	IT0000076536	10
Required attachments: 1							
List of Attachments Type							
Titolo.doc 4D							
Remove 🔗 Attach							
🔦 Restore 🗞 Save							
Import Import							

4.3. Search for ISIN to Update

The function Search for ISIN to update allows:

- to create a new update activity for a security registered in the BI Securities Database. The button is enabled for all non-massive update messages (see § 4.3.1).
- to create a new activity for securities with ISIN prefix other than IT, after having checked that they
 are not registered in the BI Securities Database. The button is enabled for all non-massive update
 messages (see § 4.3.2).

and the second	DATA ENTRY	SEAR	CH FOR ISIN TO UPDATE	LIST OF ACTIVITIES
Compile	🔔 Import		Browse	Erowse

For update messages (Communication of Final Data, Communication of Update, Communication of coupons, Communication of 129 Attributes, etc.) and Communication of Listing, a new activity is created taking the information to be updated from the BI security database by clicking on Search for ISIN to Update

4.3.1. Creation of a new update activity

The creation of a new activity requires two steps: selecting the security and the creation of the activity. In **FE129**, for the Placement role, a short questionnaire may be presented after the security selection. Further details on the questionnaire are available at § 4.3.3.

Step 1: select the security

The function **Search for ISIN to update** replicates the **List of securities**' working and checks (see § 3.3), allowing the search of a single security according to specific parameters.

The button is enabled only after entering the survey, the partner, the role and a specific type of message. Such entered information will be the permanent function's search keys.

🧀 FEAT - Internet Explorer	
BANCA D'ITALIA EUROSISTEMA FEAT - Front End Anagrafe Titoli	English
Home List of Activities List of Securities List of Securitizations Documents Browse messages Administrator	Welcome SISC.334169 Info Exit
Partner UTENTE TEST 13 Role REPORTING AGENT Performunication of update Request for ISIN Communication of update Request for ISIN UNARRANTS UNARRANTS USTING INFORMATION CENERAL COMMUNICATION	EQUITES Decurse to request an update of security. Traintor: when the change affects the specified in the "Effective Date" is left scurity is saming from a certain date to be specified in the "Effective Date" is left scurity is care the "Effective Date" is left scurity. USEFUL LINKS: Image: Compliang istructions
Communication of update	
Complie Import	LIST OF ACTIVITIES

By clicking on the button **Browse**, a pop-up appears providing the user with a simplified search in which additional search parameters may be entered such as

- the **ISIN code**, for all roles
- the **Issuer** for all roles other than Issuer

			×
ISIN Code			
lssuer *	↓		
		Search	
			Number of Securities: 0
ISIN Co	de	Description	Issuer
😧 Close			V Browse

By clicking on Search a list of securities will appear matching the search criteria.

The information showed in the panel list depends on the survey and on the selected role:

- in FEAT, for the Issuer role: ISIN code, Description
- in FEAT, for the Reporting Agent role: ISIN code, Description, Issuer
- in FEAT, for Placement agent role: ISIN code, Description, Partner placement agent offeror
- in FE129, for the Issuer role: ISIN code, Description, 129 status
- in FE129, for Parent Company role: ISIN code, Description, Issuer, 129 status
- in FE129, for Placement agent role: ISIN code, Description, 129 status, Partner placement agent offeror, Placement agent role, Placement agent reporter.

						×
	ISIN Code					
	Issuer *		् 🔀			
			Q s	earch		
						Number of Securities: 0
ISIN Code	Description	129 Status	Partner placement agent - offeror	Placement Terms	Placement Agent Role	Placement Agent Reporter

The column "Partner placement agent – offeror", which appears either in FEAT or in FE129 for the Placement Agent role, may have the following values:

- Y if the Partner is the placement agent of the security
- N if the Partner is not included in the list of the placement agents

From the securities list it will be possible to select a single security by double clicking on it or by means of the button **Browse** (**Browse listing data** for Communications of listing).

ISIN Code	
	C Search
	Number of Securit
ISIN Code	Description
0000502903	UNICREDITO ITALIANO SPA 01-07-1993 01-01-2014 6.5 OBBLIG. ORDINARIE
0004605322	UNICREDIT SPA 17-05-2012 17-05-2032 10 OBBLIG. ORDINARIE
0004606445	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP
0000292372	UNICREDITO ITALIANO SPA 01-01-1992 01-01-2012 6.5 OBBLIG. ORDINARIE
0004606593	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA
0004606452	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP
0004606650	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA
0004605702	UNICREDIT SPA 19-07-2012 19-07-2014 5 COVERED BOND
0004606676	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA
0004606619	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA
0004606437	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP
0004606585	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA

If the security has been searched by entering the ISIN code, if its reference data do not match the other search criteria a pop-up will be issued bearing one of the following error messages

- the security exists but the issuer is different from the one used in the search (Partner for Issuer Role, entity selected for other Roles). A General Communication should be sent to the Bank to correct any inconsistencies.
- The security exists but has a dissemination status different from the one requested for the message selected. In this case, the error pop-up shows the correct message to select.
- The security exists but is of a different type from the one corresponding to the message selected. A message consistent with the type of financial instrument should be selected
- The security exists and is consistent with the message selected, but its type is not available in the FE129 application (e.g. bonds issued before October the 1st 2016, etc.). In this case, the error pop-up indicates the correct application (FEAT).
- The security cannot be selected as the Partner, with Placement Agent role (in FEAT), is not included among the placement agents.

In FE129, the attribute **129 status** – when displayed – provides information on the 129 reporting progress. Furthermore it generates additional consistency checks beyond those reported before.

As previously mentioned, for 129 roles the function **Search for ISIN to Update** returns also the **129 status** column in the securities list.

			ISIN Code
		Search	
umber of Securitie	Number of		
tatus	129 Status	Description	ISIN Code
orted	Not to be Reported	UNICREDITO ITALIANO SPA 01-07-1993 01-01-2014 6.5 OBBLIG. ORDINARIE	0000502903
orted	Not to be Reported	UNICREDIT SPA 17-05-2012 17-05-2032 10 OBBLIG. ORDINARIE	0004605322
	To be started	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP	0004606445
orted	Not to be Reported	UNICREDITO ITALIANO SPA 01-01-1992 01-01-2012 6.5 OBBLIG. ORDINARIE	0000292372
	To be started	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA	0004606593
	To be started	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP	0004606452
	To be started	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA	0004606650
orted	Not to be Reported	UNICREDIT SPA 19-07-2012 19-07-2014 5 COVERED BOND	0004605702
	To be started	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA	0004606676
	To be started	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA	0004606619
	To be started	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLIG. ORDINARIE STEP UP	0004606437
	to be started	UNICREDIT SPA 15-01-2013 15-01-2015 VARIABILE OBBLIG. ORDINARIE GARANTITO OBBL ETICA	0004606585

By clicking on **Browse**, the application runs a control between the security's **129 status** and the type of selected message. As a consequence, the following messages may be displayed

- **Warning** : The 129 reporting is not required. If nonetheless the activity is carried on then all the sections of the reporting are to be completed compulsorily (e.g. the selected security has been issued before October the 1st 2016 and **129 Status** = 0: not due).
- **Error** : The 129 reporting sections 2-3 cannot be sent as the section 1 is still to be received (e.g. if the message selected is Communication of 129 Attributes (Section 2-3) and the 129 Report Section 1 has not been received for the security. **129 Status** = 0: not due, or 1: to be started).
- Error : It is not possible to send the Communication of Placement 129 (Section 4) as no Report 129 Section 1 and Section 2-3 has been received for the security (**129 Status** <> 3: acquired Section 2-3).

Step 2: create the update activity

When the security can be selected, by clicking on **Browse** or double clicking on the security the window with the security data appears. At the bottom left the button **Create Activity** is the only one enabled; it can be used to copy the security data into a new activity of the type selected.

G FE129 - Internet Explorer								
BANCA D'ITALIA FE12	29 - Front End /	Anagraf	e Titoli Articol	o 129		Engl	lish 🔻	^
Home List of Activities List of Securitie	s List of Securitizations	Documents	Browse messages	Administrator	Welcome	SISC.334169	Info E	xit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: Status:		ommunication of final data and 129 re	ference attributes	s (Section 1) Last r	nodified:	The second
Tooo4606445 Financial Instrument Information	ISIN							
Restore Save		ISIN Code	IT0004606445		CFI code	DBVUFB		
Deliver Export	Financial Instr	ument Name	UNICREDIT SPA 04-12-201: 03-12-2014 VARIABILE OBE ORDINARIE STEP UP		ent Short Name	UNICREDIT/TV 2	20141203 SU	
Create Activity								~

If a similar request is still in progress for the same partner and with the same role, by clicking on the button **Create activity** the pop-up below will be shown

ERR	DR
×	For ISIN IT0004606445 a similar request TITOLI DI DEBITO 129 - Comunicazione dati definitivi e info anagrafiche 129 (sez. 1) (FE129-2549) is in progress for the same Partner (code: 503136) with the same role ISSUER performed by user SISC.334169.
	ОК

In this case it will be impossible to proceed and the application will go back to the Homepage

If the security has not been already booked in another similar activity, by clicking on the button **Create Activity** a new update activity will be created according to the selected type of message.

The activity will be associated with a unique identifier and will bear the security data as derived from the BI Securities Database. It will be possible then to navigate through the activity sections updating the information where deemed appropriate. The compiling of the different type of messages will be covered in chapter 5.

🥖 FE129 - Internet Explorer					
BANCA D'ITALIA FE12	9 - Front End Anagra	fe Titoli Articolo	129	English 🔻	^
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator We	lcome SISC.334169 Info	Exit
	Role: ISSUER S	iption: TITOLI DI DEBITO 129 - tatus: Saved with errors	Comunicazione dati definitivi e info anagra	fiche 129 (sez. 1) Last modified: 05-0	1-2017 10:48
▼ 🖻 FE129-2549					
Financial Instrument Information Placement Information	ISIN				
Redemption Information Yield Information	ISIN Code	IT0004606445	с	CFI code DBVUFB	
C Other Options	Financial Instrument Name	UNICREDIT SPA 04-12-2012 03-12-2014 VARIABILE OBBLI ORDINARIE STEP UP	G. Financial Instrument Shor	rt Name UNICREDIT/TV 20141203 SU	
	129 Status	1 - TO BE STARTED			
Required attachments: 1					
List of Attachments Type	Financial Instrument				
	Resident Issuer	00348170101 - UNICREDIT, S PER AZIONI	OCIETA' Non-resident	tissuer	
Remove Attach	Resident Parent Company of the Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	🔍 🔀 Non-resident Parent Compan	lssuer	s 🛛
Remove Attach	Issuer Subgroup of Economic Activity	245 - BANKS AND OTHER CR INSTITUTIONS	EDIT Issuer's Parent Company Su of Economic		:DIT
Restore Save Deliver Export	Resident LM - Resp. Settl.		🔍 🔀 Non-resident LM - Res	p. Settl.	Q 🖹
Create Activity	Type of Financial Instrument *		▼ Security Classi	fication	•

4.3.2. Creating a new registration activity

The creation of a new registration activity requires two steps: checking the ISIN non-existence in the BI Securities Database and the very creation of the registration activity.

