	Aggregate Statistical Data				
Year	2020				
	List of templates				
<u>Part 1</u>	Consolidated data per Competent Authority				
<u>Part 2</u>	Data on credit risk				
Part 3	Data on market risk				
Part 4	Data on operational risk				
Part 5	Data on supervisory measures and administrative penalties				
Part 6	Data on waivers				

General remarks on filling in templates in Annex IV

- Competent authorities shall not disclose supervisory actions or decisions directed at specific institutions. When publishing information on the general criteria and methodologies, competent authorities shall not disclose any supervisory measures directed at specific institutions, whether taken with respect to a single institution or to a group of institutions.

- Numerical cells shall include only numbers. There shall be no references to national currencies. The currency used is euros and non-euro area Member States shall convert their national currencies into euros using the ECB exchange rates (at the common reference date, i.e. the last day of the year under review), with one decimal place when disclosing amounts in millions.

- Unit of disclosure shall be in millions of euro for the reported monetary amounts (hereafter – MEUR).

- Percentages shall be disclosed with two decimals.

- If data is not being disclosed, the reason for non-disclosure shall be provided using the EBA nomenclature, i.e. N/A (for not available) or C (for confidential).

- The data shall be disclosed on an aggregated basis without identifying individual either credit institutions or investment firms.

- The references to COREP templates pursuant to the Commission implementing regulation (EU) No 680/2014 are provided in Parts 1 to 4, where available.

- Competent authorities shall collect data relating to XXXX year onwards on consolidated basis. This will ensure the consistency of the information collected.

- The templates of this Annex shall be read in conjunction with the reporting scope of consolidation hereby defined. To ensure efficient data collection, the information for credit institutions and investment firms shall be reported separately, but the same level of consolidation shall be applied in both cases.

- In order to ensure the coherence and comparability of reported data, the ECB shall publish only aggregate statistical data for supervised entities for which it conducts and exercises direct supervision at the reference date of the disclosure, while national competent authorities shall publish aggregate statistical data only for credit institutions not directly supervised by the ECB.

- Data shall be compiled only for investment firms subject to CRD. Investment firms which are not subject to CRD regime are excluded from the data collection exercise.

EN

Annex IV

Part 1

	Consolidated data per Competent A	uthority (year 2020)	
		Reference to COREP template	Data
	Number and size of credit institutions		
010	Number of credit institutions		135
020	Total assets of the jurisdiction (in MEUR) ⁽¹⁾		3378728,0
030	Total assets of the jurisdiction ⁽¹⁾ as % of GDP ⁽²⁾		204,33%
	Number and size of foreign credit institutions ⁽³⁾		
040	Number of branches (4)		6
050	From third Total assets of branches (in MEUR)		24495,8
060	countries Number of subsidiaries ⁽⁵⁾		2
070	Total assets of subsidiaries (in MEUR)		4737,8
	Total capital and capital requirements of credit institutions		
080	Total Common Equity Tier 1 capital as % of total capital ⁽⁶⁾	CA1 (row 020 / row 010)	91,85%
090	Total Additional Tier 1 capital as % of total capital ⁽⁷⁾	CA1 (row 530 / row 010)	3,25%
100	Total Tier 2 capital as % of total capital ⁽⁸⁾	CA1 (row 750 / row 010)	4,90%
110	Total capital requirements (in MEUR) ⁽⁹⁾	CA2 (row 010) * 8%	11195,2
120	Total capital ratio (%) ⁽¹⁰⁾	CA3 (row 050)	25,11%
	Number and size of investment firms		
130	Number of investment firms		48
140	Total assets (in MEUR) ⁽¹⁾		719,6
150	Total assets as % of GDP		0,04%
	Total capital and capital requirements of investment firms		
160	Total Common Equity Tier 1 capital as % of total capital ⁽⁶⁾	CA1 (row 020 / row 010)	98,18%
170	Total Additional Tier 1 capital as % of total capital ⁽⁷⁾	CA1 (row 530 / row 010)	0,00%
180	Total Tier 2 capital as % of total capital ⁽⁸⁾	CA1 (row 750 / row 010)	1,82%
190	Total capital requirements (in MEUR) ⁽⁹⁾	CA2 (row 010) *8%	67,6
200	Total capital ratio (%) (10)	CA3 (row 050)	46,90%

(1) The total assets figure shall be the total assets value of the country for the national competent authorities, only for rows 020 and 030, and for the ECB the total assets value of Significant Institutions for the whole SSM.

(2) GDP at market price; suggested source - Eurostat/ECB.

(3) EEA countries shall not be included.

(5) Number of branches as defined in point (1) of Article 4(1) of CRR. Any number of places of business set up in the same country by a credit institution with headquarters in a third country should be counted as a single branch.

(6) Number of subsidiaries as defined in point (16) of Article 4(1) of CRR. Any subsidiary of a subsidiary undertaking shall be regarded as a subsidiary of the parent undertaking, which is at the head of those undertakings.

(7) Ratio of Common Equity Tier 1 capital as defined in Article 50 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(8) Ratio of Additional Tier 1 Capital as defined in Article 61 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(9) Ratio of Tier 2 Capital as defined in Article 71 of CRR to the own funds as defined in point (118) of Article 4(1) and Article 72 of CRR, expressed in percentage (%).

(10) The 8% of total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR.

(11) The ratio of the own funds to the total risk exposure amount as defined in point (c) of Article 92(2) of CRR, expressed in percentage (%).

