

DISCLOSURE ON THE PROCESSING OF THE PERSONAL DATA OF THE CORPORATE OFFICERS OF BANKING AND FINANCIAL INTERMEDIARIES

In compliance with the provisions of European and national legislation on privacy, please note that the Bank of Italy, via Nazionale 91, Rome, processes the personal data – including the data on criminal convictions and offences and the special categories of personal data – that refer to corporate officers of intermediaries collected as part of banking and financial supervision work.

The personal data to be processed are acquired from banking and financial institutions and from the parent companies of banking and financial groups; they can also be acquired from public sources or from the data subjects themselves.

The processing of the data is necessary for banking and financial supervision purposes, including:

- checking that the corporate officers of banking and financial intermediaries and the parent companies of banking and financial groups meet the suitability requirements and maintain them over time (fit and proper assessment);¹
- analyses of banks' situations carried out as part of supervisory work and procedures concerning intermediaries;
- checking that representatives of the Organization for managing the lists of financial agents and of the Organization of Agents and Mediators (OAM) and of the Small-loan guarantee consortiums (CMO) have the necessary requisites;
- updating supervisory records.

The Bank of Italy can process the data in question under the supervisory rules established at national and international level. This refers specifically to Article 26 of the Consolidated Law on Banking (TUB) and its implementing provisions, the other provisions of the TUB that refer to the same Article 26, and Articles 111, 112 *bis*, 128 *undecies* of the TUB and their implementing provisions, Article 51 of the TUB, Articles 108, 114-*quinquies*.2, 114-*quaterdecies* of the TUB and their implementing provisions; Article 13 of the Consolidated Law on Finance (TUF), Article 6 *bis* of the TUF and its implementing provisions. The Bank of Italy processes data relating to criminal convictions and offences based on the same provisions and the Regulation on the identification of sensitive and legal data of 6 November 2015.

The personal data collected will be preserved for the time necessary to pursue the abovementioned supervisory purposes.

The data are processed electronically and with appropriate security measures to guarantee the confidentiality of the personal data and to prevent access by unauthorized third parties or personnel.

The data collected can be communicated to other bodies, based on the obligations set forth by law, as well as their intended purposes, specifically to:

- State administrations;
- Public administrations abroad;

¹ With reference to the requirements for the corporate officers of significant banks (Article 4(1)(e), Regulation (EU) 1024/2013), the European Central Bank (ECB) is the Data Controller under Article 3(8), Regulation (EU) 2018/1725 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies. On this subject, see the information provided by the ECB on the processing of personal data relating to the requirements for corporate officers at: https://www.bankingsupervision.europa.eu/home/data-protection/privacy-statements/html/ssm.privacy_statement_prudential_supervision.en.html.

- Judicial authorities;
- ECB;
- CONSOB;
- AGCM (Italian Antitrust Authority);
- IVASS;
- COVIP (Italian supervisory authority for pension funds);
- Other Italian and foreign supervisory bodies and authorities;
- Foreign resolution authorities;
- Foreign FIUs;
- National and foreign guarantee schemes.

The data can be communicated to the Heads of the Units that are part of the Directorates General for Financial Supervision and Regulation and for Consumer Protection and Financial Education, the Heads of Department at the UIF (Financial Intelligence Unit) and at the URG (Resolution and Crisis Management Unit) as well as the personnel authorized to process data according to their competences.

Interested parties, within the limits set by European and national legislation, may exercise their rights with respect to the Data Controller – Bank of Italy – Organization Directorate - via Nazionale 91, 00184 Rome, e-mail org.privacy@bancaditalia.it – such as the right to access personal data, as well as other rights recognized by the law, including the right to obtain the rectification or supplementation of the data, the deletion, the transformation into anonymous form or a block on data processed in violation of the law, and the right to object to the processing of such data, in whole or in part, for legitimate reasons.

The Data Protection Officer for the Bank of Italy can be contacted at via Nazionale 91, 00184 Rome, or at this email address: responsabile.protezione.dati@bancaditalia.it.

If an interested party believes that their personal data has been treated in violation of the law, they may file a complaint with the Italian Data Protection Authority.