First step: checking the ISIN non-existence in the BI Securities Database

For registrations of ISIN, the creation of a new activity has always to be performed through the button **Search for ISIN to update**, in order to rule out the existence of the security in the BI Securities Database. Therefore, it is mandatory to enter the **ISIN code** of the security as search criterion. For roles other than issuer it will be necessary to enter the issuer too (see § 3.7.1).

211 of Activities 211 of Securities 21	NCA D'ITALIA FE	AT - Front End Anagrafe Titoli		English +
Note ISULER Image: Source for ISIN Exert Socratines Image: Source for ISIN Exert for ISIN Image: Source for ISIN	List of Activities List of Securi	ties List of Securitizations Documents Browse messag	es Administrator	Welcome SISC.334169 Info
Rote:::BUURE BET SECURITIES Registration of ISN Betration of ISN Communication of Insal data Description Communication of Insal data State of the registration state has the solution of the office of the registration o				
Note ISULER Image: Source for ISIN Exert Socratines Image: Source for ISIN Exert for ISIN Image: Source for ISIN		Partner UTENTE TEST 13		
Request for ISIN Request for ISIN Request for ISIN Isinal data Registration of Isinal data Communication of Isinal data Communication of Isinal data Communication of Coupons Search Isin Code Isin C				
Reguest for ISN with final data Communication of final data Communication of source is used to request the registration into the EI Beyona description Communication of source is used to request the registration of the EI Support of Source is used to request the registration of the EI Support of Source is used to request the registration of Source Support of Source is used to request the registration of Source Support of Source is used to request the registration of Source Support of Source Source Support of Source		mes		DEBT SECURITIES
Registration of ISIN Do be used to request the registration into the BI of communication of final data Communication of final data Description Signed by another numbering authority with a signed by another n				
Orimmunication of coupons Orimmunication of coupons Orimmunication of coupons ISIN Code ISIN Code				To be used to request the registration into the BI Securities Database of securities issued abroad
ISIN Code X80000222231				by Italian entities, whose ISINs have been
Number of Securities: 1	Communic	ation of coupons		assigned by another numbering authority with a
ISIN Code Description				assigned by another numbering authority with a x
	Communic		Q Search	assigned by another numbering authority with a
	Communic		Q Search	assigned by another numbering authority with a
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
	Communic	ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x
🔀 Close 🖌 🖌 Browse		ISIN Code XS0000222231	Search	assigned by another numbering authority with a x

The application will therefore check the existence of the ISIN code in the BI Securities Database irrespective of any other search parameters (issuer code and type of security) and will issue a warning if the security is registered but has a different issuer or a different type from the ones entered in the search.

Second step: creating the registration activity

If the ISIN code is not registered with the BI Securities Database, the button **Register** which allows the creation of a new registration activity.

Start Registration
ISIN not present. Do you want to register it?
ОК

			×
ISIN Code X80000555556			
	🔍 Search		
			Number of Securities: 0
ISIN Code		Description	
Close			V REGISTER

By clicking on **Register** the new registration activity will be created according to the type of security selected.

The activity, univocally associated with an identifier, will bear the ISIN code to be registered and the issuer as previously entered. It will be possible then to navigate through the activity sections entering the required information. In chapter 5 is reported the compilation of messages according to their single type.

ÆFEAT - Internet Explorer						
BANCA D'ITALIA EUROSISTEMA	Γ - Front End Anagrafe	Titoli				English 🔹
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Adr	ninistrator Weld	ome SISC.334169	Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER		Description: TITOLI I Status: Saved v	DI DEBITO - Censimento ISIN vith errors	Last modified	d: 29-12-2016 13:59
▼ ► FEAT-2994						
Financial Instrument Information	ISIN					
Placement Information Yield Information Redemption Information	ISIN Code to update			CFI c	ode	
Conter Options	Financial Instrument Name			Financial Instrument Short Na	me	
	ISIN Code to register	XS0000555556				
Required attachments: 1 List of Attachments Type	Financial Instrument					
	Resident Issuer	00348170101 - UNIC PER AZIONI	REDIT, SOCIETA'	Non-resident Iss	uer	
	Resident LM - Resp. Settl.		🖹	Non-resident LM - Resp. S	etti.	Q, 🔀
Remove 🔗 Attach	Type of Financial Instrument	*	•	Security Classifica	tion	
🔦 Restore 🗞 Save	Security Form	*		Cum War	rant *	•
Deliver Export	Convertible	*	-	Credit lin	ked *	
Create Activity						•

If the ISIN code already exists and therefore cannot be registered as a new security, the button **Browse** allows the access to the security data, but only when operating in FEAT.

				×
	ISIN Code	XS0408165008		
			C Search	
				Number of Securities: 1
	ISIN	Code	Description	
XS0408165008			UNICREDIT SPA 14-01-2009 14-01-2014 5.25 OBBLIG. ORDINARIE SERIE 373 TRANCHE 1	
🔞 Close				Frowse

In the bottom section on the left side of the window showing the security main attributes, the only enabled button is **Create Activity**.

G FEAT - Internet Explorer			×
BANCA D'ITALIA FEAT - Front End Anagrat	e Titoli	English	^
Home List of Activities List of Securities List of Securitizations Documents	Browse messages Administra	tor Welcome SISC.334169 Info Exit	
Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SECURITIES - Status: Antit	Registration of ISIN Last modified:	
▼ 🗁 XS0408165008			
Financial Instrument Information ISIN			
ISIN Code	XS0408165008	CFI code DTFXFB	
Restore Save Financial Instrument Name	UNICREDIT SPA 14-01-2009 14-01-2014 5.25 OBBLIG. ORDINARIE Fina SERIE 373 TRANCHE 1	ancial Instrument Short Name UNICREDIT/5.25 20140114 SR 373	
Deliver Export			~

By clicking on such a button it will be possible to create an activity to update the information as existing in the BI Securities Database

FEAT - Internet Explorer					- 0
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English	
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info	Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITOL Status: Saved	I DI DEBITO - Censimento ISIN I with errors	Last modified: 28-12-2016	17:15
🗁 FEAT-2995					[
Financial Instrument Information	ISIN				
Placement Information					
Yield Information	ISIN Code to update	XS0408165008	CFI code	DTFXFB	
Redemption Information					
Other Options	Financial Instrument Name	UNICREDIT SPA 14-01-2009 14-01-2014 5.25 OBBLIG. ORDINARIE SERIE 373 TRANCHE 1	Financial Instrument Short Name	UNICREDIT/5.25 20140114 SI	R 373
Required attachments: 1	ISIN Code to register				
List of Attachments Type					
	Financial Instrument				
Remove Attach	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer		
	Resident LM - Resp. Settl.	Q X	Non-resident LM - Resp. Settl.		Q 🔀
Restore Save Deliver Export	Type of Financial Instrument *	O - BONDS 🗸	Security Classification		
Create Activity	Security Form *	B - BEARER v	Cum Warrant	0 - NO	-

4.3.3. Questionnaire for FE129 Placement Agent

The application submits the compiling of a short questionnaire to the FE129 Placement Agent role whenever the creation a new message is performed having effects as per 129 Report.

According to the information provided in the questionnaire, the application automatically sets the Method of placement/offer to be applied to the financial instrument as well as the function performed by the placement agent within the placement operation.

The questionnaire opens with the following display:

Questionnaire
Placement agent - Offeror
According to the answers to the questionnarie the application will assign to the partner the following attributes with respect to the financial instrument you are reporting on:
Method of placement - Function
Save

The compiling of the questionnaire is performed by selecting options in drop down menus. The selected answers will cause the popping-up of further requests of information. The questionnaire will end up when the application is able to assign the Method of placement/offer and the function to the placement agent. The values assigned are reported at the bottom of the screen. The user will have then to click on **Confirm**.

				×
🖉 Questionnaire				
Placement agent - Offeror	Placement agent 🔹			
Type of placement	Syndicated •	Number of placement agents in Italy	More than one	
Is there a pot system?	No 🗸			
Is there a Responsible for the settlement with the issuer?	Yes 🛛 🔻	Are you the Responsible for the settlement with the issuer?	Yes 🛛 🔻	
		155061 :		
According to the answers to t	he questionnarie the application	n will assign to the partner the fo	ollowing attributes with respect	to the financial instruments
you are reporting on:	no queenonnuno uno approvano.	i tin accigi to tio paralor tio t		
Syndi Method of placement - place	icated, more than one	RES	P. FOR SETTLEMENT WITH	
offer syste	em, with Resp. for the ement		ISSUER	
6	Close		✓ Save	

By clicking on confirm the user will accept the values automatically assigned to the attributes Method of placement/offer and Function (such attributes will constitute a fundamental part of the reporting) which will undergo consistency checks. As a matter of fact, the application will verify if the values assigned by compiling the questionnaire are consistent with the information registered in the BI Securities Database. If so, it will give access to the message compilation.

4.4. List of activities

The function List of activities allows

- to search, browse and continue the compiling of whatever previously saved activity that has not been forwarded to the Bank yet
- to forward to the Bank the activities whose status is 'to be delivered'

har barry	DATA ENTRY	SEARCH FOR ISIN TO UPDATE	LIST OF ACTIVITIES	
Compile	Import	Browse	📰 Browse	

As for the function **Search for ISIN to update**, the function **List of activities** replicates the working and the checks of the **List of activity** browsing facility (see 3.2), allowing the search for a single activity according to specific parameters and, for activities not yet delivered, their update/completion.

The button is enabled only after selecting the survey, the partner, the role and a specific type of message. Such information as selected in the Homepage will act as search criteria, to be set before activating the function **List of activities**.