ΕN

Annex IV

	Part 2 Data on credit risk (year 2020)					
		Credit	risk data	Reference to COREP template	data	
	Cr	edit institutions: Own fun	ds requirements for credit risk			
010	Credit institutions: own funds requirements for credit risk	% of	total own funds requirements (1)	CA2 (row 040) / (row 010)	86,05%	
020	credic risk		Standardised Approach (SA)		100,00%	
030		% based on the total number of credit institutions ⁽²⁾	IRB approach when neither own estimates of Loss Given Default nor conversion factors are used		0,00%	
040	Credit institutions:	institutions	IRB approach when own estimates of Loss Given Default and/or conversion factors are used		0,78%	
050	breakdown by approach		SA	CA2 (row 050) / (row 040)	95,26%	
060		% based on total own funds requirements for credit risk	IRB approach when neither own estimates of Loss Given Default nor conversion factors are used	CR IRB, Foundation IRB (row 010, col 260) / CA2 (row 040)		
070			IRB approach when own estimates of Loss Given Default and/or conversion factors are used	CR IRB, Advanced IRB (row 010, col 260) / CA2 (row 040)	3,48%	
080			IRB approach when neither own estimates of Loss Given Default nor conversion factors are used	CA2 (row 250 / row 240)		
090			Central governments and central banks	CA2 (row 260 / row 240)		
100			Institutions Corporates - SME	CA2 (row 270 / row 240) CA2 (row 280 / row 240)		
120			Corporates - Specialised Lending	CA2 (row 290 / row 240)		
130			Corporates - Other	CA2 (row 300 / row 240)		
140 150	Credit institutions:	% based on total IRB	IRB approach when own estimates of Loss Given Default and/or conversion factors are used	CA2 (row 310 / row 240)	100,00%	
150	breakdown by IRB	risk weighted exposure	Central governments and central banks Institutions	CA2 (row 320 / row 240) CA2 (row 330 / row 240)		
170	exposure class	amount	Corporates - SME	CA2 (row 340 / row 240)	27,02%	
180			Corporates - Specialised Lending	CA2 (row 350 / row 240)	3,30%	
190 200			Corporates - Other Retail - Secured by real estate SME	CA2 (row 360 / row 240) CA2 (row 370 / row 240)	39,53% 7.81%	
210			Retail - Secured by real estate sinc	CA2 (row 380 / row 240)	12,75%	
220			Retail - Qualifying revolving	CA2 (row 390 / row 240)		
230			Retail - Other SME	CA2 (row 400 / row 240)	6,63%	
240 250			Retail - Other non-SME Equity IRB	CA2 (row 410 / row 240) CA2 (row 420 / row 240)	2,95%	
260			Securitisation positions IRB	CA2 (row 420 / row 240) CA2 (row 430 / row 240)		
270			Other non credit-obligation assets	CA2 (row 450 / row 240)		
270		Credit	Other non credit-obligation assets risk data		data	
			risk data	CA2 (row 450 / row 240)	data	
280	Cr		risk data ds requirements for credit risk	CA2 (row 450 / row 240) Reference to COREP template		
	Cr		risk data ds requirements for credit risk Central governments or central banks	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050)	3,73%	
280 290 300 310	Cr		risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public scotor entities	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 090 / row 050)	3,73% 1.84% 1.21%	
280 290 300 310 320	Cr		risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multiateral Development Banks	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 090 / row 050) CA2 (row 100 / row 050)	3,73% 1.84% 1.21% 0,00%	
280 290 300 310 320 330	Cr		risk data ds requirements for credit risk Central governments or central banks Reolonal governments or local authorities Public sector entities Multilateral Development Banks International Organisations	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 110 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00%	
280 290 300 310 320	C		risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multiateral Development Banks International Organisations International	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 090 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 120 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00% 6,05%	
280 290 300 310 320 330 340 350 360	Credit institutions:		risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multiateral Development Banks International Organisations Institutions Corporates Retail	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 140 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00% 6,05% 30,57% 19,00%	
280 290 300 310 320 330 340 350 360 370		redit institutions: Own fun	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multilateral Development Banks Institutions Institutions Corporates Retail Secured by mortgages on immovable property	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 150 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00% 6,05% 30,57% 19,00% 13,59%	
280 290 300 310 320 330 340 350 360 360 370 380	Credit institutions:	edit institutions: Own fun	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Multilateral Development Banks International Organisations Institutions Corporates Retail Secured by mortgages on immovable property Excosures in default	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050)	3,73% 1.84% 1.21% 0,00% 6,05% 30,57% 19,00% 13,59% 8.09%	
280 290 300 310 320 330 340 350 360 370	Credit institutions: breakdown by SA exposure	edit institutions: Own fun % based on total SA risk weighted exposure	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multilaterial Development Banks Institutions Corporates Retail Secured by mortagies on immovable property Exoosures in default Tems associated with particular high risk	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 150 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00% 6,05% 30,57% 19,00% 13,59%	
280 290 300 310 320 330 340 350 360 370 380 390	Credit institutions: breakdown by SA exposure	edit institutions: Own fun % based on total SA risk weighted exposure	risk data ds requirements for credit risk Central aovernments or central banks Regional aovernments or local authorities Public sector entities Multilateral Development Banks International Organisations International Organisations Retail Secured by mortgages on immovable property Ecosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 090 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 150 / row 050) CA2 (row 160 / row 050) CA2 (row 170 / row 050) CA2 (row 170 / row 050) CA2 (row 170 / row 050)	3,73% 1.84% 1.21% 0,00% 0,00% 6,05% 30,57% 19,00% 13,59% 8,09% 2,73%	
280 290 300 310 320 330 340 350 360 370 380 390 400	Credit institutions: breakdown by SA exposure	edit institutions: Own fun % based on total SA risk weighted exposure	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multilateral Development Banks International Organisations Institutions Corporates Retail Secured by mortgages on immovable property Excosures in default Items associated with particular high risk Covered bonds	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 140 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050)	3,73% 1.84% 1.21% 0.00% 6,05% 30,57% 19,00% 2.73% 0.08% 0,08% 0,08% 2,01%	
280 290 300 310 320 330 340 350 360 370 380 390 400 410 410 420 430	Credit institutions: breakdown by SA exposure	edit institutions: Own fun % based on total SA risk weighted exposure	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multitateral Development Banks International Organisations Institutions Corporates Retail Secured by mortgages on immovable property Excosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 120 /	3,73% 1.84% 1.21% 0,00% 0,00% 6,05% 19,00% 13,59% 8,09% 2,73% 0,08% 0,02% 2,01% 3,55%	
280 290 300 320 330 340 350 350 360 370 380 400 410 410 420 430	Credit institutions: breakdown by SA exposure	edit institutions: Own fun % based on total SA risk weighted exposure	risk data ds requirements for credit risk Central aovernments or central banks Realoanal aovernments or local authorities Public sector entities Multilateral Development Banks Institutions Corporates Secured by mortagaetes Secured by mortagaetes Exosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term collective investment undertakings Equity Other tems	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 160 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 110 / row 050) CA2 (row 111 / row 050) CA2 (row 211 / row 050) CA2 (row 111 /	3,73% 1.84% 1.21% 0.00% 6,05% 30,57% 19,00% 2.73% 0.08% 0,08% 0,08% 2,01%	
280 290 300 310 320 330 340 350 360 370 380 390 400 410 410 420 430	Credit institutions: breakdown by SA exposure class* Credit institutions:	edit institutions: Own fun % based on total SA risk weighted exposure amount % based on the total	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multitateral Development Banks International Organisations Institutions Corporates Retail Secured by mortgages on immovable property Excosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 120 /	3,73% 1,84% 1,21% 0,00% 0,00% 6,05% 30,57% 19,00% 13,59% 8,09% 0,02% 0,02% 2,01% 3,95%	
280 290 300 310 320 330 340 360 360 360 380 380 380 400 410 410 420 440 440	Credit institutions: breakdown by SA exposure class*	edit institutions: Own fun % based on total SA risk weighted exposure amount	risk data ds requirements for credit risk Central acvernments or central banks Recional ocvernments or local authorities Public sector entities Multilateral Development Banks International Organisations Institutions Corporates Recourds and Arganisations Excessures in default Rems associated with carticular high risk Cover bonds Claims on institutions and corporates with a short-term Collective times Collective times Cover bonds Cover	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 160 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 110 / row 050) CA2 (row 111 / row 050) CA2 (row 211 / row 050) CA2 (row 111 /	3,73% 1.84% 1.21% 0.00% 6.05% 30.57% 19,00% 13,59% 2.73% 0.08% 0.02% 2.01% 3.95% 7,14%	
280 290 300 310 330 330 350 360 370 360 370 360 400 410 410 420 440 450 460	Credit institutions: breakdown by SA exposure class* Credit institutions: breakdown by credit risk mitigation (CRM) approach	edit institutions: Own fun % based on total SA risk weighted exposure amount % based on the total number of credit institutions ⁽³⁾	risk data ds requirements for credit risk Central aovernments or central banks Realoand aovernments or local authorities Public sector entities Multilateral Development Banks Institutions Corporates Secured by mortaageneric authorities Secured by mortaageneric authorities Covered honds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity Other Rems Securitisation positions SA Financial collateral simple method	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 160 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 110 / row 050) CA2 (row 111 / row 050) CA2 (row 211 / row 050) CA2 (row 111 /	3,73% 1.84% 1.21% 0.00% 6.05% 30,57% 19,00% 8.09% 2.73% 0.08% 0.08% 0.08% 0.08% 0.02% 2.21% 3.95% 7,14%	
280 290 300 310 330 330 350 360 370 360 370 360 400 410 410 420 440 450 460	Credit institutions: breakdown by SA exposure class* Credit institutions: breakdown by credit risk mitigation (CRM) approach Investment firms: own funds requirements for	% based on total SA risk weighted exposure amount % based on the total number of credit institutions ⁽²⁾ vestment firms: Own fun	risk data ds requirements for credit risk Central aovernments or central banks Realoand aovernments or local authorities Public sector entities Multilateral Development Banks Institutions Corporates Secured by mortaales on immovable property Exoosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity Other tems Securitisation positions SA Financial collateral simple method Financial collateral comprehensive method	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 110 / row 050) CA2 (row 130 / row 050) CA2 (row 130 / row 050) CA2 (row 160 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 180 / row 050) CA2 (row 200 / row 050) CA2 (row 200 / row 050) CA2 (row 210 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 211 / row 050) CA2 (row 110 / row 050) CA2 (row 111 / row 050) CA2 (row 211 / row 050) CA2 (row 111 /	3,73% 1.84% 1.21% 0,00% 6,05% 30,57% 19,00% 8,05% 2,73% 0,02% 0,02% 0,02% 2,01% 3,95% 7,14% 60,24%	
280 290 300 320 320 320 330 340 350 360 370 380 400 410 410 420 440 450 460 470 480	Credit institutions: breakdown by SA exposure class* Credit institutions: breakdown by credit risk mitigation (CRM) approach Ir Investment firms: own	edit institutions: Own fun % based on total SA risk weighted exposure amount % based on the total number of credit institutions ⁽¹⁾ vestment firms: Own fun % of % based on the total	risk data ds requirements for credit risk Central aovernments or central banks Regional aovernments or local authorities Public sector entities Multilateral Development Banks International Organisations Retail Secured by mortgages on immovable property Exosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity Other items Securitisation positions SA Financial collateral simple method Financial collateral comprehensive method Is requirements for credit risk	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 140 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050) CA2 (row 190 / row 050) CA2 (row 110 / row 050) CA2 (row 210 / row 050) CA2 (row 220 /	3,73% 1,04% 1,21% 1,21% 0,00% 0,00% 0,05% 0,05% 0,02% 0,02% 0,02% 0,02% 0,02% 0,02% 0,02% 0,02% 0,02% 44,58% 43,21%	
280 290 300 310 320 330 340 350 360 360 360 360 400 400 400 400 400 400 450 460 470 480 490	Credit institutions: breakdown by SA exposure class* Credit institutions: breakdown by credit risk mitigation (CRM) approach Ir Investment firms: own funds requirements for credit risk	edit institutions: Own fun % based on total SA risk weighted exposure amount % based on the total number of credit institutions ⁽³⁾ westment firms: Own fun % of % based on the total number of investment	risk data ds requirements for credit risk Central advernments or central banks Regional dovernments or local authorities Public sector entities Multilateral Development Banks International Organisations Corporates Corporates Secured by mortraites in immovable property Items associated with particular high risk Covered bonds Calains on institutions of corporates with a short-term Collective investment undertakings Equity Other items Securitisation positions SA Financial collateral simple method Financial collateral comprehensive method Is requirements for credit risk total own funds requirements ⁽⁴⁾ SA	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 140 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050) CA2 (row 190 / row 050) CA2 (row 110 / row 050) CA2 (row 210 / row 050) CA2 (row 220 /	3,73%, 1,84%, 1,21%, 0,00%,00%	
280 290 300 320 320 320 330 340 350 360 370 380 400 410 410 420 440 450 460 470 480	Credit institutions: breakdown by SA exposure class* Credit institutions: breakdown by credit risk mitigation (CRM) approach Investment firms: own funds requirements for	edit institutions: Own fun % based on total SA risk weighted exposure amount % based on the total number of credit institutions ⁽¹⁾ vestment firms: Own fun % of % based on the total	risk data ds requirements for credit risk Central governments or central banks Regional governments or local authorities Public sector entities Multilateral Development Banks Institutions Corporates Retail Secured by mortgages on immovable property Excosures in default Items associated with particular high risk Covered bonds Claims on institutions and corporates with a short-term credit assessment Collective investment undertakings Equity Other items Securities of positions SA Financial collateral simple method Financial collateral comprehensive method Items for credit risk total own funds requirements ⁽⁴⁾	CA2 (row 450 / row 240) Reference to COREP template CA2 (row 070 / row 050) CA2 (row 080 / row 050) CA2 (row 080 / row 050) CA2 (row 100 / row 050) CA2 (row 100 / row 050) CA2 (row 120 / row 050) CA2 (row 130 / row 050) CA2 (row 140 / row 050) CA2 (row 150 / row 050) CA2 (row 150 / row 050) CA2 (row 180 / row 050) CA2 (row 190 / row 050) CA2 (row 110 / row 050) CA2 (row 210 / row 050) CA2 (row 220 /	3.73% 1.84% 0.00% 0.00% 0.00% 0.05% 0.05% 0.05% 0.02% 0.02% 0.02% 0.02% 0.02% 0.02% 0.02% 0.02% 44,58% 43,21%	