9 - Internet Explorer	
BANCA D'ITALIA FE129 Front End Anagrafe Titoli Articolo 129	9 English -
	e se
e List of Activities List of Securities List of Securitizations Documents Browse messages	Administrator Welcome SISC.334169 Info Exit
	a an an an an an an an an ann an an an a
I I I I I I I I I I I I I I I I I I I	
CELTELTEL EL EL CARRENT CONTRACTOR	
Partner UTENTE TEST 13 🔹	
Role ISSUER V	
The constance of the constance of the	
V DEBT SECURITIES 129	DEBT SECURITIES 129
Request for ISIN	
Request for ISIN with final data and 129 reference attributes (Section 1)	Communication of final data and 129
Registration of ISIN with 129 reference attributes (Section 1)	reference attributes (Section 1)
Communication of final data and 129 reference attributes (Section 1)	To be used to communicate the final data and to report per Art. 129 - Section 1 for
Communication of 129 reference attributes (Section 1)	an ISIN code through a "Request for ISIN"
Communication of 129 reference attributes (Section 2-3)	message.
Communication of coupons	This communication is due:
CERTIFICATES, ETC's, ETN's 129	for securities with obligation of filing the prospectus with the CONSOB, within the
COVERED WARRANTS AND LEVERAGE CERTIFICATES 129	working day following the deposit;
CUARTERLY REPORT LISTING INFORMATION	by the settlement-issue date for all other
	securities.
	The communication requires documentation. The documentation
	for the purpose of ISIN code service and
	not for Art.129 reporting purposes.
	USEFUL LINKS:
	Compiling istructions
Communication of final data and 129 reference attribution	utes (Section 1)
	OR ISIN TO UPDATE
	Browse Browse

After selecting an ongoing activity (for the status detail, see page 8), it is possible to access the panel in which the activity detail is displayed. In such a panel, the management of the information is enabled through the functional buttons: create activity, restore, save, export, process, deliver

The working of the single buttons for the management and the forwarding of messages to the Bank will be described in the following chapter.

5. Compiling and forwarding of messages

After creating or selecting a new activity it is possible to access the message detail page where information to be forwarded to the Bank may be entered.

The detail page is made up of three panels bearing

- General information (panel 1, see screenshot below);
- The navigating menu and the buttons panel (2);
- The security detailed information (3).

FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
1	Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SEC Status: New	URITIES - Communication of update	Last modified: 05-01-2017 14:12
€ FEAT-3070 2a				
Financial Instrument Information	ISIN			3
Placement Information				
Yield Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Redemption Information				
Other Options Communication	ISIN Code	IT0004042526	CFI code	DBVUFX
	Financial Instrument Name	UNICREDIT SPA 06-04-2006 06-04-2012 VARIABILE OBBLIG. ORDINARIE STEP UP	Financial Instrument Short Name	UNICREDIT/TV OBB 20120406
	Financial Instrument			
Required attachments: Type	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer	
	Resident LM - Resp. Settl.	 Q 	Non-resident LM - Resp. Settl.	Q X
	Type of Financial Instrument *	0 - BONDS	Security Classification	
Remove Attach	Security Form *	X - NOT APPLICABLE	Cum Warrant	• 0 - NO -
🔁 Restore 😵 Save	Convertible *	0 - NO -	Credit linked	▲ 0-NO +
Deliver Export Create Activity Process	Subordinated *	0 - NO -	Type of Bond	*
Process	\mathbf{i}	\		

The panel 1, located underneath the tool bar, displays general information with reference to

- Partner, Role and Description of the message as selected when accessing;
- **Status** of progression in the process of creation, compiling and forwarding of the message
- Last modified, indicating the date of the message last update.

The panel 2 is made up of two parts

- The upper part (2a) displays the message identifier, which is composed of a fixed part corresponding to the selected application (FEAT or FE129) and of a progressive number (e.g. FEAT-1950 or FE129-1951); the sections underneath allow the navigation through the distinct pages that make up the message;
- The lower part (2b) encloses the panel bearing the command buttons (see 5.1) for the management of the message and of the attachments.

The panel 3 includes the detailed information of the message sections. Each section may be composed of more sub-sections, each one identified by a label (e.g. 'Financial Instrument' in the section 'Financial Instrument Information').

5.1. Buttons description

The panel encloses 6 command buttons for the management of the message and the attachment list.

It should be noted that for some types of message not all the buttons may be enabled.

As a matter of fact, when an action is not possible, the relevant button is not enabled (it is not possible to click on it) and appear light grey.

	Required attachments: 1
List of Attachm	ents Type
🔗 Remove	Attach
🔷 Restore	🗞 Save
Deliver	Export
Create Activity	Process

The process of creating, compiling and forwarding messages may be divided in 4 consecutive steps. Each button may be activated only in particular moments of the process, according to the following pattern.

ACCESSING THE MESSAGE

Create activity

COMPILING THE MESSAGE

- Save
- Restore (if necessary)
- Attach or remove the document (where required)
- Process (only for FEAT update communication)

FORWARDING THE MESSAGE

- 1. Deliver
- 2. Export (if necessary)

Hereunder is reported the detailed description of each single button

5.1.1. Create activity

By clicking on **Create activity** the application will create a new activity according to the parameters previously set (Partner, Role, Type of message and ISIN). For further in-depth analysis on the ways of accessing messages, please refer to chapter 4.

🥖 FEAT - Int	ternet Explorer										_ 0	×
B B	ANCA D'ITAL Eurosistema	IA FEAT	- Front End A	Anagrafe	ə Titoli				(English	•	^
Home	List of Activities	List of Securities	List of Securitizations	Documents	Browse messages		Administrator	Welcome	SISC.334169	Info	Exit	
			Partner: UTENTE Role: ISSUER			otion: DEBT SEC atus: Antit	CURITIES - Communication of	update	Last modifi	ed:	12	
▼ 🗁 IT000	04042526 inancial Instrument I	Information	ISIN									
				ISIN Code	IT0004042526			CFI code	DBVUFX			
	store 📀	Save Export	Financial Ins	trument Name	UNICREDIT SPA 06-0 06-04-2012 VARIABIL ORDINARIE STEP UF	E OBBLIG.	Financial Instrume	nt Short Name	UNICREDIT	TV OBB 2012	0406	
Create	e Activity											_

The status of a newly created activity is "New".

G FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English 👻
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SEC Status: New	URITIES - Communication of update	Last modified: 05-01-2017 14:12
▼ 🗁 FEAT-3070				
Financial Instrument Information	ISIN			
Placement Information Yield Information Redemption Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Other Options	ISIN Code	IT0004042526	CFI code	DBVUFX
Communication	Financial Instrument Name	UNICREDIT SPA 06-04-2006 06-04-2012 VARIABILE OBBLIG. ORDINARIE STEP UP	Financial Instrument Short Name	UNICREDIT/TV OBB 20120406
Required attachments: 1	Financial Instrument			
List of Attachments Type	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer	-
	Resident LM - Resp. Settl.	Q 2	Non-resident LM - Resp. Settl.	
	Type of Financial Instrument *	O - BONDS	Security Classification	
Remove Attach	Security Form *	X - NOT APPLICABLE	Cum Warrant *	• 0 - NO
	Convertible *	0 - NO -	Credit linked *	* 0-NO -
Deliver Export Create Activity Process	Subordinated *	0 - NO -	Type of Bond 🕈	•

The button Create activity is not enabled for "Request for ISIN" and "General Communication" (see 4.1).

5.1.2. Save

By clicking on **Save**, the application freezes the newly inserted/updated information and performs checks on the data.

🥖 FEAT - Internet Explorer				_ • •
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English 🔻
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SEC Status: New	URITIES - Communication of update	Last modified: 05-01-2017 14:12
▼ 🗁 FEAT-3070				
Financial Instrument Information	ISIN			
Placement Information Yield Information Redemption Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Cother Options	ISIN Code	IT0004042526	CFI code	DBVUFX
	Financial Instrument Name	UNICREDIT SPA 06-04-2006 06-04-2012 VARIABILE OBBLIG. ORDINARIE STEP UP	Financial Instrument Short Name	UNICREDIT/TV OBB 20120406
Required attachments: 1	Financial Instrument			
List of Attachments Type	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer	
	Resident LM - Resp. Settl.	Q ¥	Non-resident LM - Resp. Settl.	Q, 🔀
	Type of Financial Instrument *	O - BONDS	Security Classification	
Remove Attach	Security Form *	X - NOT APPLICABLE	Cum Warrant *	0-NO +
	Convertible *	0 - NO 🗸 🔻	Credit linked *	0 - NO
Deliver Export Create Activity Process	Subordinated *	0 - NO -	Type of Bond *	

Anomalies, if any, may be browsed in the box "Description of anomalies" located within panel 3

🥖 FEAT - Internet Explorer					
BANCA D'ITALIA FEAT	「 - Front End Anagraf	fe Titoli			English
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator	Welcome SISC.334	169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER		BT SECURITIES - Communication of red with errors	of update Last m	nodified: 05-01-2017 14:15
▼ 🗁 FEAT-3070					Number of errors: 12
🗋 Financial Instrument Information	Description of anomalies				
Placement Information	8 Field Type of Placement is required				A
Yield Information	🔞 Field Market Segment is required				
Redemption Information	Field Early Redemption Option is re	quired			
Other Options	Field Type of Bond is required				
Communication					
3					
Boguirod attachments: 1	Type of Placement	*	-	Syndicated *	

Once the save has been performed, the activity **Status** changes into :

- "Saved with errors" when the application detects one or more anomalies;
- "Valid data errors in attachments" when the only anomaly detected is the one related to the minimum number of attachments required;
- "To be delivered" when no anomaly has been detected and thus it is possible to deliver the message;
- "To be processed", only for FEAT Communications of update, when no anomaly has been detected and thus it is possible to process the message.

When an error occurs, by double clicking on the anomaly description the field to which the error is related will be highlighted in the corresponding section of panel 3. For further details on the resolution/management of anomalies, refer to § 5.2.3.

ÆFEAT - Internet Explorer		. • 💌
BANCA D'ITALIA FEA	AT - Front End Anagrafe Titoli	^
Home List of Activities List of Securit	ities List of Securitizations Documents Browse messages Administrator Welcome SISC.334169 Info	Exit
	Partner: UTENTE TEST 13 Description: DEBT SECURITIES - Communication of update Last modified: 05-01-2017 14:1 Role: ISSUER Status: Saved with errors Last modified: 05-01-2017 14:1	15
▼ 🗁 FEAT-3070	Number of error	ors: 12
Financial Instrument Information	Description of anomalies	
Placement Information	Reld Type of Placement is required	A
Yield Information	3 Field Market Segment is required	
Redemption Information	Field Early Redemption Option is required	
Cher Options	Field Type of Bond is required	-
Communication		
Required attachments: 1	Type of Placement * Syndicated *	-
List of Attachments Type	Market Segment * Field Market Segment is required	
	Placement agents	

5.1.3. Restore

By clicking on **Restore**, the application deletes last updates/insertions and retrieves the data most recently saved.

🥖 FEAT - Internet Explorer				_ • •
BANCA D'ITALIA FEAT	Γ - Front End Anagrafe	Titoli		English
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SEC Status: Saved with	URITIES - Communication of update errors	Last modified: 05-01-2017 14:15
▼ 🗁 FEAT-3070				
Financial Instrument Information	ISIN			
Placement Information				
Yield Information Redemption Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Other Options Communication	ISIN Code	IT0004042526	CFI code	DBVUFX
	Financial Instrument Name	UNICREDIT SPA 06-04-2006 06-04-2012 VARIABILE OBBLIG. ORDINARIE STEP UP	Financial Instrument Short Name	UNICREDIT/TV OBB 20120406
	Financial Instrument			
Required attachments: 1 List of Attachments Type	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer	
	Resident LM - Resp. Settl.	Q 🔀	Non-resident LM - Resp. Settl.	Q X
	Type of Financial Instrument *	0 - BONDS	Security Classification	
Remove Attach	Security Form *	X - NOT APPLICABLE	Cum Warrant	* 0 - NO 🛛
Restore Save	Convertible *	0 - NO -	Credit linked	* 0-NO +
Deliver Export Create Activity Process	Subordinated *	0 - NO	Type of Bond	*
	·			

Before restoring data, the application will ask for confirmation:



5.1.4. Attach and Remove

For some messages it is mandatory to attach documentation; the management of the attachments is performed through the **Attach** and **Remove** buttons. Whenever a minimum number of attachments is required, such number is shown in the top right corner of the panel (**Required attachments**: x).

	Required attac	hments: 1
	List of Attachments	Туре
ø	Remove 🔗 Atta	ch

By clicking on the **Attach** button, the panel by which to select the attachments opens up, bearing only the button **Attach** enabled. This will allow to indicate the path of the document to be attached.

×
caricati(0 files - 0 Bytes) / totale(0 files - 0 Bytes) 0%
🚺 Add 📄 Remove 🔗 upload

After selecting the file, you need to activate the button **Upload** for the real uploading, as shown below.

🧟 Seleziona i file	da caricare con sv	vilinfostat.bancaditalia.it			8
Cerca in:	FEAT-FE129		•	G 🤌 📂 🛄 -	
œ	Nome	^		Ultima modifica	Тіро
Risorse recenti	prova.txt			29/12/2016 12:22	Documen
Desktop					
Raccolte					
Computer					
Rete					
1000					
	•	III			۴
	Nome file:			-	Apri
	Tipo file:	Documents (*.pdf; *.doc; *.tx	t; *.doc:	x; *xls; *xlsx; *.ttf 💌	Annulla
				×	
	rova.txt		_	4 Bytes	
				,	
		caricati(0 files - 0 Byte	e)/tot	ale(1 files - 4 Bytes) 0%	

It is mandatory to specify the type of every single attachment. This is why a list from which to select the appropriate type is displayed just after uploading the document.

📮 Remove

🤣 upload

🔒 Add

prova.txt - Choose the type of document	×
O PROVISIONAL REGULATION	
○ FINAL REGULATION	
PROVISIONAL FINAL TERMS	
○ FINAL TERMS	
PROVISIONAL PROSPECTUS	
○ FINAL PROSPECTUS	
PRELIMINARY SIMPLIFIED PROSPECTUS	
FINAL SIMPLIFIED PROSPECTUS	
O PROSPECTUS SUPPLEMENT	
O MEETING MINUTES	
◯ INFORMATION DOCUMENT FOR CORPORATE TRANSACTIONS	
O OTHER DOCUMENTS	
Ob	(

Once the document has been attached, it will be displayed in the attachment list bearing the indication of the selected type of document.

	Required attachmer										
	List of Attachments	Туре									
Ð	prova.txt	4 P									
a	Remove 🔗 Attach										

If the type of document has not been selected (this may be the case, for instance, when the selection list has been closed without indicating any type), the icon is will appear on the right of the attached file.

It is possible to re-open the section list by double clicking on the column on the right of the document file (where the icon \Im is displayed):

	Required attachme										
	List of Attachments	Туре									
	prova.txt	N									
3	Remove 🔗 Atta	ch									

It is possible to delete a previously uploaded document from the attachment list, by selecting it and by clicking on **Remove**.

5.1.5. Process

The button **Process** is available only for FEAT Communications of Update and is enabled only after saving the activity without any error having occurred.

By clicking on **Process**, the application will create a Communication of update to be delivered to the Bank. In such a communication only the data actually updated by the Partner are included whereas all the remaining information previously derived from the BI Securities Database will be neglected.

In the Communication of Update there are specific fields which allow the indication of the type of update (change/correction), the variation effective date and the cause of the update:

FEAT - Internet Explorer										- •	×
BANCA D'ITALIA Eurosistema	FEAT	- Front End A	nagrafe	e Titoli				E	nglish		
Home List of Activities List	t of Securities	List of Securitizations	Documents	Browse messages	Ad	ministrator W	Velcome	SISC.334169	Info	Exit	
		Partner: UTENTE Role: ISSUER			is: New	ES - Communication of upd	jate	Last modified	1: 05-01-201	7 14:15	
FEAT-3070	nation	ISIN									
Yield Information Redemption Information Other Options communication	11110A - 12110A	Ţ	ype of Update ISIN Code	5 - CORRECTION	-	Variation effec	tive date	DBVUFX			
		Financial Inst	rument Name	2 - CHANGE ONICREDIT SPACE-04-2 06-04-2012 VARIABILE O ORDINARIE STEP UP		Financial Instrument Sho	ort Name	UNICREDIT/TV ()BB 2012040	16	

The cause of the update has to be included as a free text in the section "Communication".

🤗 FEAT - Internet Explorer			
BANCA D'ITALIA FEAT	T - Front End Anagrafe	Titoli	English
Home List of Activities List of Securities	List of Securitizations Documents B	rowse messages Administrator	Welcome SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: DEBT SECURITIES - Commu Status: Saved with errors	Inication of update Last modified: 05-01-2017 14:35
FEAT-3070 Financial Instrument Information Placement Information Vield Information	Description of anomalies Content a valid text.		Number of errors: 1
Cher Options Communication Co	Enter a valid text		<u> </u>
Required attachments: 1 List of Attachments Type			
prova.bt 4P			
Remove Attach Attach Restore Save Deliver Export			
Create Activity Process			

Communications of Update are performed through the following steps:

- 1. **Compiling** the communication
- Saving the activity and removing anomalies, if any
 Processing
 Delivering

After compiling and saving the activity without any error having occurred (step 1 and 2), the button Process must be activated.

🤗 FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	- Front End Anagrafe	Titoli		English
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITOLI DI DEE Status: To be process	ITQ - Comunicazione aggiornamento ed	Last modified: 29-12-2016 12:37
▼ C→ FEAT-2997				
Financial Instrument Information	ISIN			-
Placement Information Yield Information Redemption Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Other Options	ISIN Code	IT0004042526	CFI code	DBVUFX
Communication	Financial Instrument Name	UNICREDIT SPA 06-04-2006 06-04-2012 VARIABILE OBBLIG. ORDINARIE STEP UP	Financial Instrument Short Name	UNICREDIT/TV OBB 20120406
	Financial Instrument			
	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Non-resident Issuer	
Required attachments: 1 List of Attachments Type prova.bt VR	Resident LM - Resp. Settl.	Q X	Non-resident LM - Resp. Settl.	
prova.txt VR	Type of Financial Instrument *	O - BONDS	Security Classification	400A - PLAIN VANILLA BONDS
	Security Form *	B - BEARER	Cum Warrant *	0 - NO
🔂 Remove 🔂 Attach	Convertible *	0 - NO 🛛	Credit linked	• 0 - NO -
Restore Save	Subordinated *	0 - NO 🗸 👻	Type of Bond	X - NOT APPLICABLE
Deliver Export Create Activity Process	Trade Name			T

After processing the activity only the updated information is displayed:

FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	- Front End Anagrafe	ə Titoli		English 👻
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
H	Partner: UTENTE TEST 13 Role: ISSUER	Statu	m TITOLLOLDEBITO - Comunicazione aggiornamento is: To be delivered	Last modified: 29-12-2016 12:37
FEAT-2997				
Financial Instrument Information	ISIN			-
Placement Information				
Yield Information	Type of Update	2 - CHANGE	Variation effective date	29/12/2016
Redemption Information Other Options communication	ISIN Code	IT0004042526	CFI code	
	Financial Instrument Name		Financial Instrument Short Name	
	Financial Instrument			
	Resident Issuer		Non-resident Issuer	
Required attachments: 1 List of Attachments Type	Resident LM - Resp. Settl.		Non-resident LM - Resp. Settl.	
prova.txt VR	Type of Financial Instrument		Security Classification	
	Security Form	B - BEARER	Cum Warrant	
Remove 🔂 Attach	Convertible		Credit linked	
💎 Restore 🗞 Save	Subordinated		Type of Bond	X - NOT APPLICABLE
Deliver 🚑 Export	Trade Name			
Create Activity Undo				

Data may be only browsed and cannot be updated. The activity status changes into "To be delivered" and the button **Deliver** is enabled.

Nonetheless, if further updating needs to be performed, by clicking on **Undo** the activity is restored to the very moment preceding the processing.

5.1.6. Deliver

By clicking on **Deliver**, the application will forward the activity, correctly compiled and saved, to the Bank. The button is enabled only if the activity has successfully undergone all the compliance and consistency data checks that are activated when clicking on **Save**.