	Additional information on securitisation (in MEUR)	Reference to COREP template	data
	Credit institutions: originator		
530	Total amount of securitisation exposures originated on balance sheet and off-balance sheet	CR SEC SA (row 030, col 010) + CR SEC IRB (row 030, col 010)	
540	Total amount of securitisation positions retained (securitisation positions - original exposure pre conversion factors) on balance sheet and off-balance sheet	CR SEC SA (row 030, col 050) + CR SEC IRB (row 030, col 050)	

	Exposures and	losses from lending collateralised by immovable property (MEUR) $^{(6)}$	Reference to COREP template	data
550		Sum of exposures secured by residential property (7)	CR IP Losses (row 010, col 050)	53789,1
560	Use of residential property	Sum of losses stemming from lending up to the reference percentages (8)	CR IP Losses (row 010, col 010)	37,8
570	as collateral	Of which: immovable property valued with mortgage lending value ⁽⁹⁾	CR IP Losses (row 010, col 020)	0,1
580	as conacerai	Sum of overall losses (10)	CR IP Losses (row 010, col 030)	46,3
590		Of which: immovable property valued with mortgage lending value (9)	CR IP Losses (row 010, col 040)	1,3
600		Sum of exposures secured by immovable commercial property (7)	CR IP Losses (row 020, col 050)	8925,3
610	Use of commercial	Sum of losses stemming from lending up to the reference percentages (8)	CR IP Losses (row 020, col 010)	27,7
620	immovable property as	Of which: immovable property valued with mortgage lending value ⁽⁹⁾	CR IP Losses (row 020, col 020)	0,0
630	collateral	Sum of overall losses (10)	CR IP Losses (row 020, col 030)	32,2
640	1	Of which: immovable property valued with mortgage lending value ⁽⁹⁾	CR IP Losses (row 020, col 040)	0.1

(1) Ratio of the own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR to the total own funds as defined in Articles 92(3), 95, 96 and 98 of CRR. (2) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported for the three approaches may be higher than 100%.

(3) In the exceptional cases, where an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%.

(4) Ratio of the own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR to the total own funds as defined in Articles 92(3), 95, 96 and 98 of CRR.
(5) The percentage of the own fund requirements of investment firms that apply the SA and IRB approach respectively in relation to the total own fund requirements for credit risk as defined in points (a) and (f) of Article 92(3) of CRR.
(6) The amount of the estimated losses shall be reported at the reporting reference date.
(7) As defined in points (c) and (f) of Article 101(1) of CRR, respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1); only for the part of exposure treated as fully and completely secured according to Article 101(1) of CRR;
(8) As defined in points (a) and (d) of Article 101(1) of CRR; respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1).
(9) When the value of the collateral has been calculated as mortgage lending value.
(10) As defined in points (b) and (e) of Article 101(1) of CRR, respectively; the market value and mortgage lending value according to points (74) and (76) of Article 4 (1).

		Data on mar	Part 3 ket risk (1) (year 2020)		
		Market risk data			data
	Credit institutions: Own funds	requirements for market risk			
010	Credit institutions: own funds requirements for market risk	% of total own funds requireme	nts ⁽²⁾	CA2 (row 520) / (row 010)	1,25%
020		eur instructions.	Standardised approach		100,00%
030	Credit institutions:		Internal models		0,00%
040	breakdown by approach		Standardised approach	CA2 (row 530) / (row 520)	100,00%
050		requirements for market risk	Internal models	CA2 (row 580) / (row 520)	
	Investment firms: Own funds	requirements for market risk			
060	Investment firms: own funds requirements for market risk	% of total own funds requireme	nts ⁽²⁾	CA2 (row 520) / (row 010)	15,71%
070		% based on the total number of	Standardised approach		100,00%
080	Investment firms:	investment firms ⁽³⁾	Internal models		0,00%
090	breakdown by approach	% based on total own funds	Standardised approach	CA2 (row 530) / (row 520)	100,00%
100		requirements for market risk		CA2 (row 580) / (row 520)	0,00%

(1) The template shall include information on all institutions and not only on those with market risk positions.

(2) Ratio of the total risk exposure amount for position, foreign exchange and commodities risks as defined in point (i) of point (b), points (i) and (iii) of point (c) of Articles 92(3) of CRR and point (b) of Article 92(4) of CRR to the total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR (in %).

(3) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%, but also lower than 100% as entities with small trading portfolio are not obliged to determine market risk.

4

Part 4 Data on operational risk (year 2020)

		Operational risk o	lata	Reference to COREP template	data
	Credit institutions: Own funds	requirements for ope	erational risk		
010	Credit institutions: own funds requirements for operational risk	% of total own funds	s requirements ⁽¹⁾	CA2 (row 590) / (row 010)	12,46%
020			Basic Indicator Approach (BIA)		96,83%
030	total number of	Standardised Approach (TSA) / Alternative Standardised Approach (ASA)		3,97%	
040	Credit institutions: breakdown by approach	credit institutions ⁽²⁾	Advanced Measurement Approach (AMA)		0,00%
050	by approach	% based on total	BIA	CA2 (row 600) / (row 590)	70,79%
060		own funds requirements for	TSA/ASA	CA2 (row 610) / (row 590)	29,21%
070		operational risk	АМА	CA2 (row 620) / (row 590)	0,00%
	Credit institutions: Losses due	to operational risk			
080	Credit institutions: total gross loss	Total gross loss as % of total gross income ⁽³⁾		OPR Details (row 920, col 080) / OPR ((sum (row 010 to row 130), col 030)	0,21%
	Investment firms: Own funds	requirements for ope	erational risk		
090	Investment firms: own funds requirements for operational risk	% of total own funds	s requirements ⁽¹⁾	CA2 (row 590) / (row 010)	21,37%
100		% based on the	BIA		90,00%
<u>110</u> 120		total number of	TSA/ASA		10,00%
120	investment mins. Dieakuowii by	investment firms ⁽²⁾ % based on total	AMA	CA2 (row 600) / (row 590)	<u>0,00%</u> 99,14%
140	approach	own funds			
	-	requirements for	TSA/ASA	CA2 (row 610) / (row 590)	0,86%
150	Turnet and Gamer Lagrand	operational risk	АМА	CA2 (row 620) / (row 590)	0,00%
_	Investment firms: Losses due	to operational risk			
160	Investment firms: total gross loss	Total gross loss as %	6 of total gross income ⁽³⁾	OPR Details (row 920, col 080) / OPR ((sum (row 010 to row 130), col 030)	

(1) Ratio of the total risk exposure amount for operational risk as defined in Article 92(3) of CRR to the total risk exposure amount as defined in Articles 92(3), 95, 96 and 98 of CRR (in %).

(2) If an institution uses more than one approach, it shall be counted in each of these approaches. Hence, the sum of the percentages reported may be higher than 100%, but also lower than 100% as some investment firms are not obliged to count operational risk capital charges.