When an activity has been saved without errors (see § 5.1.2) or has been processed (see § 5.1.5) the status changes into "To be delivered" and the following pop-up is generated:



FEAT - Internet Explorer				_ 6
BANCA D'ITALIA FEA	T - Front End Anagrafe	Titoli		English 🔻
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITC Status: To b	LI DI DEBITO - Richiesta ISIN e delivered	Last modified: 05-01-2017 14:42
FEAT-2386				
Financial Instrument Information	Financial Instrument			
Placement Information Yield Information Redemption Information	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Confirm Resident Issuer	Y - CONFIRM RESIDENT ISSU
Other Options	Non-resident Issuer		Confirm Non-resident Issuer	•
	Resident LM - Resp. Settl.	Q, 🖹	Non-resident LM - Resp. Settl.	Q 🗴
	Type of Financial Instrument *	0-BONDS T	Security Classification	400A - PLAIN VANILLA BONDS
5	Security Form *	B-BEARER T	Cum Warrant *	0-NO -
	Convertible *	0 - NO -	Credit linked *	0 - NO +
Required attachments: 1	Subordinated *	0 - NO 🗸	Type of Bond	
List of Attachments Type	Trade Name		Type of Documentation	1 - TEMPORARY DOCUMENTATION
prova.bt 4P	Guarantees			
🔗 Remove 🔗 Attach	Type of Guarantee *	0 - NON GUARANTEED	Resident Guarantor	Q 🖹
🔦 Restore 🗞 Save	Non-resident Guarantor	 Q 		
Deliver Export	Restrictions			
Create Activity				

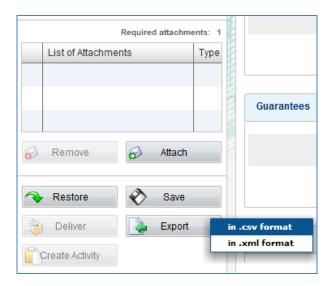
By clicking on **Deliver**, the activity status changes into "Delivered" and the message is forwarded to the Bank. **Please note that the processing of the message by the Bank is not immediate**. For further information on how to monitor the outcome of the message transmission as well as the process' state of progress please refer to § 3.6.1

5.1.7. Export

By clicking on Export, the application allows the export of the message data in csv or xml format. For the messages provided with such facility, the button is enabled from the first save of data, even if errors are detected.

Once data have been exported, they may be modified and re-uploaded by means of the **Import** function (see § 4.2), according to the specific message's record layout.

By clicking on Export, the following window opens allowing the operator to choose the type of format:



In FEAT, the exported file is saved with the name *Export_FEAT_Partner_FEAT-progressive.csv* (if format csv) or *Export_FEAT_Partner_FEAT-progressive.xml* (if format xml). In FE129 the function is similar but the resulting file bears FE129 instead of FEAT.

<i> Seleziona il pe</i>	rcorso di scaricame	ento con svilinfostat.bancaditalia.	it	×
Salva in:	FEAT-FE129	•	G 👂 📂 🛄 -	
Ca	Nome	*	Ultima modifica	Тіро
Risorse recenti		Nessun elemento corrisponde ai	criteri di ricerca.	
Desktop				
Raccolte				
Computer				
Rete				
	•			Þ
	Nome file:	Export_FEAT_503136_FEAT-2386.c	csv 💌	Salva
	Salva come:	Tutti i file (*.*)	-	Annulla
	o file potrebbe esser e prima di salvarlo o a	e un programma eseguibile o contene aprirlo.	ere codice dannoso;	
				///

5.2. Compiling of messages

The panel 3 includes detail data within each section of the message. Each section may be made up by more sub-sections marked by a label (e.g. Financial Instrument in the section Financial Instrument Information).

5.2.1. Insertion/update of data

Data to insert or to update are grouped in sections and sub-sections depending on the type of information. Fields marked with a red asterisk are compulsory.

The compiling of a field varies depending on the type of format

- 1) Date fields
 - To be selected through the calendar
- 2) Numeric fields
 - To be compiled manually
- 3) Alphanumeric fields
 - To be compiled manually
 - To be selected from drop-down menu
 - To be select after searching by \leq .

1) Date fields

Two icons are placed to the right of the fields with date format:

- The calendar for the selection and insertion of a date;
- The eraser 🗷 for cancelling the previously inserted date.

Moreover, for fields which are to be filled in with 31/12/2099 date, the icon is available, allowing to set up such a date without scrolling down the calendar.

FEAT - Internet Explorer													- 0	
BANCA D'ITALIA FEAT - F	ront End Anagrafe	Titoli										English	•	^
Home List of Activities List of Securities List	of Securitizations Documents	Browse message	es				Admi	nistra	tor	Welcome	SISC.334169	Info	Exit	
	Partner: UTENTE TEST 13 Role: ISSUER			Stat	us: Sa	aved v	with e	rrors		chiesta ISIN	Last modified:	08-09-2010	5 9:00	
FEAT-2262 Financial Instrument Information Financial	ancial Instrument													
	Resident Issuer	00348170101 - UI PER AZIONI	JNICRE	DIT, SO	DCIETA	¢.			Со	nfirm Resident Issuer			•	
	Non-resident Issuer		-	Decem	iber Wed 1	Thu:		99 ¢		n Non-resident Issuer			-	
Required attachments: 1 List of Attachments Type	Type of Financial Instrument	E - CAPITAL SECI		1 8	2	3	4	5	6 13	Type of Equity *			-	
	Security Classification	910A - ORDINAR	14 21	15 22					20 27	Security Form *			-	
	Currency *		28	29	30	31				pe of Documentation *			•	
🔂 Remove 🔗 Attach	Dissemination Start Date	31/12/2099		31/12/2					_	Share Type				
	ecific data													
Create Activity	Nominal Value is not present $*$				-	•				Nominal Value				•

2) Numeric fields

Numeric fields are inserted by the user manually with the following format: Decimal separator is the dot "." Thousand separator is not to be indicated

🥖 FEAT - Internet Explorer					×
BANCA D'ITALIA FEAT	- Front End Anagrafe Tito	li		English 🔻	\sim
Home List of Activities List of Securities	List of Securitizations Documents Browse	messages Administrator	Welcome	SISC.334169 Info Exit	
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITOLI DI CAPITALE - I Status: Saved with errors	Richiesta ISIN	Last modified: 05-01-2017 14:50	
	E-XERNE - AND AND - AND AND - AND	=			
FEAT-2262					
Financial Instrument Information	Specific data				
	Nominal Value is not present *		Nominal Value		
	Number of shares * 123456	789	Total Nominal Value *		
Required attachments: 1	Voting right *	•	Paid-in capital *	•	
List of Attachments Type	Dividend Type		Type of Interest Accrual *		
	Accrual Start Date - Pro Rata		Convertibility *	▼	
Remove 🔗 Attach	Restrictions and constraints				
Restore Save	Restrictions on Ownership- Transferability	- Fidelity	premium (Bonus share) *		
Deliver Export Create Activity	Restriction End Date		Bonus Shares Date	*	•

For some fields the inserted value undergoes specific format checks.

G FEAT - Internet Explorer											×
BANCA D'ITALIA EUROSISTEMA	EAT	- Front End A	nagrafe	Titoli				E	nglish 🛛 🔻		
Home List of Activities List of Sec	curities	List of Securitizations	Documents	Browse messages		Administrator	Welcome	SISC.334169	Info	Exit	
	T	Partner: UTENT Role: ISSUEF	۲		Status: Saved	I DI CAPITALE - Richiesta ISI d with errors	IN	Last modified:	05-01-2017 14	1:50	
V = FEAT-2262											
Financial Instrument Information		Specific data									
	ANALO.	Nominal Value is	s not present *		•	No	minal Value				
		Numb	er of shares *	0,		Value must be integer	minal Value *				
Required attachments	: 1		Voting right *		-	Pa	id-in capital *			-	
List of Attachments Ty	/pe	D)ividend Type		-	Type of Inter	rest Accrual *			-	
		Accrual Start Da	ite - Pro Rata			с	onvertibility *			•	
Remove 🔗 Attach		Restrictions and constra	ints								
Restore 🗞 Save		Restrictions on Tr	Ownership- ansferability		•	Fidelity premium (Be	onus share) *			•	
Deliver Export Create Activity		Restrict	tion End Date		×	Bonus	Shares Date				•

3) Alphanumeric fields

Alphanumeric fields require different compiling modes depending on their specific characteristics.

- The user manual compiling is required for those fields which are not associated with a specific domain
- The selection from a drop down menu is provided for fields whose domain is limited
- The selection by means of a search device by code or by string is provided for those fields whose domain is very large (e.g. Currency) or not predetermined in advance.

When the selection is performed by means of a search device, the two icons \leq and \leq are placed to the right of the field.

By clicking on \leq a search panel opens, in which it is possible to enter one of the search criteria (i.e. code or description). At the end of the search a list of result is displayed from which the requested value may be selected by clicking on **Select** or double clicking on the record.

By clicking on kit is possible to cancel the selected value in the related field.

The selection by means of a search device is also provided for the fields related to entities which for various reasons are included in the message sections (issuer, parent company, guarantor, lead manager). For the treatment of such information please refer to 3.7.1 – 3.7.4.

5.2.2. Compiling lists

Some sections are provided with subsections which require the compiling in tabular format (e.g. Floating rate securities, Accrual schedule, Placement agents). To this end, 4 buttons are placed on top of the sub-sections to deal with the management of the single record.

FEAT - Internet Explorer						-	
BANCA D'ITALIA FEAT	「 - Front End Anagrafe) Titoli			I	English 🛛 🔻	
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator	Welcome	SISC.334169	Info	Exit
1	Partner: UTENTE TEST 13 Role: ISSUER		tion: TITOLI DI DEBITO - Richiesta I atus: Saved with errors	SIN	Last modified:	05-01-2017 14:54	
FEAT-2386							
 Financial Instrument Information Placement Information 	Type of Interest Rate *	1 - FLOATING RATE	· ■ Interes	st rate at issue			
Yield Information Redemption Information	First Coupon interest rate		First Coupon	Payment Date		X	
D Other Options	Coupon frequency *	A-ANNUAL	- A	ctual yield rate			
Required attachments: 1	Stepped security		· ■ Interests ca	lculation basis	01-ACT/ACT		-
List of Attachments Type	Yield Structure *	X - NO STRUCTURE	▼ Type of Interest	Variation Date			
	Floating Rate Securities						
🔊 Remove 🔗 Attach	Remove all			Add [2 201	📮 Remove	
		End Date Mathematical 01/09/2019	Spread Floating		V Code of Reference 2783 - EURIBOR 3		
Deliver Export							
Create Activity	•						V

- The button **Add** allows to add a record to the table. When activated, it opens a window in which are included the data to be entered for the single record as well as the buttons
 - **Save** to save the data in the table. Note that if a mandatory attribute has not be entered it won't be possible to save the record.
 - **Cancel** to delete the inserted record.

			×
Floating Rate Securities			
Counter	2	Spread Application Start Date *	
Spread Application End Date *		Mathematical operator	
Spread		Floating Coupon Calculation Period	•
ISIN Code of Reference Parameter *	•	×	
Cancel		~	Save

- The button **Edit** allows to update the information of the selected record. When activated, it opens a window in which data to be updated are shown for the single record as well as the buttons
 - **Save** to save the data in the table. Note that if a mandatory attribute has not be entered it won't be possible to save the record
 - Cancel to delete the update.
- The button **Remove** is enabled by selecting a record, and allows the user to delete it. When clicking on the button, the application ask to confirm the cancelling of the record and if **OK** is activated the record is removed from the table.