(3) Only with respect to entities, which use AMA or TSA/ASA approach; ratio of the total loss amount for all business lines to the sum of the relevant indicator for banking activities subject to TSA/ASA and AMA for the last year (in %).

EN

Annex IV

		a on supervisory measures and administrative penalties (1) (year 2020)	
		Supervisory measures	data
	Credit ins	titutions Total number of supervisory measures taken in accordance with Article 104(1) of Directive	
010	-	2013/36/EU:	C C
012 013	-	to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)] to reinforce governance arrangements and internal capital management [Article 104(1)(b)]	C
014	Supervisory	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)] to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)]	C C
)15)16	measures taken in	to restrict/limit business or activities [Article 104(1)(e)] to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	C
017 018	accordance with Article	to limit variable remuneration [Article 104(1)(q)] to strengthen own funds by using net profits [Article 104(1)(h)]	с С
019 020	102(1)(a)	to restrict/prohibit distributions or interest payments [Article 104(1)(i)] to impose additional or more frequent reporting requirements [Article 104(1)(i)]	C
122	-	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	C
023		Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive	с
124	Supervisory	2013/36/EU) Total number of supervisory measures taken in accordance with Article 104(1) of Directive	c
125	measures	2013/36/EU: to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	c
26	taken in accordance	to reinforce governance arrangements and internal capital management [Article 104(1)(b)] to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	С С
128 129	with Article 102(1)(b)	to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)] to restrict/limit business or activities [Article 104(1)(e)]	C C
30 31	and other provisions	to reduce the risk inherent in the activities, products and systems [Article 104(1)(f)]	C
32	of Directive	to limit variable remuneration [Article 104(1)(g)] to strengthen own funds by using net profits [Article 104(1)(h)]	C
33 34	2013/36/EU or	to restrict/orohibit distributions or interest payments [Article 104(1)(i)] to impose additional or more frequent reporting requirements [Article 104(1)(i)]	C
35 36	Regulation (EU) No	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	C C
37	575/2013	Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive 2013/36/EU)	с
		2013/36/EU)	1
		Supervisory measures	data
037	Investme	nt firms Total number of supervisorv measures taken in accordance with Article 104(1) of Directive	c
138		to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)]	С
139 140		to reinforce governance arrangements and internal capital management [Article 104(1)(b)] to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	C C
41 42	Supervisory measures	to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)] to restrict/limit business or activities [Article 104(1)(e)]	с с
143 144	taken in accordance	to reduce the risk inherent in the activities. products and systems [Article 104(1)(f)] to limit variable remuneration [Article 104(1)(g)]	C
45	with Article 102(1)(a)	to strengthen own funds by using net profits [Article 104(1)(h)]	C
16 17	102(1)(a)	to restrict/prohibit distributions or interest payments [Article 104(1)(i)] to impose additional or more frequent reporting requirements [Article 104(1)(i)]	c
48 49	-	to impose specific liquidity requirements [Article 104(1)(k)] to impose additional disclosure requirements [Article 104(1)(l)]	C C
50 151	Supervisory	Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive Total number of supervisory measures taken in accordance with Article 104(1) of Directive	C C
52 53	measures taken in	to hold own funds in excess of the minimum capital requirements [Article 104(1)(a)] to reinforce ovvernance arranoements and internal capital manaoement [Article 104(1)(b)]	C
54	accordance with Article	to present a plan to restore compliance with supervisory requirements [Article 104(1)(c)]	C
55 56	102(1)(b)	to apply a specific provisioning policy or treatment of assets [Article 104(1)(d)] to restrict/limit business or activities [Article 104(1)(e)]	C C
57 58	and other provisions	to reduce the risk inherent in the activities. products and systems [Article 104(1)(f)] to limit variable remuneration [Article 104(1)(g)]	C
59 60	of Directive 2013/36/EU	to strengthen own funds by using net profits [Article 104(1)(h)] to restrict/prohibit distributions or interest payments [Article 104(1)(i)]	C C
61 62	or Regulation	to impose additional or more frequent reporting requirements [Article 104(1)(i)] to impose specific liquidity requirements [Article 104(1)(k)]	С С
63	(EU) No	to impose additional disclosure requirements [Article 104(1)(1)]	C
54	575/2013	Number and nature of other supervisory measures taken (not listed in Article 104(1) of Directive	С
64	(E0) N0 575/2013		C data
064	Credit insti	Administrative penalties ⁽²⁾	C data
	Credit insti	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied:	C data 0,00
065	Credit insti	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach	
)65)66	Credit instit Administrati ve penalties (for breaches of authorisation/	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach [Article 66(2)(a)] orders requiring the natural/legal person responsible to cease the conduct and to desist from a	0,00
165 166	Credit instit Administrati ve penalties (for breaches of authorisation/ acquisitions of qualifying	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach iArticle 66(2)(a)1 orders requiring the natural/legal person responsible to cease the conduct and to desist from a recettion of that conduct IArticle 66(2)(b)1 administrative peculary penalties imposed on legal/natural person [points (c) to (e) of Article	0,00
)65)66)67)68	Credit insti Administrati ve penalties (for breaches of authorisation/ acquisitions of	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: Article 66(2)(201) orders requiring the natural/legal person responsible and the nature of the breach article 66(2)(201) orders requiring the natural/legal person responsible to cases the conduct and to desist from a repetition of that conduct [Article 66(2)(201) administrative pecuriary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)(1) suspensions of the voting rights of shareholders [Article 66(2)(1)]	0,00 0,00 0,00
065 066 067 068 069	SZS/2013 Credit instit Administrati ve penalties (for breaches of authorisation/ acquisitions of qualifying holding requirements)	Administrative penalties ⁽²⁾ Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach IArticle 66(2)(a)] orden requiring the natural/legal person responsible to cases the conduct and to desist from a orden requiring the natural/legal person responsible to cases the conduct and to desist from a definition of the petual person responsible to cases the conduct and to desist from a suspensions of the voting rights of shareholders [Article 66(2)(f)] Number and nature of other administrative penalties applied (not specified in Article 66(2) of Directive 2013/36/EU	0,00 0,00 0,00 0,00
165 166 167 168 169 170 171	SZ5/2013 Credit instit Administrati ve penalties (for breaches of authorisation/ acquisitions of qualifying holding	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach IArticle 66(2)(a)1 orders requiring becomest IArticle 66(2)(b)1 administrative pecunitary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)1 suspensions of the votion rights of shareholders [Article 66(2)(b)1 Number and nature of other administrative penalties applied (to specified in Article 66(2) of Directive Total number of administrative panalties applied (to specified in Article 66(2) of Directive Total number of administrative penalties person responsible and the nature of the tradinity public statements identifying the natural/legal person responsible and the nature of the tradinity public statements identifying the natural/legal person responsible and the nature of the tradinity public statements identifying the natural/legal person responsible and the nature of the tradinity penalties applied (to the profile addinity penalties) (to the penalties in the natural person responsible and the nature of the tradinity penalties (to the penaltice) (to the panaltice) (to the penaltice) (to the penaltic	0,00 0,00 0,00 0,00 0,00 0,00 14,00
065 066 067 068 069 070 071	SZ5/2013 Credit instit Administrati ve penalties (for breaches of authorisation/ acquisitions of holding holding holding holding holding tequinements) Administrati ve penalties (for other	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach iArticle 66(2)(a)] orders requiring the natural/legal person responsible to case the conduct and to desist from a mention of that conduct IArticle 66(2)(b)] administrative peculary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)1 supersidents of the voting rights of shareholders [Article 66(2)(f)] supersidents of the voting rights of shareholders [Article 66(2)(f)] supersidents of the voting rights of shareholders [Article 66(2)(f)] supersidents of diministrative penalties right Article 65(2) of Directive 2013/sf(2)] Differ institute of administrative penalties right Article 65(2) of Directive 2013/sf(2)] public statements identifying the natural/legal person responsible and the nature of the breach IArticle 67(2) (a)]	0,00 0,00 0,00 0,00 0,00 0,00 14,00 0,00
065 066 067 068 069 070 071 072 073	SZS/2013 Credit instil Administrati ve penalties (for breaches of authorisation/ acquisitions of qualifying holding requirements) Administrati ve penalties (for other breaches of requirements	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach indicle 66(2)(a)1 orders requiring the natural/legal person responsible to cease the conduct and to desist from a recettion of that conduct [Article 66(2)(b)1] administrative pecunary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)1 suspensions of the voting rights of shareholders [Article 66 (2)(f)1] Number and nature of other administrative penalties applied (not specified in Article 66(2) of Directive 2013/36(EU) Total number daministrative penalties from Article 6/2(2) of Directive 2013/36(EU) Total number daministrative penalties from Article 6/2(2) of Directive 2013/36(EU) requiring the natural/legal person responsible and the nature of the breach India (India (E) (2)(2)) orders requiring the natural/legal person responsible to cease the conduct and to desist from a resettion of that conduct [Article 67(2)(2)]	0,00 0,00 0,00 0,00 0,00 0,00 14,00 0,00
065 067 068 069 070 071 072 073 074	SZ5(2013 Credit insti ve penalties (for breaches of acquisitions of qualifying holding requirements) Administrati ve penalties (for other breaches of requirements imposed by Directive	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach [Article 66(2)(a)] administrative pecuniary penalties (moosed on legal/natural person [points (c) to (e) of Article 66(2)] administrative pecuniary penalties (moosed on legal/natural person [points (c) to (e) of Article 66(2)] number of administrative panelties (article 66(2)(0)] Insure and Justice of other administrative penalties applied (not specified in Article 66(2) of Directive Total number of administrative panelties applied (not specified in Article 66(2) of Directive Total number of administrative panelties person responsible to cease the conduct and to desist from a orders requiring the natural/legal person responsible to deset the conduct and to desist from a mithdravalid of administrative panelties (or 2010) administrative person responsible to cease the conduct and to desist from a mithdravalid of administrative panelties (or 2010) moders requiring the natural/legal person responsible to cease the conduct and to desist from a mithdravalid of administrative panelties approximation (Article 67(2)(c)) temporary base against natural/legal person fres reconsist in credit institutions [Article 67(2)]	0,00 0,00 0,00 0,00 0,00 0,00 14,00 0,00
165 166 167 168 169 170 171 172 173 175	C75(2013 Credit instit ve penaltics of authorisation(authorisation) authorisation(aut	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach (Article 66(2)(a)) orders requiring the natural/legal person responsible to cease the conduct and to desist from a order sequinary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)(a)) moment and the state of the administrative penalties applied (to tspecified in Article 66(2) of Directive 2013/36(EU) Momber and natural/legal person responsible to cease the conduct and to desist from a public statements identifying the natural/legal person responsible and the nature of the breach (Article 67(2)(a)) orders requiring the natural/legal person responsible to cease the conduct and to desist from a responsible of that conduct (Article 67(2)(c)) memorary be addordstation of cease institutions (Article 67(2)(c)) memorary be addordstation of cease institutions (Article 67(2)(c)) administrative peculiary penalties inposed on legal/natural person [points (c) to (g) of Article 67(2)(d))	0,00 0,00 0,00 0,00 0,00 0,00 14,00 0,00 1,00 0,00
65 66 67 68 69 70 71 72 73 74 75	Credit Instit Administrati ve penalties (for breaches authorisations of acquisitions of acquisitions of acquisitions of requirements) Administrati ve penalties (for other breaches of requirements Directive 2013/36/EU or Regulation	Administrative penalties ⁽²⁾ tutions Total number of administrative penalties from Article 66(2) of Directive 2013/36/EU applied: public statements identifying the natural/legal person responsible and the nature of the breach iArticle 66(2)(a)1 orders requiring the natural/legal person responsible to cease the conduct and to desist from a recettion of that conduct IArticle 65/21/b11 administrative penulary penalties imposed on legal/natural person [points (c) to (e) of Article 66(2)1 Institute of administrative penalties applied (not specified in Article 66(2) of Directive 2013/36(EU) Total number of administrative penalties from Article 67(2) of Directive 2013/36(EU) applied: public statements identifying the natural/legal person responsible and the nature of the breach IArticle 67(2)(1) remotive and advice penalties from Article 67(2)(2) menorary of advicementation of cease the conduct and to desist from a reactition of that conduct IArticle 67(2)(1) memorary of advicementation advice penaltions from function 67(2)(1) administrative pecuniary penalties imposed on legal/natural person [points (e) to (g) of Article 67(2)(1) administrative pecuniary penalties imposed on legal/natural person [points (e) to (g) of Article 67(2)(1)	0,00 0,00 0,00 0,00 0,00 0,00 14,00 0,00 1,00 0,00 0
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t authorities shall not disclose supervisory actions or decisions directed at specific institutions. When publishing information on the general di methodogies, competent authorities shall not disclose any supervisory measures directed at specific institutions, whether taken with a single institution or to a group of institutions. The second second