Confirmation Request			
Are you sure you want to delete?			
OK Cancel			

• The button **Remove all** is enabled only if one record at least is included in the table and it allows to remove all the records of the table. When activated, the application ask to confirm the cancelling and by clicking on **OK** all the records are removed from the table.

Criteria to enter values and to perform searches, as indicated in the previous paragraph (see § 5.1), are to be applied also in the compiling of lists.

Only for resident placement agents is it possible to enter a taxpayer code straight away in the placement agents' list of section "Placement Information", when such a code has not been found in the BI Securities Database. More precisely, in this case the resident placement agent won't need to previously register the missing entity (as it normally should according to § 3.7.4).

As a matter of fact, if no matching result is returned, the following warning pops up:

Warning		
?	No result was found for tax code with value 00000000000. Do you want to select the value of tax code with which the research was carried out?	

and by clicking on **OK**, the taxpayer code is entered in the table record field "Resident placement agent".

5.2.3. Dealing with anomalies

Anomalies in field compilation, if any, are shown in the box "Description of anomalies", within panel 3, when saving entered data.

Checks are performed on mandatory data and on the consistency between attributes.

Messages of error may have different levels of seriousness, as indicated by the icons reported below:

- 1. Very serious X
- 2. Serious 🐼
- 3. Referred to the attachments 🤣
- 4. For information only (warning)

When saving data, messages of error are displayed according to a decreasing order of seriousness. Errors belonging to the first three levels do not allow the activity to proceed to status "To be delivered". The number of anomalies is reported in the top right corner of the box.

By double-clicking on the description of anomalies, the application will display the related field in panel 3. The field will be circled in red and when the mouse will be placed over it the message of error will be displayed.

BANCA D'ITALIA FEAT	- Front End Anagrafe Titoli		English
Home List of Activities List of Securities	List of Securitizations Documents Browse messages	Administrator	Welcome SISC.334169 Info Exit
	Partner: UTENTE TEST 13 Role: ISSUER	Description: TITOLI DI DEBITO - Richiesta ISII Status: Saved with errors	N Last modified: 05-01-2017 15:01
FEAT-2386 Financial Instrument Information Blacement Information	Description of anomalies Field Mathematical operator is required		Number of errors: 8
Yield Information	 Field Floating Coupon Calculation Period is required Date comparison: Spread Application Start Date (01/09/201 	6) cannot be earlier than Accrual Start Date - Pro F	Rata (05/01/2018)
	When field Type of Interest Rate value is 0: FIXED RATE, the Minimum number of attachments not reached	Floating Rate Formula section must be empty	
Required attachments: 1 List of Attachments Type			
	Type of Interest Rate * 0 - FIXED RATE	When field Type of Interest F RATE, the Floating Rate For empty First Couron Pa	mula section must be
Remove 🔗 Attach	Coupon frequency * A- ANNUAL	- Actu	ual yield rate
Restore Save	Stepped security	· ▼ Interests calcu	Ilation basis 01 - ACT / ACT
Deliver Export	Yield Structure * X-NO STRUCTUR	E Type of Interest Va	ariation Date

If the error relates to a whole record of a list (see § 5.2.2), by double clicking on the message of error it will be possible to move to the very record affected by the anomaly, which will be marked by a red box.

🔮 FEAT - Internet Explorer	
BANCA D'ITALIA FEAT	- Front End Anagrafe Titoli
Home List of Activities List of Securities	List of Securitizations Documents Browse messages Administrator Welcome SISC.334169 Info Exit
L. L	Partner: UTENTE TEST 13 Description: TITOLI DI DEBITO - Richiesta ISIN Last modified: 05-01-2017 15:01 Role: ISSUER Status: Saved with errors Saved with errors
▼ 🗁 FEAT-2386	Number of errors: 5
Financial Instrument Information	Description of anomalies
Placement Information	Field Mathematical operator is required
Yield Information	3 Field Floating Coupon Calculation Period is required
Redemption Information	Date comparison: Spread Application Start Date (01/09/2016) cannot be earlier than Accrual Start Date - Pro Rata (05/01/2018)
Other Options	When field Type of Interest Rate value is 0: FIXED RATE, the Floating Rate Formula section must be empty
	Minimum number of attachments not reached
Required attachments: 1	
List of Attachments Type	Circles Bata Councilias
	Floating Rate Securities
	📮 Remove all
	Counter Spread End Date Mathematical Spread Floating ISIN Code of Reference Parameter
Remove 🔗 Attach	1 01/09/2016 01/09/2019 EU0009652783 - EURIBOR 3 MONTHS/360 DD
🔦 Restore 📎 Save	
Deliver Export	
Create Activity	Structured Securities

By clicking on the grey bar of "Description of anomalies" it is possible to drag the box within the screen or to minimize the box by putting it on top of the grey bar of panel 2.

🥖 FEAT - Internet Explorer										. • 💌
	× Number of errors: 5	Anagraf	e Titoli						English 🔻	^
Home Description of anomalies		Documents	Browse messag		Admini	strator	Welcome	SISC.334169	Info	Exit
Field Mathematical operator is requi	ired 🔺	Documents	Browsemessag	es	Admin	strator	weicome	5150.554105		
· · · · · · · · · · · · · · · · · · ·	E	NTE TEST 13				BITO - Richiesta ISIN	٧	Last modified	: 05-01-2017 15:01	
Field Floating Coupon Calculation F Date comparison: Spread Applicatic (0109/2016) cannot be earlier than Pro Rata (05/01/2018)	on Start Date Accrual Start Date -	ER		Statu	is: Saved with er					
Financial Instrument Information	Floating Rate Securitie	es								
Placement Information	Remove all					🔒 Add	i 🚺	Edit	📮 Remove	
Redemption Information	Counter S	pread	End Date	Mathematical	Spread	Floating	ISIN	Code of Referer	nce Parameter	
Other Options	1 0	1/09/2016	01/09/2019				EU000965	2783 - EURIBOR	3 MONTHS/360 DD	
Required attachments: 1	4									Þ
List of Attachments Type	Structured Securities									
		FLOOR					САР			
Remove 🔗 Attach	Cou	ipon indexation			-					
Restore 🗞 Save	Accrual Schedule									
Deliver Export	Remove all					Add	t 🚺	Edit	Remove	
Create Activity	Counter Ad	ccrual Start 1	Date	Pavment	Coupon Rate	Coupon Rate	Calculation	Type of	Coupon	

5.2.4. General communications

General communications are to be sent whenever the user needs to forward information not related to a particular security or not included in the distinct messages (e.g. update/merger of the issuer, update of the validity dates, update of the placement date, unscheduled early redemptions). Two buttons are available in the application: **Compile** and **List of activities.**

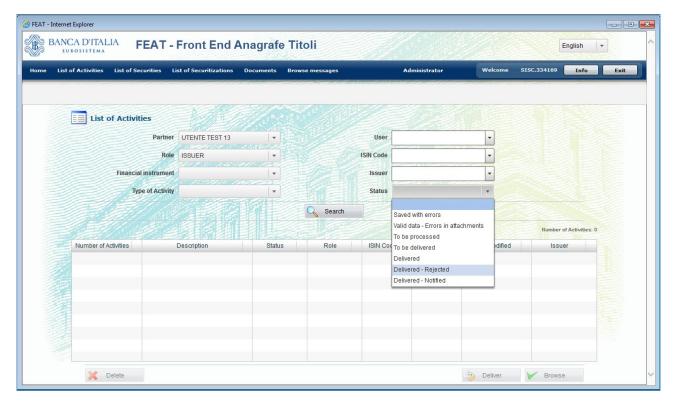
Internet Explorer BANCA D'ITALIA FEAT - Front End Anagrafe Titoli	English 🔻
e List of Activities List of Securities List of Securitizations Documents Browse messages Administrato	welcome SISC.334169 Info Exit
Pertier UTENTE TEST 13 Role ISSUER	
	GENERAL COMMUNICATION General communication To be used to send a general request
CERTIFICATES, ETC'S, ETN'S COVERED WARRANTS AND LEVERAGE CERTIFICATES LISTING INFORMATION	which is not referred to any specific financial instrument, or which cannot be dealt with by any available message.
General communication	
General communication	
DATA ENTRY SEARCH FOR ISIN TO UPDATE Compile Import Browse	LIST OF ACTIVITIES

It is possible to enter a free text and documentation may be attached (see § 5.1.4).

G FEAT - Internet Explorer				
BANCA D'ITALIA FEAT	Γ - Front End Ana	agrafe Titoli		English 🔻
Home List of Activities List of Securities	List of Securitizations Doo	cuments Browse messages	Administrator W	/elcome SISC.334169 Info Exit
L L	Partner: UTENTE TEST Role: ISSUER	Status: New	AL COMMUNICATION - General communi	cation Last modified: 05-01-2017 15:04
v 🗁 FEAT-3074				
General Communication	communication			
Octional attachments List of Attachments Type Remove Attach Restore Restore Save Deliver List of Attachtiver Create Activity				

5.3. Forwarding of messages

When delivering an activity (see 5.1.6) its status will bear the value "Delivered" until a notification/rejection message will have been received from the Bank. In the former case the status will change into "Delivered – notified" whereas in the latter it will become "Delivered – rejected". From the List of activities (see § 3.2) it is possible to search activities according to the status.



When selecting an activity with status "Delivered – rejected" it is possible to create a new one by means of the button **Create activity** (see 5.1.1). The moment the copy is created, the activity with status "Delivered – rejected" will be automatically deleted.

Thus the Partner has the possibility to vary the only attributes affected by anomalies and to forward the activity again.

It is worth noting that, in the Requests/Registrations of ISIN created from rejected activities, data are brought back as they were originally compiled in the rejected message.

Instead, in Communications of Update created from rejected activities data are derived from the BI Securities Database, without any reference to the ones of the rejected message. Updates are therefore to be reentered in the new activity, taking into account the reason of the reject as notified by the Bank.

6. Massive messages

For some types of financial instruments, such as Covered Warrants and Certificates, massive issues of securities with similar features are possible and updates of some typical data can be made simultaneously for a large number of securities.

For such instruments massive communications have been created, i.e. messages which refer to one or more financial instruments.

The compilation mode of such messages differs in some ways from the one related to single messages. The main differences are treated in this chapter. The way to access massive messages is the one reported in chapter 4.

As for single messages, the detail page is made up of three panels bearing

- General information (panel 1, see screenshot below);
- The navigating menu and the buttons panel (panel 2);
- The security detailed information (panel 3).