er ran he moleaution diministrative penalties imposed by competent authorities. Competent authorities shall report all administrative penalties against which no appeal available in their jurindiction by the reference deal for the disclosure. Competent authorities of Memier State where k is 10 publies administrative penalties subject to an appeal, shall also report those administrative penalties unless the appeal annuling the ather penalty is such.

ΕN

Part 6

Data on waivers (1) (year 2020)

	Legal reference in Regulation (EU) N° 575/2013	Article 7(1) and (2) (waivers for subsidiaries) ⁽²	Article 7(3) (waivers for parent institutions)
010	Total number of waivers granted	[Value]	[Value]
011	Number of waivers granted to parent institutions which have or hold participations in subsidiaries established in third countries	N/A [Value]	
012	Total amount of consolidated own funds held in the subsidiaries established in third countries (in MEUR)	N/A	[Value]
013	Percentage of the total consolidated own funds held in subsidiaries established in third countries (%)	N/A	[Value]
014	Percentage of the consolidated own funds requirements allocated to subsidiaries established in third countries (%)	N/A	[Value]
	Permission granted to parent institutions to incorporate subsidiaries in the calor requirements set out in Parts Two to Five and Eight of Regulation (EU) N° 575/ Legal reference in Regulation (EU) N° 575/2013		9(1)
045		meth	,
015	Total number of permissions granted Number of permissions granted to parent institutions to incorporarte subsidiaries established in	[Val	-
018	third countries in the calculation of their requirement Total amount of consolidated own funds held in the subsidiaries established in third countries	[Value] [Value]	
017	(in MEUR) Percentage of the total consolidated own funds held in subsidiaries established in third	[Value]	
019	countries (%) Percentage of the consolidated own funds requirements allocated to subsidiaries established in	[Value]	
	third countries (%) Exemption from the application on an individual basis of liquidity requirements Regulation (EU) N° 575/2013	_	-
	Legal reference in Regulation (EU) N° 575/2013	Artic (Liquidity v subsidi	vaivers for
020	Total number of waivers granted	32	2
021	Number of waivers granted pursuant to Article 8(2) where all institutions within a single liquidity sub-group are authorised in the same Member State	32	2
022	Number of waivers granted pursuant to Article 8(1) where all institutions within a single liquidity sub-group are authorised in several Member States		
023	Number of waivers granted pursuant to Article 8(3) to institutions which are members of the same Institutional Protection Scheme		
	Exemption from the application on an individual basis of prudential requirement Eight of Regulation (EU) No 575/2013		
	Legal reference in Regulation (EU) No 575/2013	Articl (Credit in: permanently : central	stitutions affiliated to a
024	Total number of waivers granted	[Val	ue]
025	Number of waivers granted to credit institutions permanently affiliated to a central body	[Val	ue]
026	Number of waivers granted to central bodies	[Val	ue]

(1) Competent authorities shall report Information on waiver practices based on the total number of waivers by the competent authority, which are still effective or in force. The information to be reported is limited to those entities granted a waiver. Where the information is not available, i.e. not part of the regular reporting, it shall be reported as "NA/A".

(2) The number of institutions which have been granted the waiver shall be used as a basis for counting the waivers.