FE129 - Internet Explorer				
BANCA D'ITALIA FE12	9 - Front End Anagraf	e Titoli Articolo 129		English
Home List of Activities List of Securities	List of Securitizations Documents	Browse messages	Administrator Welcome	SISC.334169 Info Exit
1	Partner: UTENTE TEST 13 Role: ISSUER	Description: A Status: №	issociato a FE129-3018 Iew	Last modified: 30-12-2016 15:47
Rem. Add 2py ▼ ☐ FE129-3018 ► ☐ FE129-3019	Financial Instrument			3
FE129-3019 FE129-3020 ☐ FE129-3020 ☐ Financial Instrument Information	Resident Issuer	00348170101 - UNICREDIT, SOCIETA' PER AZIONI	Confirm Resident Issuer	
Issue data - Redemption	Non-resident Issuer		Confirm Non-resident Issuer	
	Resident LM - Resp. Settl.	Q 🗴	Non-resident LM - Resp. Settl.	Q 🗎
	Type of Financial Instrument *		Security Classification	
	Trade Name			
Required attachments: 1				
List of Attachments Type	Certificates specific data			
	Type of Option		Exercise Style	
Remove 🔊 Attach	Segment Type *		Investment Class	
Remove S Attach	Category *	•		
Restore Save	Underlying Asset			
Deliver 😱 List of Secu				
		·)		

In panel 2 the structure of the massive message is shown: every message is identified by a code composed of a fixed part (FEAT or FE129) and of a progressive number (e.g. FE129-3018, as shown in the picture), which represents the "root" of a tree whose "leaves" are the single securities which make up the message. On their turn, "leaves" are also identified by codes (FE129-3019 e FE129-3020).

Placing the cursor on each leaf displays its structure, i.e. a diagram of the sections grouping the information. Enable each section on the right of the window to display the data it contains and to enable the command buttons. Data for each security forming the massive message are filled in as described for single messages. Massive messages also include an option or a requirement to attach documents.

Attached documents refer to the whole message and not to its individual components ("leaves").

Place the cursor on the root node to display a table of summary in panel 3 (one line for each leaf node). Double click on each record in the table to display the corresponding leaf.

At the bottom the following command buttons are displayed: List of Securities, Import, Save, Send and **Export**. The functions associated to such buttons apply to the whole message, i.e. to root and leaves. For further details on the use of the buttons see § 5.1. And § 4.

	Partner: Role: IS	SUER	I	Description: COVERED Status: Saved with	WARRANT, LEVERAGE CERT errors	TIFICATES, ETC, ETN 129 - 0	Censimento ISIN massivo e i	info anagrafiche 129 (se:
	(and the state				
Rem Copy	Summary Covered Warra	usto 420						
E FE129-10376	Summary Covered warra	Ints 129						
E FE129-10377	Code	129 Status	ISIN Code	Code to be	Issue date	Maturity Date	Issue Currency	Type of Underlying
E129-10378	FE129-10376	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🗀 FE129-10379	55400 40077	an orbitis are seed		05000	40/00/0000	24/40/2000	5110	
E129-10380	FE129-10377	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
Care FE129-10381	FE129-10378	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
Caracterization FE129-10382								
Caracterization FE129-10383	FE129-10379	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🗀 FE129-10384	FE129-10380	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🗀 FE129-10385	PE 129-10380	03 - Salvato con erron		DE000	18/02/2020	31/12/2099	EOR	
Cara FE129-10386	FE129-10381	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🗀 FE129-10387								
🗀 FE129-10388	FE129-10382	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🚞 FE129-10389	FE129-10383	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
🗀 FE129-10390	PE129-10365	05 - Gaivalo Coll Bilon		DE000	10/02/2020	51/12/2099	LON	
General FE129-10391	FE129-10384	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	EUR	
Optional attachments	EF129-10385	03 - Salvato con errori		DE000	18/02/2020	31/12/2099	FUR	
List of Attachments Type								

To the left of each record in the data summary section an icon marks the record status:

- green when the record status is "To be delivered" or "Delivered"
- red when it is "Saved with errors"
- yellow when it is "Valid data errors in attachments"
- orange when it is "New"

<i>(2)</i> FE129 -	Internet Explorer										
B I	BANCA D'ITAL eurosistema	JA FE12	9 -	Front End	Anagraf	e Titoli A	rticolo 129			English 🛛 🔻	^
Home	List of Activities	List of Securities	Lis	t of Securitizations	Documents	Browse message	15	Administrator	Welcome SISC.33416	9 Info E	ixit
				Partner: UTENTE 1 Role: ISSUER		St	atus: Saved with errors	TC, ETN 129 - Richiesta ISIN	I massiva Last mo	odified: 30-12-2016 15	255
Rem.	🔒 Add	Сору		Description of anoma	alies					Number of er	rrors: 1
	FE129-3018 FE129-3019 Financial Instrument Information Issue data - Redemption			Minimum number of a	attachments not i	reached			1997 - Albert Maria († 1996) 1997 - Albert Ma		
	FE129-3020	2.2	Su	mmary Certificates							
		8		Code	129	9 Status	Type of Underlying	Underlying asset	Type of Option	Exercise Style	15
		2		FE129-3019	04 - Da (consegnare	X - NON CONOSCIUTO	CIAO	CERT - CERTIFICATES		
				FE129-3020	03 - Salv	ato con errori					

Uploading a file via the **Import** button, a massive message is created. The status of the massive message and of each leaves is initially equal to "New". By clicking on **Save** button, the imported data are checked and the result of the check involves the change of the status from "New" to one of the following:

- Saved with Errors: if at least one of the components is Saved with Errors,
- Data Valid Error in Attachments: if no component is Saved with Errors and there are errors in the attachments (relating to the whole message),

• To be delivered: if all the components are "To be delivered" and there are no errors in the attachments (relating to the whole message).

me List of Activities List	of Securities	List of Securitizations	Documents Browse messa	ges	Welcome FEATC42	7 Info Exit
	Partner: Role: IS		1: COVERED WARRANT, LEVER 3: New	RAGE CERTIFICATES, ET	FC, ETN 129 - Censimento ISIN mas	ssivo e info anagrafiche 129 (s
		///~1880- >~+		S		
Rem Add	Copy	Summer Course d Man				
E129-10376		Summary Covered Warra	ants 129			
E129-10377		Code	129 Status	ISIN Code	Code to be Registered	Issue date
▶ 🚞 FE129-10378		FE129-10376	01 - Nuovo		DE000	18/02/2020
FE129-10379		FE129-10377	01 - Nuovo		DE000	18/02/2020
E129-10380	1					
EI29-10381		FE129-10378	01 - Nuovo		DE000	18/02/2020
▶ 🚞 FE129-10382 ▶ 🚞 FE129-10383	-	FE129-10379	01 - Nuovo		DE000	18/02/2020
					25000	10/00/0000
Optional attact		FE129-10380	01 - Nuovo		DE000	18/02/2020
List of Attachments	Туре	FE129-10381	01 - Nuovo		DE000	18/02/2020
		FE129-10382	01 - Nuovo		DE000	40/00/0000
		FE 129-10382	01-110000		DE000	18/02/2020
		FE129-10383	01 - Nuovo		DE000	18/02/2020
Remove 🔗 Attac	h	FE129-10384	01 - Nuovo		DE000	18/02/2020
		1 E 129-10304			02000	10/02/2020
Restore 📎 Savi	e	FE129-10385	01 - Nuovo		DE000	18/02/2020

Only if there are no errors (status equal to "To be delivered"), it is possible to deliver the message via the **Deliver** button.

6.1. Creating leaves

When creating a new massive message, the message's structure is without "leaves". The way to add/delete leaves differs according to the type of activity. The compilation of the single leaves is similar to the one described in chapter 5.

Massive Request for ISIN

Page nodes can be created using the buttons **Add** (to create an empty page), **Copy** (to create a new leaf with data similar to the ones of the leaf previously selected). Moreover, it is possible to delete a previously created leaf using the button **Remove**.

Place the cursor on the "root" and the button **Import** will be displayed underneath the table of summary. Using such a button the operator will be able to upload a format .csv file. If the uploading is successful the application will create as many leaves as the records in the imported file and will add them to the pre-existing leaves.

FE129 - Internet Explorer							
BANCA D'ITALIA FE'	29 -	Front End A	nagrafe Titoli /	Articolo 129			English 🔻
Home List of Activities List of Securit	ies Lis	t of Securitizations	Documents Browse messa	ges	Administrator	Welcome SISC.334169	Info Exit
		Partner: UTENTE TE Role: ISSUER		ription: CERTIFICATES, ET Status: Saved with errors	°C, ETN 129 - Richiesta IS	IN massiva Last mo	dified: 30-12-2016 15:55
📑 Rem 📑 Add 📄 Copy)						Number of errors: 1
🛛 🗁 FE129-3018		Description of anomali Minimum number of att					
 FE129-3019 Financial Instrument Information Issue data - Redemption FE129-3020 	8				- Frant And States ()		
P _ FE 129-5020	Su	Immary Certificates					
	<u> </u>	Code	129 Status	Type of Underlying	Underlying asset	Type of Option	Exercise Style IS
		FE129-3019	04 - Da consegnare	X - NON CONOSCIUTO	CIAO	CERT - CERTIFICATES	
	j 🕒	FE129-3020	03 - Salvato con errori				
	1						
	2						
	8						
Required attachments: 1 List of Attachments Type							
Remove 🔗 Attach							
O Audon							
🔦 Restore 🔷 Save							
Deliver	•						►.
La List of Secti			🔔 Import	Save Save	Deliver	Export	
				_			

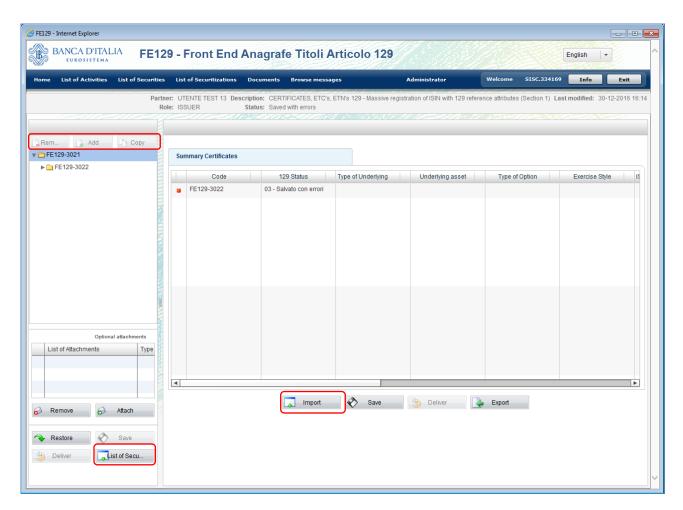
Massive ISIN Registration

The Add and Copy functions are disabled for these messages

Leaves can be created by activating the **Securities List** function (button in the bottom left panel) to check whether the ISIN code being searched exists in the BI security database.

The search criteria and methods are the same as described for the function **Search ISIN to Update** used for other ISIN Registration requests (§ 4.3.2).

Only if the ISIN Code is not present in the BI security database is the **Register** button enabled to create an empty leaf in which the ISIN Code not found is entered in the ISIN field for registration.



Place the cursor on the "root" and the button **Import** will be displayed underneath the table of summary. Using such a button the operator will be able to upload a format .csv file. If the uploading is successful the application will create as many leaves as the records in the imported file and will add them to the pre-existing leaves.

During the import the following checks are run to verify if:

- the ISIN is registered with the BI security database
- similar requests exist and are ongoing for the same ISIN
- the record layout is formally correct and so are the data included.

If the checks produce negative results, the leaf cannot be created and an error message will pop up.

Massive Update Communications and 129 Quarterly reporting Section 4

The **Add** and **Copy** functions are disabled for these messages.

Leaves can be created by activating the **Securities List** function (button in the bottom left panel) to check whether the ISIN code being searched exists in the BI security database.

The search criteria and methods are the same as described for the function **Search ISIN to Update** used for other ISIN Registration requests (§ 4.3.2).

Only if the ISIN Code is not present in the BI security database is the **Register** button enabled to create an empty leaf in which the ISIN Code not found is entered in the ISIN field for registration

Also in this case the command button **Import** is available, by which it is possible to upload a format .csv file.

During the import the following checks are run to verify if:

- the ISIN is registered with the BI security database
- there is correspondence between the classification of the financial instrument and the type of the selected message (e.g. Certificates vs. Leverage Certificates)

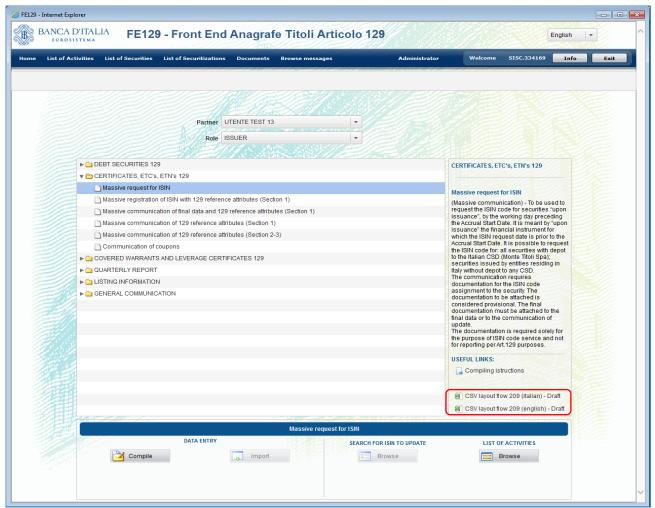
- similar requests exist and are ongoing for the same ISIN
- the record layout is formally correct and so are the data included.

If the checks produce negative results, the leaf cannot be created and an error message will pop up.

6.2. Prearranging a csv file

The feeding of massive messages by import requires that the imported csv files have characteristics and format strictly defined.

To facilitate the preparation of such files, the application provides users with record layouts for each type of massive message. Such layouts may be downloaded (in Italian or in English) on the user's PC from the links available in the FEAT/FE129 Homepage.



Once the download has been performed, the Excel record layout is displayed as below:

	А	В	С	D	E	F	G	Н		J	K
1		SF005	SF014	RE004	AD001	AD003	AD004	SF022	CE001	AD002	AD005
2	FLOW ID	RE SIDENT IS SUER	SECURITY CLASSIFICATIO N	FIRST COUPON PAYMENT DATE	TYPE OF OPTION	EXERCISE	SEGMENT	TRADE NAME	INVESTMENT CLASS	CATEGORY	UNDERLYING ASSET
3	FEAT_209		leave blank								
4											
5											
6											
7											
8											

The first two records of the layout bear the reference codes and the description of fields. For additional information on the field content and format, please refer to <u>Instruction for Compiling FEAT and</u> <u>FE129 messages</u>.

Take notice To create a .csv File formally correct it is of fundamental importance to follow the points reported below: 1. The first two records of the layout, bearing the reference codes and the description of fields, are NOT to be included in the .csv file; the flow code FEAT_xxx has to be indicated in each record; 2. 3. the decimal separator is the dot "."; 4. the thousand separator has not to be indicated; the semicolon ";" is the field separator; 5. the date format is DD/MM/YYYY; 6. pay attention to records starting with zeroes "0" as for the application "0007" is different from "7" (we 7. advise to be careful when using Excel as usually it deletes zeroes automatically to the left of numeric fields); the format of the Excel files to upload is to be .csv only (not .xls nor .xlsx); 8. fields are to be filled in with domain values not their related descriptions (see example below, as 9. extracted from Instruction for Compiling FEAT and FE129 messages. SF013 (*) TYPE OF FINANCIAL INSTRUMENT The field domain depends on the category of financial instrument selected. DEBT SECURITIES Value: BOND • 0 **DESCRIPTION KO** OC CONVERTIBLE BOND • OW CUM WARRANT BOND . CERTIFICATE OF DEPOSIT CD CREDIT LINKED NOTE CLN **DOMAIN OK**

Find reported below an example of record layout whose compilation is formally correct:

Ex	port_FE129	_503136_FE1	29-3018.csv	- Blocco note
File	Modifica	Formato	Visualizza	?
FEAT	_209;00	34817010)1;441C;;	<pre>CERT;;4;;;CP02;X;CIAO;;1;01/09/2016;01/09/2018;EUR;</pre>

7. Messages for Italian Special Purpose Vehicles

To partners registered as resident FVCs (Financial Vehicle Corporations) only the role of Issuer is assigned .There are particular messages specifically conceived in order to report securitizations as well as ABS and CDO securities associated to such operations.

Resident FVCs must send the "Notice of commencement of securitization activity" message in order to ask for the Securitization number and, subsequently, for the securities associated to it.

🧭 FE129 - Internet Explorer	
BANCA D'ITALIA EUROSISTEMA FE129 - Front End Anagrafe Titoli Articolo 129	English
Home List of Activities List of Securities List of Securitizations Documents Browse messages Admini	istrator Welcome SISC.334169 Info Exit
A CONTRACT OF	
Partner UTENTE TEST 20	
Role ISSUER -	
SECURITIZATION ID NUMBER	
Request for securitization ID number	
Communication of update	
V DEBT SECURITIES 129: ABS and CDO	
Request for ISIN ABS	
Registration of ISIN with 129 reference attributes (Section 1)	
Communication of final data and 129 reference attributes (Section 1)	
Communication of 129 reference attributes (Section 1)	
Communication of 129 reference attributes (Section 2-3)	
Communication of coupons	
Communication of amount placed 129 (Section 4)	
Communication of early redemptions 129 (Section 4)	

Messages for ABS and CDOs are similar to debt securities ones except for two additional attributes – namely, "ranking" and "securitization ID number" – and the same compilation and forwarding rules apply (see \S 5).

The management of the operation number replicates the same rules

• By selecting "Notice of commencement of securitization activity" the button **Compile** will be enabled (see § 4.1)

Internet Explorer BANCA D'ITALIA FEAT - Front End Anagrafe Titoli UNOSISTEMA	English V
List of Activities List of Securities List of Securitizations Documents Browse messages Administrator	Welcome SISC.334169 Info Exi
Partner UTENTE TEST 20 Role ISSUER	
SECURITIZATION ID NUMBER Request for securitization ID number	SECURITIZATION ID NUMBER
Communication of update Commun	Request for securitization ID number To be used to request the ID Number for Securitization Operations. The ID Number is necessary in order to request the fSIN codes for the related ABS.
LISTING INFORMATION GENERAL COMMUNICATION	Compiling istructions
Request for securitization ID number	
DATA ENTRY LIST OF SECURITIZATIONS DATA ENTRY Browse Browse	LIST OF ACTIVITIES

• By selecting "Communication of update" the buttons List of Securitizations (similar to Search for ISIN to update, as described in § 4.3) and Compile (to continue the compilation of previously saved activities) will be enabled.

FEAT - Internet Explorer BANCA D'ITALIA FEAT - Front End Anagrafe Titoli	English +
Home List of Activities List of Securities List of Securitizations Documents Browse messages Administrator	Welcome SISC.334169 Info Exit
Partner UTENTE TEST 20 • Role ISSUER •	
SECURITIZATION ID NUMBER Request for securitization ID number	SECURITIZATION ID NUMBER
Communication of update Communication of update Communication of update Communication of update Communication Communicat	Communication of update To be used to request an update of the Securitization Operation. The update will be treated as a Correction, i.e. the data change will affect the operation for its entire lifecycle. USEFUL LINKS: Compiling istructions XSD scheme flow 41
Communication of update	
DATA ENTRY	LIST OF ACTIVITIES

For both messages it is possible to access to previously saved activities by clicking on List of Activities (see § 4.4) or by importing the securitization data from an .xml file (xsd pattern) using the button Import (see § 4.2).

For the search facilities related to securitizations please refer to § 3.4.

8. Glossary

ACTIVITY: Logical group of related data and functions aimed at the creation of messages and the management of attachments.

INFOSTAT: BI Information system which supports supervised intermediaries in the report compiling and forwarding.

MESSAGE: Information flow exchanged between Partner and BI.

PARTNER: Entity (bank, financial vehicle corporation, investment company, non financial corporation etc.) authorized to the survey.

SURVEY: Set of one or more messages aimed at collecting information through a specific reporting

USER: Natural person delegated by the Partner in order to operate on his behalf within a survey

9. Contacts

For questions relating to auto-registration, please e-mail autoregistrazione@bancaditalia.it.

For questions relating to access to INFOSTAT and use of the services, please contact the help-desk of the Statistical Data Collection and Processing Directorate at <u>rdvi.helpdesk@bancaditalia.it</u> (Tel.: +39 06.47926459).

For administrative queries relating to use of FEAT and FE129, the numbering system and any other aspects of preparing and sending communications, please contact the Statistical Data Collection and Processing Directorate – Reference data Division (FEAT@bancaditalia.it; FE129@bancaditalia.it] Tel:+39 06.47926667).